

Preliminary Jiram Results from Juno Polar Observations: 1 - Methodology and Analysis Applied to the Jovian Northern Polar Region

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Key Points:

- First global maps of H_3^+ intensity, column density and temperature for the Jupiter Northern aurora with high spatial resolution
- One side of the auroral oval shows higher H_3^+ column density and lower temperatures in comparison with the other side
- Column densities main oval and temperature main oval do not superimpose

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Abstract

During the first orbit around Jupiter of the NASA/JUNO mission, the Jovian Auroral InfraRed Mapper (JIRAM) instrument observed the auroral regions with a large number of measurements. The measured spectra show both the emission of the H_3^+ ion and of methane in the 3-4 μm spectral region. In this paper we describe the analysis method developed to retrieve temperature and Column Density (CD) of the H_3^+ ion from JIRAM spectra in the Northern auroral region. The high spatial resolution of JIRAM shows an asymmetric aurora, with CD and temperature ovals not superimposed and not exactly located where models and previous observations suggested. On the main oval averaged H_3^+ CDs span between $1.8 \times 10^{12} \text{ cm}^{-2}$ and $2.8 \times 10^{12} \text{ cm}^{-2}$, while the retrieved temperatures show values between 800 and 950 K. JIRAM indicates a complex relationship among H_3^+ CDs and temperatures on the Jupiter Northern aurora.

1 Introduction

In the Infra-Red (IR) spectral range Jupiter's aurora can be mapped thanks to the thermal emissions of the H_3^+ molecular ion, first detected by *Drossart et al.* [1989]. H_3^+ forms at altitudes mainly above the Jovian homopause. At polar latitudes, accelerated energetic electrons that flow downward along magnetic field lines from the magnetosphere drive ionization of both atomic and molecular hydrogen [*Atreya, 1986*]. The ionised molecular hydrogen almost instantaneously react with H_2 itself and create H_3^+ ($H_2^+ + H_2 \rightarrow H_3^+ + H$). This is by far the major creation pathway for H_3^+ in planetary atmospheres; other minor creation routes involving H^+ and H_2 are present but their effect is negligible with respect to the main process [*Grodent et al., 2001*]. In the upper atmosphere, where the density of free electrons is large, the H_3^+ ion is converted back to neutral hydrogen mainly by dissociative recombination ($H_3^+ + e^- \rightarrow H_2 + H$ or $H_3^+ + e^- \rightarrow 3 H$). At lower altitudes, the main destruction pathway for H_3^+ is the ion-neutral charge exchange reactions with hydrocarbons (mainly CH_4 and C_2H_2) producing molecular hydrogen and hydrocarbon ions.

The IR spectral range around 3.5 μm is particularly suitable to study the H_3^+ emission as, in this spectral region, methane absorbs most of the light from the lower atmosphere of Jupiter and H_3^+ lines can be detected with a high contrast with respect to the dark planetary disk below. Auroral morphologies mapped through H_2 UltraViolet (UV)

61 and H_3^+ IR emissions are very similar on a global scale [e.g. *Radioti et al.*, 2013] and three
62 main components are usually identified [e.g. *Clarke et al.*, 2004; *Grodent*, 2015]: the main
63 oval, the polar emissions (poleward of the main emission), and the satellites footprints
64 (equatorward of the main emission). However, unlike the auroral UV emissions that are
65 a tracer of instantaneous energy inputs of the impacting electrons, the equatorward IR
66 aurora also provides the information on the atmospheric response to the inputs. The sur-
67 rounding neutral atmosphere quickly thermalises the H_3^+ ions after their formation. For
68 this reason, H_3^+ IR emission lines can be used to derive the atmospheric temperature [e.g.
69 *Lam et al.*, 1997; *Stallard et al.*, 2002], while integrated column densities retrieved using
70 the intensities of the emission lines allow mapping the ion distribution.

71 On the NASA mission Juno, orbiting around Jupiter starting in early July 2016, the
72 IR spectral range from 3 to 4 μm was covered by the Jovian Infrared Auroral Mapper, JI-
73 RAM [*Adriani et al.*, 2014]. This paper is the first of three papers where we report the ob-
74 servations of the Jupiter auroras made with the spectrometer of JIRAM: here we describe
75 the methodology used to analyse JIRAM spectra of the auroral regions, and we report the
76 results obtained for the Jupiter Northern polar region. Further results obtained for both the
77 auroral regions are reported in *Adriani et al.* [this issue] and *Moriconi et al.* [this issue].

78 **2 JIRAM Instrument and Observations**

79 JIRAM [*Adriani et al.*, 2014] is an imager/spectrometer designed to study the Jo-
80 vian aurorae, as well as the planet's atmospheric structure, dynamics and composition. It
81 is composed of two IR imager channels (M centered at 4.78 μm and L centered at 3.45
82 μm) and by a spectrometer. On the rows of the bi-dimensional spectrometer sensor, the
83 entrance slit (with a Field Of View, FOV, of 3.5°) is covered by 256 pixels. The Instan-
84 taneous Field Of View (IFOV) of each pixel is about 250 \times 250 μrad . On the sensor
85 column, the spectrum is sampled in 336 spectral channels in the 2 - 5 μm range (mean
86 spectral resolution about 9 nm) with integration time of 30s. Thanks to the motion of the
87 spacecraft and the JIRAM de-spinning mirror, each slit of the spectrometer is combined
88 to provide a hyperspectral image (so called "image cube"), being the X-dimension of the
89 cube provided by the slit (corresponding to the cross-track direction), the Y-dimension col-
90 lected along-track, and the λ -dimension provided by the spectrometer spectral range. Data
91 acquired during the Moon flyby of October 2013 and during the first perijove demon-

92 strated the JIRAM radiometric performances [Adriani *et al.*, 2016]. As already mentioned,
93 in this work we focus on the spectrometer data in the 3 - 4 μm sub-range.

94 Figure 1 shows a collage of all the analyzed measurements of the northern auroral
95 region. As described in section 3.2 only measurements with emission angle lower than
96 75° have been included in the figure. Panels **a**, **b** and **c** of Figure 1 show Jupiter ortho-
97 graphic map in planetocentric coordinates focussed on the North auroral region with su-
98 perimposed spots at the geo-location of the intercept of the Line Of Sight (LOS) of each
99 spectrum acquired by JIRAM with the surface located 500 km above Jupiter 1 bar surface.
100 The colour of each dot indicates the value of the represented quantity, while the size of
101 the spot represents its spatial resolution. Panel **a** shows the emission angle of the measure-
102 ments, that is the angle made by the LOS with the vertical to the 500 km altitude surface.
103 It indicates that the measurements have been made observing the same part of Jupiter
104 from different directions, and the measurements with higher spatial resolution are also
105 the ones with lower emission angle. Panel **b** shows the solar incidence angle, that is the
106 Solar Zenith Angle (SZA) at the intercept of the LOS with the 500 km surface. We see
107 that measurements of both the dark and illuminated regions are available. Panel **c** shows
108 the integrated intensities of the analysed measurements, obtained integrating the recorded
109 signal over the 3.35-3.75 μm spectral region (where most of H_3^+ emission is located and
110 no interferences with other molecules are present) and multiplying it by the cosine of the
111 emission angle, to correct for the slant optical path. The orthographic surface shown in
112 the panels has been divided in squared bins, obtained dividing each axis in regular inter-
113 vals. The single intensities have been averaged over each bin, and bins containing less
114 than 3 measurements have not been included in the final dataset. Panel **d** of Figure 1 rep-
115 represents the contour plot of the binned distribution. In all panels, the dashed line represents
116 the geolocation of the auroral oval from existing models [Connerney *et al.*, 1998] and the
117 solid line is the statistical geolocation of the aurora [Bagenal *et al.*, 2014]. In panel **d** we
118 identify a region where the signal is maximum (that indicates a strong H_3^+ emission) and
119 that falls close to the two auroral ovals.

120 3 H₃⁺ temperature and column density estimation

121 3.1 Retrieval Code

122 Temperature and column density of H₃⁺ in the auroral regions have been determined
123 by analysing JIRAM data in the 3.5 micron region. For this purpose, we have used an
124 update of the code previously developed for the analysis of Galileo/NIMS spectra [Al-
125 tieri *et al.*, 2016]. Here we recall the basics of this system. The code is divided into two
126 modules: the Forward Model (FM) and the Retrieval Module (RM). The FM is used to
127 simulate the spectra measured by JIRAM. The spectra are simulated by assuming that the
128 emission of the auroral region is optically thin, which is supported by the fact that the H₃⁺
129 layer is located in the highest part of Jupiter's atmosphere. We also assume that the pres-
130 sure broadening of the spectral lines is negligible and that we can use the same tempera-
131 ture for all the lines of each gas. The latter hypothesis is justified by the fact that most of
132 the auroral emission originates at altitudes where vibrational local thermal equilibrium can
133 be safely assumed [Melin *et al.*, 2005], and may produce a maximum error of 5%. The
134 spectrum is simulated by first computing the intensity of each transition of the gases that
135 we want to include into the simulation. The intensity of the transition k of the molecule m
136 can be computed using the expression reported by Altieri *et al.* [2016] taken from Stallard
137 *et al.* [2002, and references therein].

138 The intensities are computed for all the spectral lines of the considered spectral re-
139 gion. To reproduce the measurements, the computed intensities are then convolved with
140 the instrumental spectral response. Since part of the JIRAM data were acquired on the
141 dayside, to take into account a variable background emission introduced by the scattered
142 sunlight, we have introduced in the FM the possibility to add a radiometric offset, constant
143 over the whole analysed spectral region, to the simulated spectra. The FM also includes
144 the possibility to evaluate analytically the derivatives of the spectra with respect to temper-
145 ature and column density of each gas.

146 The RM is the part that takes care of the determination of the required parameters.
147 We invert the measured spectra using an iterative Bayesian approach. The parameters that
148 can be retrieved with the RM are the column density along the instrument LOS and the
149 effective temperature of each considered gas. Moreover, to account for spectral calibration
150 problems, we can also retrieve a wavelength shift, the width of the instrumental response
151 function, and the radiometric offset value. At each iteration, we evaluate the weighted χ^2

152 (χ -test = $n^T S^{-1} n$) and the loop is stopped when two consecutive iterations do not yield
 153 values that differ for more than 1% percent.

154 3.2 Analysis

155 The targets of our analysis are the effective temperature of H_3^+ and its column den-
 156 sity along the Line Of Sight (LOS) of each observation. Since in recent studies [see *Al-*
 157 *tieri et al.*, 2016, and reference therein] methane emissions have been detected in the au-
 158 roral region, along with the H_3^+ data we may simultaneously retrieve temperature and col-
 159 umn density of methane along the LOS.

160 H_3^+ transitions and spectral properties have been downloaded from the web site
 161 <http://www.tampa.phys.ucl.ac.uk/ftp/astrodata/H3+/> [*Neale et al.*, 1996] and the parti-
 162 tion function has been computed using the expression of *Miller et al.* [2013]. CH_4 spectro-
 163 scopic data have been taken from the HITRAN 2012 database [*Rothman et al.*, 2013] and
 164 its partition function is evaluated using the routine of Gamache described in *Laraia et al.*
 165 [2011]. In this work, JIRAM instrumental response function is assumed to be a Gaus-
 166 sian function whose width has been evaluated during the on-ground calibration campaign
 167 [*Adriani et al.*, 2014], therefore we did not include in the retrieval the corresponding pa-
 168 rameter.

169 Figure 2 shows two JIRAM spectra in the H_3^+ emission spectral region, one acquired
 170 in the sunlit part and one in the dark part of the northern aurora. As can be seen in the
 171 Figure, the spectral region below $3.2 \mu m$ is affected by a continuum due to the scattering
 172 of sunlight by the lower atmospheric layers, that cannot be corrected using a simple ex-
 173 pression. The spectral region above $3.8 \mu m$ is contaminated by both instrumental effects
 174 and scattered radiation. Therefore, to avoid systematic errors due to the poor representa-
 175 tion of the measured spectrum, we have restricted our analysis to the 3.2 - $3.8 \mu m$ region.

176 During the first Juno orbit, on Aug. 27th 2016 in total JIRAM acquired about 75000
 177 spectra when observing the North Polar region of Jupiter. More than 16000 were recorded
 178 over the North auroral region from 08:24 to 11:51 UTC. Those spectra were acquired at
 179 different times, and both the emission angle and the pixel size at Jupiter span a wide vari-
 180 ety of values. Therefore, in order to properly map the emission on Jupiter disk, only spec-
 181 tra with an emission angle smaller than 75° have been retained in the analysis. However
 182 some of the selected spectra were affected by strong intensity spikes or showed an H_3^+ sig-

183 nal too weak to produce a reliable retrieval. Given the number of measured spectra, an
 184 automatic procedure has been designed to perform a pre-filtering of the measurements.
 185 The first step of this procedure was the identification of the spikes produced by energetic
 186 particles on the detector. For each spectrum, we have evaluated the maximum intensity
 187 recorded at the wavelengths of the H_3^+ lines. All the spectral points outside the H_3^+ lines
 188 whose intensity was larger than 1.5 times the maximum intensity were flagged as spikes,
 189 and masked out from the retrieval. Spectra with 3 or more spikes were completely dis-
 190 carded. The second step, applied after the spike removal, was the removal of the spectra
 191 where the H_3^+ signal was below the detection limit, set to $0.0001 \text{ W/m}^2/\text{sr}$. The final set of
 192 measurements included a total of 14131 spectra.

193 Each spectrum in the final set of measurements has been analysed with the retrieval
 194 code described in section 3.1. In the first run we considered H_3^+ only in the simulated
 195 spectra and the target parameters were: H_3^+ effective temperature (T) and column density
 196 (CD), a wavelength shift and an offset value for each spectrum. The uncorrelated a-priori
 197 errors (diagonal S_a) used in the retrieval were chosen to ensure a very small constraint
 198 on the retrieval results. For all the spectra we have assumed the same Noise Equivalent
 199 Spectral Radiance (NESR) of $1.5 \times 10^{-7} \text{ W}/(\text{m}^2 \text{ nm sr})$, evaluated from deep space spectra.

200 A first inspection of the retrieval results highlighted that the spectra acquired over
 201 the region inside the auroral oval showed higher χ -test values than the other spectra and
 202 anomalous H_3^+ temperatures. A visual inspection of some of these spectra showed that the
 203 intensity of the H_3^+ line at $3.32 \mu\text{m}$ was always too high in comparison to other H_3^+ diag-
 204 nostic lines. Considering that methane has already been observed in Jupiter auroral region
 205 [Altieri *et al.*, 2016] and its ν_3 Q-branch lies in the same spectral region, we simulated the
 206 analysed spectral region adding the methane emission around $3.3 \mu\text{m}$. A quick compari-
 207 son of the simulated spectra with our measurements showed that the recorded signal was
 208 compatible with the CH_4 emission at 500 K superimposed to the H_3^+ spectrum [see *Mori-*
 209 *coni et al.*, this issue]. We therefore repeated the analysis of all the spectra including the
 210 CH_4 column density among the target parameters, keeping its effective temperature fixed
 211 at 500 K. Indeed this inclusion reduced the χ -test value of the retrieval. In the regions
 212 where CH_4 emission was not a dominant feature, the inclusion of methane did not change
 213 significantly the results of the fit for the H_3^+ parameters. Where the methane emission was
 214 significant, the new H_3^+ temperatures assumed values in the expected range (700-1100 K).
 215 Finally, since the wavelength calibration of the measured spectra is expected to be depen-

216 dent only from the position of the pixel on the spectrometer slit, we used the results of the
 217 first run to fit a second order polynomial function to the set of retrieved wavelength shift
 218 versus position of the pixel. We then used that polynomial to compute the real wavelength
 219 scale and repeated the analysis (final analysis) using as free parameters just T, CD, CH₄
 220 column density and the offset. This was done to prevent the retrieval code to use the fre-
 221 quency shift to partially correct for other instrumental problems and therefore producing a
 222 bias in the retrieved parameters.

223 The results of the final analysis were further filtered by retaining only the retrievals
 224 of the spectra for which the final χ -test was smaller than 20, and the obtained T had a
 225 retrieval error lower than 100 K. No filter was applied to the size of the error on the H₃⁺
 226 CDs. The final number of obtained results is 13198.

227 **4 H₃⁺ results**

228 As can be seen in panel **a** of Fig. 1, the emission angle of the analysed observations
 229 spans a wide range of values. The retrieved CD is proportional to the length of the op-
 230 tical path inside the H₃⁺ layer (slant columns). These two facts make impossible a direct
 231 comparison of the retrieved CDs. Therefore we have transformed all the retrieved slant
 232 columns into vertical columns multiplying the retrieved values by the cosine of the emis-
 233 sion angles of the corresponding observation. Then, assuming that the vertical extent of
 234 the H₃⁺ layer at a certain geo-location is constant, similarly to what we have already done
 235 for the integrated intensities (see section 2), we have divided Jupiter surface into bins and
 236 we have averaged all the retrieval results and their errors inside the bins. The results are
 237 reported in Figure 3 for the column densities and in Figure 4 for the temperatures.

238 The right panel of Figure 3 shows that on average the retrieval error on the CD is
 239 below 30% and that the error is lower where the CDs assume the highest values. The
 240 left panel of Figure 3 shows that the peak of H₃⁺ column densities lays in part above the
 241 model oval (dashed line) and in part closer to the statistical oval (solid line). The right
 242 panel of Figure 4 shows that the highest errors on T are located in the region inside the
 243 auroral oval and in general where the H₃⁺ signal is lower (see panel **d** of Figure 1 for
 244 comparison). The left panel of Figure 4 shows that in general the highest temperatures
 245 are located on the left side of the auroral region.

246 Comparing the left panels of Figure 3 and 4 we have identified 3 regions of interest,
 247 highlighted in Fig. 5:

248 A: Longitudes from 200° to 240° and latitudes from 90° to 65°N. This region of the
 249 main auroral oval is characterised by high CDs inside (poleward of) the statistical
 250 oval with a peak (CD larger than $3.0 \times 10^{12} \text{ cm}^{-2}$) in the longitude range from 200°
 251 to 210° and 67°N in latitude. The corresponding temperature is about 850 K on av-
 252 erage. Higher values for the temperatures are retrieved equatorward, in the region
 253 located between the model and the statistical oval. A high CD region (with values
 254 about 2 times lower) was observed at the same latitudes by *Miller et al.* [1997] with
 255 the United Kingdom Infrared Telescope. Similarly to what we find, the correspond-
 256 ing H_3^+ temperatures were of the order of 880-900 K. The morphological analysis
 257 in *Mura et al.* [this issue] made using the imager L channel of JIRAM (3.3-3.6 μm)
 258 shows that this is a region of broad emission with thin coherent features (arcs) that
 259 are visible from the main oval to 10 degrees inward. Such region may be still mag-
 260 netically connected to the equatorial plane; this is in agreement with our finding of
 261 uniform temperature and CD.

262 B: Longitudes from 60° to 95°, latitudes from 90° to 75° N. On the oval arc crossing
 263 the pole, H_3^+ CDs show variation between 2 and $2.6 \times 10^{12} \text{ cm}^{-2}$, with a peak on
 264 the North Pole. Temperatures show values between 800 and 850 K, with a peak
 265 eastward of the North Pole of about 900 K.

266 C: Longitudes from 90° to 160°, latitudes from 80° to 60° N. In this region higher
 267 CD values (larger than $2.6 \times 10^{12} \text{ cm}^{-2}$) are retrieved external to the statistical oval.
 268 Temperatures show high variability between 800 and 950 K, with peaks on the
 269 statistical oval. UV emission increases have been also found in previous UV data
 270 [e.g. *Clarke et al.*, 2004; *Clarke*, 2013], and have been associated to 'dawn storms'.
 271 Moreover this side of the oval appears narrower than the other side. The shape of
 272 the auroral oval in this region, as seen also in the images reported by [*Mura et al.*,
 273 this issue], appears extremely sharp.

274 We also notice that there are spots where the temperatures are very high. Spotty
 275 features have been identified also in the L-band images reported by [*Mura et al.*, this
 276 issue]. The first extensive mapping of H_3^+ temperatures and CDs in the Northern Au-
 277 rora of Jupiter, reported in this paper, highlight many differences in their morphology. The

278 T oval and the CD oval appear asymmetric and tilted one with respect to the other. In
 279 general regions with high H_3^+ CDs do not coincide with regions with high T. Following
 280 *O'Donoghue et al.* [2014], we can speculate that high energy electrons penetrate deep in
 281 the atmosphere producing large H_3^+ quantities at low altitudes, where temperatures are low,
 282 while less energetic electrons will stop at higher altitudes, generating larger H_3^+ quantities
 283 in the upper layers of the atmosphere, where the temperature is larger. Assuming the auro-
 284 ral temperature profile reported by *Grodent et al.* [2001], a 100 K difference (from 950 K
 285 to 850 K) would mean a difference of about 150 km in the penetration depth of the elec-
 286 trons. If this is effectively the case, the temperature/CD maps would give some hint on the
 287 variability of precipitating electron energies, as suggested by *Hiraki and Tao* [2008]. An-
 288 other possibility is that the lower temperatures observed in correspondence of large CDs
 289 are a signature of the " H_3^+ thermostat effect" [*Miller et al.*, 2013]: larger H_3^+ densities lead
 290 to an increased infrared cooling of the atmosphere that lowers the local temperature. How-
 291 ever, we do not observe a strict anti-correlation between temperature and CD, suggesting
 292 that the thermostat mechanism is not enough to explain the observed differences.

293 5 Conclusions

294 We have developed an analysis tool to invert JIRAM spectra in the 3 - 4 μm spec-
 295 tral region to retrieve informations on H_3^+ distribution and temperatures in Jupiter auroral
 296 regions. The tool has been applied to JIRAM measurements acquired over the North Po-
 297 lar region during the first Juno orbit around Jupiter. Given the number of measurements
 298 acquired by JIRAM, an automatic procedure to identify and discard problematic measure-
 299 ments has been developed. We have evaluated the distribution of the H_3^+ column densi-
 300 ties and temperatures in the Northern aurora. The analysis shows that the location of the
 301 maximum H_3^+ concentration is close to what models and previous observations suggest.
 302 The high spatial resolution of the measurements suggest that the North aurora of Jupiter
 303 is not uniform, with different distributions of the H_3^+ abundance and temperature. On the
 304 main oval averaged H_3^+ CDs span between $1.8 \times 10^{12} \text{ cm}^{-2}$ and $2.8 \times 10^{12} \text{ cm}^{-2}$, while the
 305 retrieved temperatures show variation between 800 and 950 K. On the auroral region at
 306 longitudes from 90° to 170° higher H_3^+ column densities are observed equatorward of the
 307 main oval, whereas the temperature is higher inside the statistical oval region. On the con-
 308 trary, in the auroral region from 200° to 210° in longitude higher temperatures are ob-

309 served with increasing values equatorward. JIRAM first data confirm the complex rela-
 310 tionship among H_3^+ emission rates, CDs and temperatures on the Jupiter Northern aurora.

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270°

381 **Figure 1.** Orthographic map of Jupiter North Pole in planetocentric coordinates with superimposed spots at
382 the geo-location of the intercept of JIRAM LOS with the surface located at 500 km above Jupiter 1-bar sur-
383 face. The longitude scale is reported above the axis and dashed circles have been drawn every 10° of latitude.
384 The colour of each dot indicates the value of the represented quantity, while the size of the spot represents its
385 spatial resolution. The black lines represent the position of the aurora from models (dashed line) and from
386 statistics (solid line) Panel **a** shows the emission angle of the measurements, panel **b** shows the solar incidence
387 angle, panels **c** and **d** show the integrated intensities of the measurements.

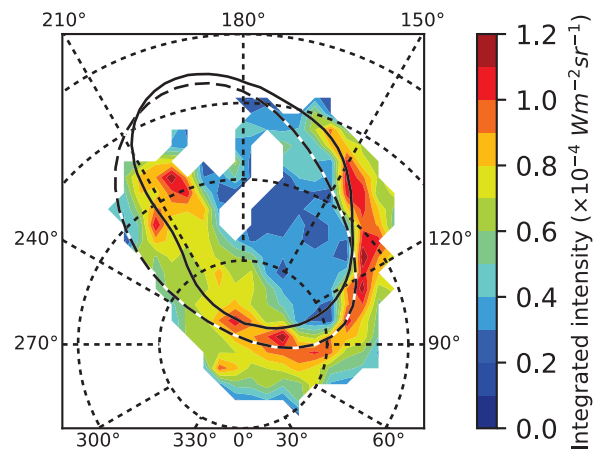
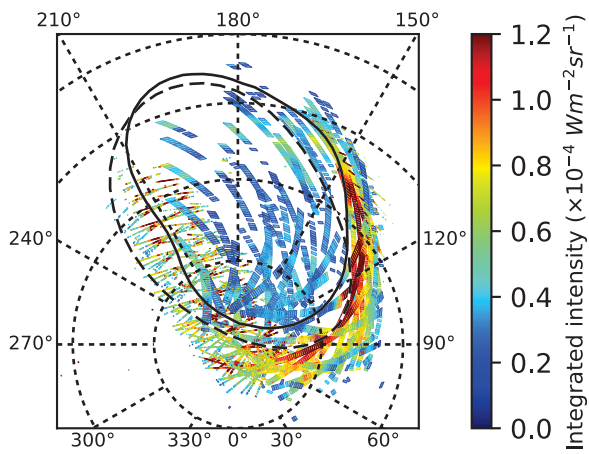
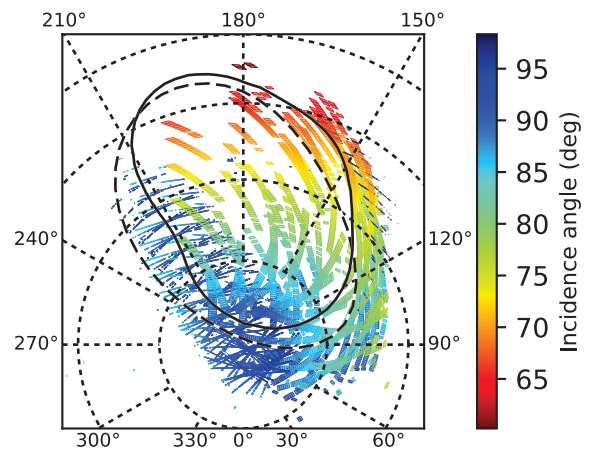
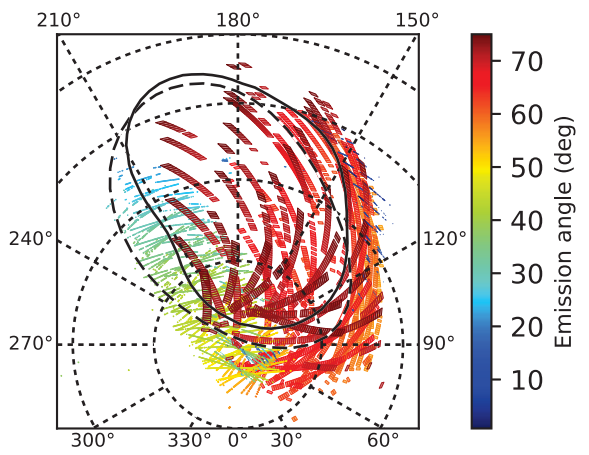
388 **Figure 2.** JIRAM spectra acquired in the sunlit auroral region (red line Jupiter solar time 6:36) and in the
389 dark auroral region (blue line Jupiter solar time 9:50)

390 **Figure 3.** Same region of Figure 1. Left panel: map of the retrieved H_3^+ column densities. Right panel: map
391 of the average retrieval error on the retrieved CDs.

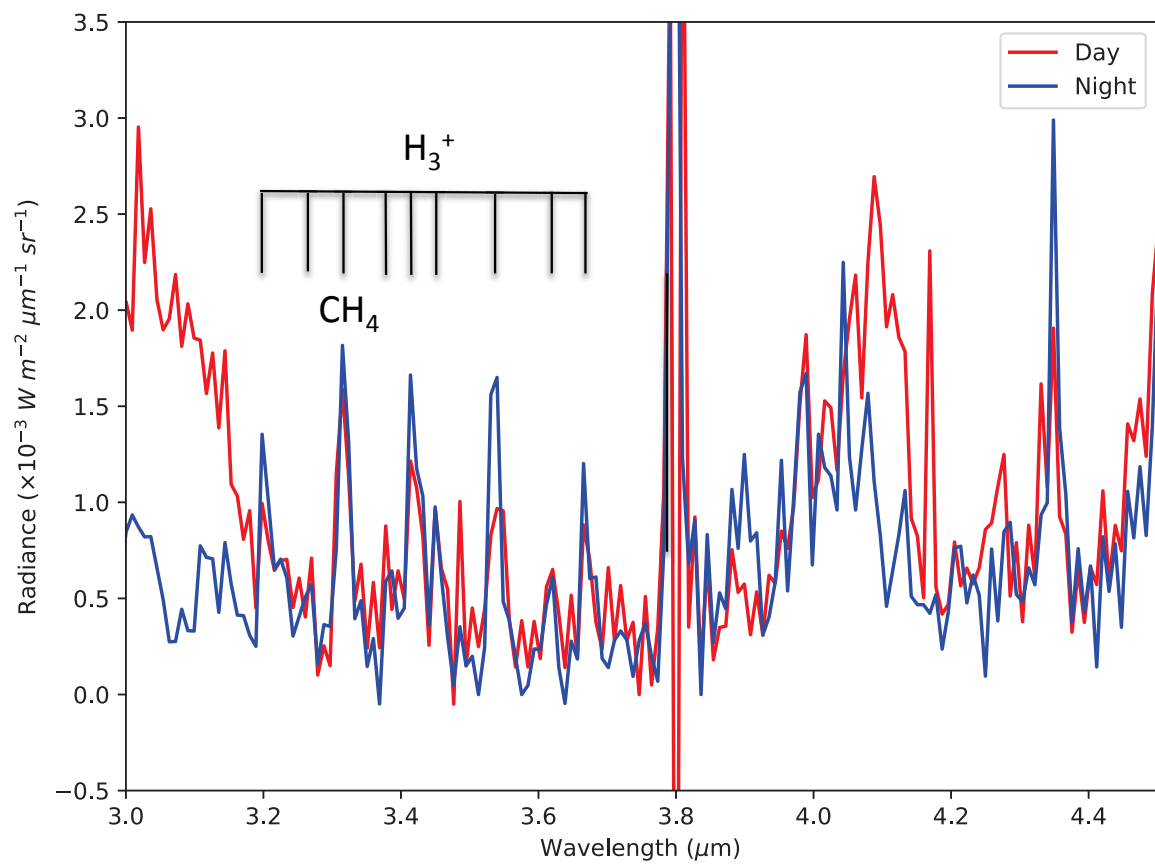
392 **Figure 4.** Same region of Figure 1. Left panel: map of the retrieved H_3^+ effective temperatures. Right panel:
393 map of the average retrieval error on the retrieved Ts.

Figure 5. Comparison of the distribution of H_3^+ CD (left panel) and T (right panel).

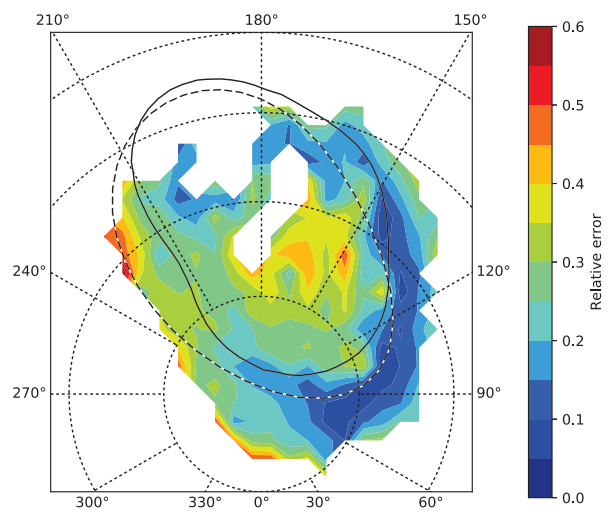
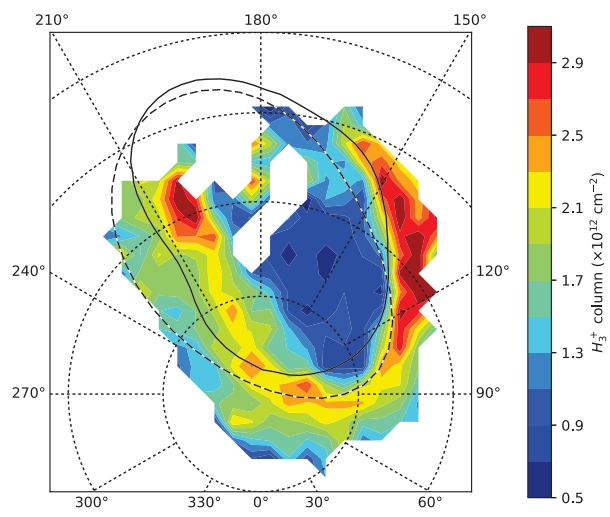
'Figure 1'.



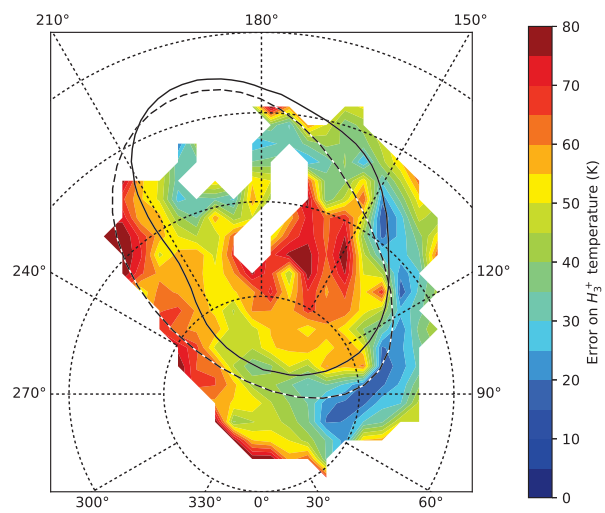
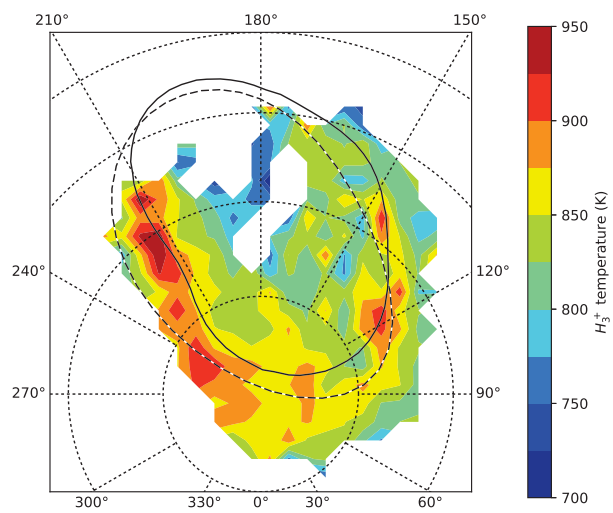
'Figure 2'.



'Figure 3'.



'Figure 4'.



'Figure 5'.

