Grammar and graphical semiotics in early syntactic diagrams: Clark (1847) and Reed-Kellogg (1876)

Nicolas Mazziotta Université de Liège & Universität Stuttgart

ICHoLS – Paris, 28 août 2017

The successfull Reed/Kellogg system (1876)

Elements at study

► Focus on **graphical depiction** of syntactic analysis

Elements at study

- ► Focus on graphical depiction of syntactic analysis
- ► The diagrams at study date from the 19th C. in the US, before current syntactic trees
 - Paradigm-shift from morphology to syntax
 - ► Focus on the "deep structure" (often, word order is abstracted away)

Elements at study

- Focus on graphical depiction of syntactic analysis
- ► The diagrams at study date from the 19th C. in the US, before current syntactic trees
 - ► Paradigm-shift from morphology to syntax
 - ► Focus on the "deep structure" (often, word order is abstracted away)
- Compared systems are similar from the point of view of grammatical theory

Elements at study

- Focus on graphical depiction of syntactic analysis
- The diagrams at study date from the 19th C. in the US, before current syntactic trees
 - Paradigm-shift from morphology to syntax
 - ► Focus on the "deep structure" (often, word order is abstracted away)
- ► Compared systems are similar from the point of view of grammatical theory

Objectives

- ▶ Identify the graphical entities used to represent grammatical units in the diagram
- Compare their behaviour

Elements at study

- Focus on graphical depiction of syntactic analysis
- The diagrams at study date from the 19th C. in the US, before current syntactic trees
 - Paradigm-shift from morphology to syntax
 - ► Focus on the "deep structure" (often, word order is abstracted away)
- ► Compared systems are similar from the point of view of grammatical theory

Objectives

- ▶ Identify the graphical entities used to represent grammatical units in the diagram
- Compare their behaviour
- Evaluate the **theoretical consequences**

Semiotic approach

The notion of reification Graphical entities are complete signs

The successfull Reed/Kellogg system (1876)

The notion of reification

Various ways to represent the relations between words

- ► Some look different but are similar
- ▶ Some look similar but are (very) different

Various ways to represent the relations between words

- ▶ Some look different but are similar
- ▶ Some look similar but are (very) different

Formal and semiotic analyses help...

- ▶ Identifying units inside a given system
- Comparing units across systems

The notion of reification

Various ways to represent the relations between words

- Some look different but are similar
- ► Some look similar but are (very) different

Formal and semiotic analyses help...

- ▶ Identifying units inside a given system
- Comparing units across systems

Conceptual units are turned into graphical entities

- They are reified (i.e. 'turned into ojects' Kahane/Mazziotta 2015) in the diagram
- ⇒ The graphical entity is bound to the conceptual unit

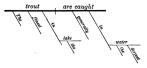
Graphical entities are complete signs

A stroke is not a mere stroke: it is a complete sign (form and value)



(Billroth 1832: 102)

Model. - The finest trout in the lake are generally caught in the deepest water.



(Reed/Kellogg 1879[1876]: 62)

A stroke is not a mere stroke: it is a complete sign (form and value)

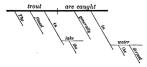


(Billroth 1832: 102)

Comparison

- words (conceptual units) are reified by words
- relations (conceptual units) are reified by strokes

Model. - The finest trout in the lake are generally caught in the deepest water.



(Reed/Kellogg 1879[1876]: 62)

Graphical entities are complete signs

A stroke is not a mere stroke : it is a complete sign (form and value)

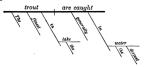


(Billroth 1832: 102)

Comparison

- words (conceptual units) are reified by words
- relations (conceptual units) are reified by strokes

Model.—The finest trout in the lake are generally caught in the deepest water.



(Reed/Kellogg 1879[1876] : 62)

- words are reified by labeled strokes
- relations are mostly reified by the relative positions of words

Semiotic approach

The notion of reification
Graphical entities are complete signs

Early syntactic diagramming

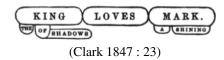
Drawing syntax before syntactic trees Clark's seminal work (1847) The successfull Reed/Kellogg system (1876)

Logic of space : Case studies Subject-predicate relation Coordination

Conclusion

Continuity between Clark 1847 and Reed/Kellogg 1876

Most diagrams seem to reify words rather than relations



Continuity between Clark 1847 and Reed/Kellogg 1876

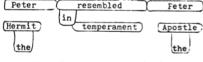
Most diagrams seem to reify words rather than relations



(Chandler 1860 : 153, apud Brittain 1973)

Continuity between Clark 1847 and Reed/Kellogg 1876

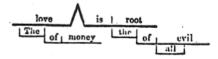
Most diagrams seem to reify words rather than relations



(Jewell 1861 : 17, apud Brittain 1973)

Continuity between Clark 1847 and Reed/Kellogg 1876

Most diagrams seem to reify words rather than relations



(Burtt 1869 : 265, apud Brittain 1973)

Continuity between Clark 1847 and Reed/Kellogg 1876

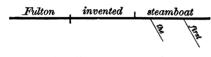
Most diagrams seem to reify words rather than relations



(Lighthall 1872 : 50, apud Brittain 1973)

Continuity between Clark 1847 and Reed/Kellogg 1876

Most diagrams seem to reify words rather than relations

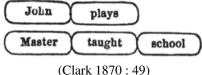


(Reed/Kellogg 1879[1876]: 81)

General rules

General rules

► The sentence is a combination of a **subject**, a **predicate** and, optionally, an **object**

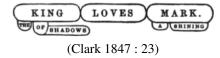


(Clark 18/0

Horizontally arranged bubbles

General rules

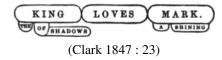
- ► The sentence is a combination of a subject, a predicate and, optionally, an object
- ► These elements can be complemented by **adjuncts**



Vertically connected bubbles (recursive)

General rules

- ► The sentence is a combination of a subject, a predicate and, optionally, an object
- ▶ These elements can be complemented by adjuncts
- Preprositional phrases are a combination of a preposition (leader) and a noun (subsequent)



Vertically connected bubble for the leader, horizontally arranged with its subsequent

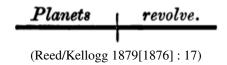
0000

The successfull Reed/Kellogg system (1876)

General rules

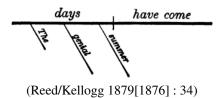
General rules

► The sentence is a combination of a **subject**, a **predicate** optionally containing an object



General rules

- ► The sentence is a combination of a subject, a predicate optionally containing an object
- ► These elements can be complemented by **modifiers**

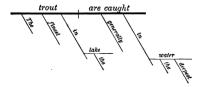


Vertically connected strokes (recursive)

General rules

- ► The sentence is a combination of a subject, a predicate optionally containing an object
- ► These elements can be complemented by modifiers
- ▶ **Prepositional phrases** are a combination of a *preposition* and a *noun*

. **Model.**—The finest trout in the lake are generally caught in the deepest water.



(Reed/Kellogg 1879[1876]: 62)

Vertically connected stroke for the preposition, horizontally arranged with the noun

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

- ▶ Distinction between principal parts and adjuncts/modifiers
- ► Hybrid status for prepositional phrases

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

- ▶ Distinction between principal parts and adjuncts/modifiers
- ► Hybrid status for prepositional phrases

Visual entities differ

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

- Distinction between principal parts and adjuncts/modifiers
- Hybrid status for prepositional phrases

Visual entities differ

► Clark : words are represented by **bubbles** (bidimensonal)

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

- ▶ Distinction between principal parts and adjuncts/modifiers
- Hybrid status for prepositional phrases

Visual entities differ

- Clark: words are represented by bubbles (bidimensonal)
- Reed/Kellogg: words are represented by **strokes** with a specific angle (monodimensional)

The rationales in Clark 1847 and Reed/Kellogg 1876 are roughly the same

- ▶ Distinction between principal parts and adjuncts/modifiers
- Hybrid status for prepositional phrases

Visual entities differ

- Clark: words are represented by bubbles (bidimensonal)
- Reed/Kellogg: words are represented by **strokes** with a specific angle (monodimensional)

What are the consequences?

Semiotic approach

The notion of reification Graphical entities are complete signs

Early syntactic diagramming

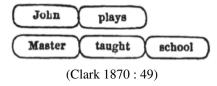
Drawing syntax before syntactic trees Clark's seminal work (1847) The successfull Reed/Kellogg system (1876)

Logic of space : Case studies Subject-predicate relation Coordination Subordinate clauses

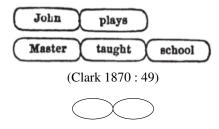
Conclusion

Subject-predicate relation

Clark



Clark



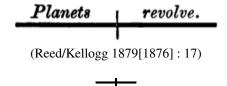
Subject-predicate relation

Reed/Kellogg



Subject-predicate relation

Reed/Kellogg



"I will draw on the board a heavy, or shaded, line, and divide it into two parts [...] I will consider the first part as a sign of the subject of a sentence, and the second part as a sign of the predicate of a sentence." (Reed/Kellogg 1879[1876]: 17)

Subject-predicate relation





Comparison

► Clark : two entities (bubbles) arranged horizontally

ubject-predicate relation



- Clark: two entities (bubbles) arranged horizontally
- Reed/Kellogg: three entities (strokes), including a specific entity for the Subject/Predicate relation

Subject-predicate relation



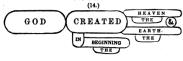
- Clark: two entities (bubbles) arranged horizontally
- ▶ Reed/Kellogg: three entities (strokes), including a specific entity for the Subject/Predicate relation
- ⇒ Using strokes offers no solution but to introduce an additional entity

000000000

Coordination

Clark

"In the beginning God created the heaven and the earth."

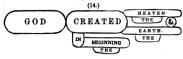


(Clark 1847: 24)

Coordination

Clark

"In the beginning God created the heaven and the earth."



(Clark 1847: 24)



Coordination

Reed/Kellogg

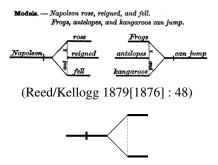
Models. - Napoleon rose, reigned, and fell. Frogs, antelopes, and kangaroos can jump.



(Reed/Kellogg 1879[1876]: 48)

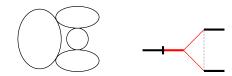
Coordination

Reed/Kellogg



"The short line following the subject line represents the entire **predicate**, and is supposed to be continued in the three horizontal lines that follow, each of which represents one of the parts of the compound predicate. These lines are united by dotted lines, which stand for the connecting words. The \times denotes that an *and* is understood." (Reed-Kellogg 1879[1876]: 47-48)

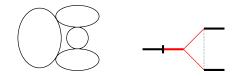
Coordination



Logic of space : Case studies ○○○○○○○○○○

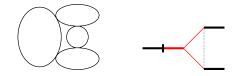
Coordination

Comparison

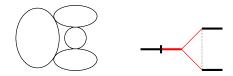


► Clark : no more entities than words

Coordination

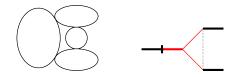


- ▶ Clark : no more entities than words
- ▶ Reed-Kellogg:
 - one entity reifies independently the "entire predicate",



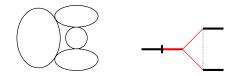
- Clark: no more entities than words
- ► Reed-Kellogg:
 - one entity reifies independently the "entire predicate",
 - other strokes reify its "parts" and are linked by lighter strokes reifying the part-whole structure

Coordination



- Clark: no more entities than words
- ▶ Reed-Kellogg:
 - one entity reifies independently the "entire predicate",
 - other strokes reify its "parts" and are linked by lighter strokes reifying the part-whole structure
 - the special relational status of the conjunction is symbolically rendered

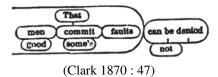
Coordination



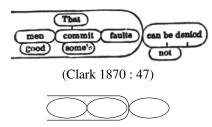
- Clark: no more entities than words
- ▶ Reed-Kellogg:
 - one entity reifies independently the "entire predicate",
 - other strokes reify its "parts" and are linked by lighter strokes reifying the part-whole structure
 - the special relational status of the conjunction is symbolically rendered
- ⇒ Using strokes with a specific angle offers no solution but to introduce an additional entity

Subordinate clauses

Clark

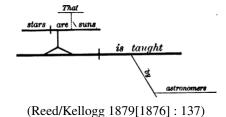


Clark

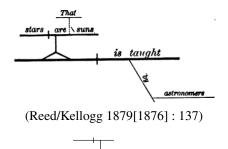


Subordinate clauses

Reed/Kellogg

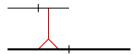


Reed/Kellogg

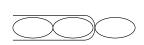


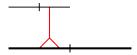
"As this [sentence] subject cannot, in its proper form, be written on the subject line, it is placed above, and, by means of a support, the [sentence] diagram is made to rest on the subject line." (Reed/Kellogg 1879[1876]: 107)





Comparison



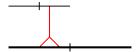


Logic of space : Case studies

▶ Clark : one bubble per word + one bubble for the sentence used as a constituent

Comparison

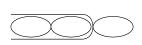


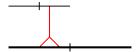


Logic of space : Case studies

- ► Clark : one bubble per word + one bubble for the sentence used as a constituent
- ▶ Reed-Kellogg : additional symbolic stroke between the sentence as a constituents and its decomposition

Comparison



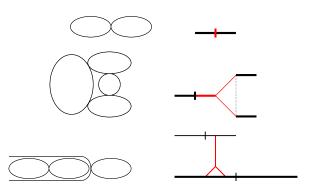


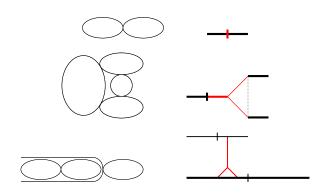
Logic of space : Case studies

- ► Clark : one bubble per word + one bubble for the sentence used as a constituent
- ▶ Reed-Kellogg: additional symbolic stroke between the sentence as a constituents and its decomposition
- ⇒ The monodimensionality of strokes does not allow to depict inclusion in an iconic way

The successfull Reed/Kellogg system (1876)

Conclusion





Consequences of using strokes with a specific angle

- ▶ it makes it impossible not to reify intermediate units (relations)
- ▶ it emphasizes the reified expression of part-whole relations

Grammatical theory and graphical conventions

- ► Interfere with one another
- Conceptual units are reified
- ► The choice of the graphical conventions constrain what can be expressed and how

Conclusion

Grammatical theory and graphical conventions

- ▶ Interfere with one another
- Conceptual units are reified
- ► The choice of the graphical conventions constrain what can be expressed and how

History of syntactic diagramming

- Necessitates a semiotic analysis that break down diagrams into entities
- Shows the evolution between similar systems

nicolas.mazziotta@ulq.ac.be