# FACILITATORS AND BARRIERS FOR THE ADOPTION, IMPLEMENTATION AND MONITORING OF CHILD SAFETY INTERVENTIONS: A MULTINATIONAL QUALITATIVE ANALYSIS

# **Corresponding Author**

Beatrice Scholtes
Department of International Health
School of Public Health and Primary Care
Faculty of Health, Medicine and Life Sciences
Maastricht University
PO Box 616,
6200 MD Maastricht
The Netherlands
Beatrice.scholtes@maastrichtuniversity.nl
0032 484 50 40 71

Bureau de Projets Centre Hospitalier Universitaire de Liège Domaine Universitaire du Sart Tilman - B.35 4000 LIEGE

Peter Schröder-Bäck
Department of International Health
School of Public Health and Primary Care
Faculty of Health, Medicine and Life Sciences
Maastricht University
Maastricht
The Netherlands

Faculty for Human Sciences & Health Sciences University of Bremen Germany

J. Morag MacKay SafeKids Worldwide Washington DC USA

Joanne Vincenten
Department of International Health
School of Public Health and Primary Care
Faculty of Health, Medicine and Life Sciences
Maastricht University
PO Box 616,
6200 MD Maastricht

# The Netherlands

Katharina Förster
Department of International Health
School of Public Health and Primary Care,
Faculty of Health, Medicine and Life Sciences
Maastricht University
Maastricht
The Netherlands

Helmut Brand
Department of International Health
School of Public Health and Primary Care
Faculty of Health, Medicine and Life Sciences
Maastricht University
Maastricht
The Netherlands

# Keywords

Implementation / Translation Qualitative research Child Interventions

Word count = 3330

#### **ABSTRACT**

#### Introduction

The efficiency and effectiveness of child safety interventions are determined by the quality of the implementation process. This multi-national European study aimed to identify facilitators and barriers for the three phases of implementation: adoption, implementation and monitoring (AIM process).

#### Methods

Twenty-seven participants from across the WHO European Region were invited to provide case studies of child safety interventions from their country. Cases were selected by the authors to ensure broad coverage of injury issues, age groups and governance level of implementation (e.g., national, regional or local). Each participant presented their case and provided a written account according to a standardised template. Presentations and question and answer sessions were recorded. The presentation slides, written accounts and the notes taken during the workshops were analysed using thematic content analysis to elicit facilitators and barriers.

#### **Results**

Twenty-six cases (from 26 different countries) were presented and analysed. Facilitators and barriers were identified within eight general themes, applicable across the AIM process: management and collaboration; resources; leadership; nature of the intervention; political, social and cultural environment; visibility; nature of the injury problem and analysis and interpretation.

# Conclusion

The importance of the quality of the implementation process for intervention effectiveness, coupled with limited resources for child safety makes it more difficult to achieve successful actions. The findings of this study, divided by phase of the AIM process, provide practitioners with practical suggestions where proactive planning might help increase the likelihood of effective implementation.

#### **INTRODUCTION**

There is a strong evidence-base of effective child safety interventions that has been established over the last few decades.[1-4] Many of these interventions have been implemented and, in the WHO European Region between 2000 and 2011, the number of deaths among children (0-14) due to injury has decreased by 44%.[5] However, not all children in Europe enjoy the same level of protection. Child injury rates vary between and within countries and the gap in Europe, between high income countries and low and medium income countries, has widened.[5]

Widespread implementation of evidence-based child safety interventions, at all levels of governance, is one way to approach the problem.[6] However, there are some important considerations during implementation. The implementation process itself is a determinant of intervention effectiveness: programmes that have been carefully implemented and are unimpeded by serious implementation problems are associated with better outcomes.[7] Additionally, the sustainability of interventions plays a role. Insufficient intervention duration can affect whether an intervention is effective.[8]

Despite the importance of implementation, scientific research in injury prevention is largely focused upon outcome as opposed to process providing practitioners with little guidance as to *how* to make an intervention work. [9-13]

Several reviews have investigated the implementation process in different health contexts, such as diffusion of innovation within organisations and implementation practices in mental health and nursing.[14-16] Regrettably child safety interventions were not included in these large reviews.

There have, however, been a few studies addressing implementation issues specific to injury prevention. Brussoni et al. (2006) explored a methodology to bring together scientific evidence and practitioner experience using the case of smoke alarm installation.[9] The sustainability of community-based injury prevention interventions and the role of factors such as structure, process and context in the effectiveness of

such interventions has been studied by Nilsen et al. (2004, 2005)[8, 17] Additionally, the feasibility of policy transfer for unintentional injury has been investigated.[18] A recent study by Rothman et al. (2016) explored the facilitators and enablers to enact child and youth injury prevention legislation in Canada.[19] Finally, conceptual work by Bugeja et al. (2011), addresses the research to practice gap in injury prevention by proposing a public policy approach to injury prevention, described from the practitioner's perspective.[20]

Findings of these studies are broad, including the importance of windows of opportunity[20], resources[9, 18, 19] and the challenges of multi-sectoral working.[9]

This qualitative study aims to build upon this evidence base with a focus upon child safety in a multi-national context. The aim was to identify facilitators and barriers to adoption, implementation and monitoring of child safety interventions.

#### **METHODS**

The study, emerged within a large-scale European Union (EU) project: Tools to Address Childhood Trauma and Children's Safety (TACTICS).[21] The implementation process was broken down into three broad phases: adoption, implementation and monitoring of good practice child safety interventions, referred to collectively as the AIM process. These phases constitute a simplified and condensed version of the stages of implementation as described by Fixsen et al. 2005,[22] with additional emphasis on monitoring.

#### **Definitions**

By adoption, the authors refer to an explicit decision to take up an intervention. Implementation signifies action taken to put into operation an intervention including, as appropriate, enforcement activities. Monitoring denotes the collection and analysis of data for the specific purpose of examining how well an intervention is being implemented and its impact.

# Data collection

Participants were invited to prepare a case study (presentation and a written account) of a good practice child safety intervention that had been implemented in their country.

To ensure broad coverage of the child safety field one of the authors (MM) developed a matrix, which was reviewed by the TACTICS scientific committee. The scope of the TACTICS project influenced the choice of injury categories due to its focus on the injury domains road, water and home safety and intentional injury prevention. To populate the matrix, participants were asked to submit good practice interventions from their countries (good-practice as defined in the ECSA Child Safety Good Practice Guide).[2] Cases were selected by the authors of this study to maximise coverage of issues and age groups, as well as to represent the governance level of implementation (e.g., national, regional or local).

The participants prepared their presentation using a template and guidelines developed by the authors (appendix 1), which specifically elicited facilitators and barriers for each stage of the AIM process.

The presentations were made during two workshops that took place in Rome, Italy in October 2011 and Copenhagen, Denmark in May 2012. Each presentation was approximately 15 minutes duration. A data extraction form (appendix 2) was used to record details of the presentations. A question and answer session, attended by all the participants and four of the authors (BS, PSB, MM and JV), followed the presentations. The aim of the question and answer sessions was to clarify any unclear details and to allow free discussion to take place. Both the presentations and the question and answer sessions were audio-recorded. Following the two workshops participants wrote up their case studies using another template and guidelines (appendix 3) allowing them to elaborate on details of the cases.

# **Participants**

Participants in the study were representatives from member organisations of the European Child Safety Alliance (ECSA). The participants were either partners on the

TACTICS project, or individuals chosen by the project partner. Each participant represented a different country.

## **Ethics**

Ethical approval was not sought because the scope of the study is not considered human subjects research according to the Dutch Medical Research Involving Human Subjects Act.[23] Correspondingly, the ethics committee of Maastricht University does not review proposals that fall outside this definition. Nevertheless, all participants signed a project agreement as part of a EU funded project that covered issues such as use of data and publication. Participants were informed ahead of time that presentations would be recorded.

## **Data Analysis**

Data analysis was done in three stages. In stage one, one of the authors (BS) employed thematic content analysis[24] to analyse and code the data for statements of facilitators and barriers for each phase of the AIM process: adoption, implementation and monitoring. Phase one was concluded when all the data had been analysed and no new statements were found (data saturation). The result of phase one was a list of facilitator and barrier statements grouped to the phase of the AIM process to which they applied. Data analysis was conducted by hand and with the use of Microsoft Excel.

In the second stage of analysis four of the authors (BS, PSB, KF and MM) independently reviewed and grouped the statements into logical themes. The themes suggested by each author were then collated and harmonised, with the agreement of all the authors, into a final list of themes. The participation of the group helped ensure quality and increase objectivity.[25]

In the final phase of the analysis, four of the authors (BS, PSB, KF and MM) were asked to re-sort the statements, this time among the list of agreed themes. The author leading the analysis (BS) collated the results and where there were

differences, the final content of each theme was agreed among all of the authors by consensus.

## **RESULTS**

Twenty-six cases from 26 countries in the WHO European Region were included in the study (table 1). Cases were included from six of the seven original categories of the matrix. The planned case for child maltreatment prevention was not included, as the participant was unable to present and attend the workshop.

Data analysis was performed using three sources of data: the presentation slides, the written accounts and the notes taken during the workshop. In addition, we used the audio recordings to clarify and verify points, however they were not transcribed.

Table 1 The cases and countries included in the study

Injury domain	Name of intervention	Age group	Country
Road safety	National Road Safety Campaign	Pre-school and	Belgium
		school age	
	Respect Our Signs" Croatian national	School age	Croatia
	Road Safety Programme		
	The Safe Routes to School pedestrian	School age and	Denmark
	safety project, Odense Municipality	adolescent	5
	Tax reduction on child passenger	Pre-school	Portugal
	restraint systems		ъ .
	"Stop traffic accidents! Life has priority"	School age and	Romania
M-4	Road safety campaign	adolescent	F
Water safety	Swimming pool safety legislation	Pre-school	France
	Drowning prevention programme	Pre-school and	Iceland
	Duamantina life inclust use	school age	luala ad
	Promoting life jacket use	Pre-school and	Ireland
	National autim diploma programma	school age School age	The
	National swim diploma programme "Swim ABC"	SCHOOL age	Netherlan
	SWIIII ADC		
	Swimming school for all; training	Pre-school and	s Sweden
	bilingual swimming teachers	school age	Sweden
Home Safety	"Bärenburg" (Child Safety House	Pre-school and	Austria
nome salety	barefiburg (Ciliu Salety House	school age	Austria
	"Safe at Home" National Home Safety	Pre-school	England
	Equipment Scheme	r ie-school	Liigiailu
	"Beware Poisonous!" - Avoid poisoning	Pre-school	Germany
	in immigrant families	TTC SCHOOL	Germany
	Voluntary Standards for Safe Homes for	Pre-school and	Israel
	Children	school age	151401
	Involving family doctors in child safety	Pre-school, school	Latvia
	measures	age and adolescent	
	Public playgrounds – requirements for	Pre-school and	Malta
	public playground safety and their	school age	
	management	J	
	Prevention of burn injuries in Harstad	Pre-school	Norway
	National Blind Cord Safety Campaign	Pre-school	Scotland
	National home visiting programme for	Pre-school	Slovenia
	families with newborns		
Suicide	The National Suicide Prevention Project	Adolescent	Finland
prevention	-		
	Suicide and self-harm prevention	Adolescent	Greece
Peer violence	Stop Bullying: A nationwide school	School age and	Lithuania
prevention	campaign	adolescent	
	Stop Bullying: A nationwide school	School age and	Slovakia
	campaign	adolescent	Siovakia
Data and	Health behaviour in School-aged Children	School age	Hungary
monitoring	(HBSC) study as a potential source of	2011001 450	i idiigai y
	monitoring		
	Working with coroners to improve child	Pre-school, school	Spain
	injury monitoring in Catalonia	age and adolescent	орин
	All Wales Injury Surveillance System,	Pre-school, school	Wales
	Emergency department data collection	age and adolescent	TTUICS
	of facilitators or barriers identified w		· · ·

The number of facilitators or barriers identified within the case studies decreased over the three phases of the AIM process. None of the case studies identified both

facilitators and barriers for all three of the phases of the AIM process. The highest number of statements occurred for barriers to adoption, which had 24 statements and the lowest was ten statements for facilitators to monitoring.

Categorisation of the statements and harmonisation of the results produced eight general themes applicable across the AIM process: management and collaboration, resources, leadership, nature of the intervention, political, social and cultural environment, visibility, nature of the injury problem and analysis and interpretation. A short description of each theme, where in the AIM process it appears and whether it was a facilitator or barrier is displayed in table 2.

Table 2 Identified themes within the AIM process

<u> </u>	Adop	tion	Imple -atior		Moni	toring
Theme		Facilitator	Barrier	Facilitator	Barrier	Facilitator
Management and collaboration Efficient management of whole AIM process (planning, organising, controlling resources, meeting deadlines and achieving predetermined goals. Successful collaboration; Building and maintaining partnerships, ensuring clarity among partner roles, managing large and diverse teams		<b>v</b>	<b>v</b>	<b>v</b>	<b>v</b>	<b>v</b>
Resources Financial and human (adequate number and relevant skill set) resources, availability of data, time constraints	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>
Leadership Formal leadership - with formal responsibility to deliver, Informal leadership - no formal responsibility but influence (i.e. champion)	✓	✓	✓	<b>✓</b>		✓
Nature of intervention  Design of intervention, existing supporting evidence, established need, possibility to adapt to local environment, presence of pilot	✓	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>	<b>✓</b>
Political, social and cultural environment Presence of supportive or unsupportive political social or cultural environment, existing laws, international or national policy agenda	✓	✓	✓	✓		
Visibility Public demand or concern about injury, media coverage, government focus on injury	<b>✓</b>	<b>✓</b>		<b>✓</b>		
Nature of injury problem Complexity of injury as public health issue, inter-sectoral nature, unclear location of responsibility for prevention, taboo nature of some issues (e.g. suicide), difficulties regarding data availability	<b>✓</b>		✓		✓	
Analysis and interpretation  Difficulties encountered during data analysis and interpretation of results					<b>✓</b>	

# **Adoption Phase**

The adoption phase (table 3) was generally characterised by facilitators and barriers to establishing a collaborative partnership and building momentum for the AIM process. Strong leadership and commitment among project partners to the intervention was a facilitator. Participants described how taking a win-win approach to collaboration helped to maintain commitment and strengthen partnerships. The availability of resources (financial, human - including appropriate skills, time and data) was centrally important. Local data were used to assess the state of affairs and

demonstrate the need for action, while comparative data highlighted inequalities or a low performance compared to neighbouring countries.

Table 3 Facilitators and barriers identified at the adoption phase

Themes	rators and barriers identified at the adoption parties.	Barriers
Management and Collaboration	<ul> <li>Clear role of leading organisation as coordinator of partners</li> <li>Commitment to the intervention among partners</li> <li>Win-win approach to collaboration</li> <li>Existing organiser's network</li> <li>Internal collaboration among organisers and with external organisations</li> <li>Organisations with good reputations</li> </ul>	
Resources	<ul> <li>Availability of funding</li> <li>Sufficient time</li> <li>Availability of personnel with the appropriate skills</li> <li>Availability of Data</li> <li>Key figure or organisation providing technical skills and/or data</li> </ul>	<ul> <li>Lack of funding</li> <li>Lack of time</li> <li>Lack of personnel</li> <li>Lack of sufficiently trained personnel</li> <li>Lack of infrastructure</li> </ul>
Leadership	<ul> <li>Leading figure(s) with many contacts</li> <li>Strong political will</li> <li>Establishment of new government entity</li> <li>Key figure initiating data collection</li> <li>National/top-down initiative</li> </ul>	<ul> <li>Local resistance to change among organisations affected by intervention</li> <li>Lack of leadership among partnering organisations</li> </ul>
Nature of the Intervention	<ul> <li>High quality intervention (good evidence of efficacy)</li> <li>Low funding requirements</li> <li>Economic incentive for enforcement</li> <li>Intervention already trialled in another country or region</li> <li>Intervention constituted extension of existing programme</li> <li>Experience from other (comparable) countries</li> <li>Integrated pre-intervention research (e.g., needs assessment)</li> </ul>	<ul> <li>Pioneering a new strategy</li> <li>Internal disagreement among project partners regarding aspects of the intervention (e.g., differing visions of how the intervention would be when implemented)</li> <li>Design of safety device – unappealing to public</li> </ul>
Political, Social and cultural environment	<ul> <li>Previous and current national gov.         policies/reports/strategies/agendas/enquiries</li> <li>Relevant international reports/strategies</li> <li>Incoherent existing policies causing controversy</li> <li>Cross-sectoral committee/support</li> <li>Existing safety laws</li> </ul>	<ul> <li>Lack of safety culture among population</li> <li>Linguistic or cultural challenges</li> <li>Armed conflict</li> <li>Lack of clarity regarding confidentiality of data</li> </ul>
Visibility	<ul> <li>Wide public recognition of problem (e.g., media focus on injury issue)</li> <li>Media campaign/media participation/ publicity events</li> <li>Public and governmental pressure</li> <li>Window of opportunity to spur government action</li> </ul>	<ul><li>Lack of public demand</li><li>Issue not prioritised in government strategy</li><li>Local government apathy</li><li>Low media visibility</li></ul>
Nature of the injury problem	ha intomontion itself facilitated on hindon	<ul> <li>Inter-sectoral nature of child injury prevention - shared or unclear responsibility</li> <li>Taboo subject (e.g. suicide)</li> </ul>

Aspects of the intervention itself facilitated or hindered adoption. High quality, inexpensive interventions, with good evidence of efficacy, previously trialled in other

countries were easier to adopt. Interventions that constituted an extension of existing programmes and those with integrated pre-intervention research (e.g., a needs assessment) also facilitated adoption. Interventions that were completely new were more difficult to adopt.

Political and public recognition of an issue facilitated adoption. Participants described how strong media coverage surrounding even a single injury event could benefit their campaign. Equally a lack of public demand, lack of government prioritisation or local government apathy were barriers to adoption. The nature of injury as a public health issue was a challenge at the adoption stage (e.g., the need for multi-sectoral collaboration led to confusion among sectors concerning responsibility to act).

# **Implementation Phase**

Findings for the implementation phase (table 4) focused on maintenance of the collaborative partnership and progression through the AIM process. Facilitators included factors promoting partnership and leadership stability (such as organised, respected, and enthusiastic partners). Routine project evaluation revealed problems and helped to solve them. A lack of evaluation was a barrier, particularly in the context of prolonging an existing intervention and learning from or demonstrating previous experience.

Table 4 Facilitators and Barriers identified at the Implementation phase

Themes	Facilitators	Barriers
Management	- Common understanding of long-term	- Co-operation problems with existing
and	nature of AIM process	partners
collaboration	<ul> <li>Co-operation with academic institution</li> <li>Enthusiasm from partners</li> <li>Local partnerships</li> <li>Partner's network</li> <li>Partners organised and respected</li> <li>Routine monitoring and evaluation from outset</li> </ul>	<ul> <li>Failure by partners to meet deadlines</li> <li>Internal organisational changes</li> <li>Poor internal understanding of implementation</li> <li>Problems establishing partnerships</li> <li>Lack of clarity regarding partner roles</li> <li>Resistance among partners to comply with the central scheme</li> <li>Lack of monitoring</li> </ul>
Resources	<ul> <li>Availability of funding</li> <li>Fundraising support from local organisations</li> <li>Funds allocated to media campaign</li> <li>Staff training as part of scheme set-up</li> <li>In kind support from professionals</li> <li>Production and distribution of supporting educational materials</li> </ul>	<ul><li>Lack of funding</li><li>Lack of sufficiently trained personnel</li><li>Heavy workload or fear of increased workload</li></ul>
Leadership	<ul> <li>Good internal leadership of consortium: central administration, support and information</li> <li>Stability of key figures and personnel</li> <li>Inter-ministerial co-operation</li> <li>Committed champions</li> <li>National/top-down initiative</li> </ul>	<ul> <li>Challenges for national organisation to act locally</li> <li>Policy maker misunderstanding problem</li> <li>Resignation of champion</li> </ul>
Nature of the Intervention	<ul> <li>Robust intervention</li> <li>Pilot phase with good results</li> <li>Co-financing/co-benefits for partners</li> <li>Links to other projects</li> <li>Existing intervention with own resources (protocol/educational material)</li> <li>No-charge nature of intervention (e.g., free equipment and fitting)</li> <li>Action taken from beginning to properly address target population</li> <li>Strong research base and reliable data</li> <li>Compliance with intervention easy and not too expensive</li> <li>Legal clarity</li> </ul>	<ul> <li>Difficulties encountered when adapting intervention to setting</li> <li>Large and Complex interventions</li> <li>Efficacy of recommended items questionable</li> <li>Voluntary nature of participation (e.g., voluntary standards)</li> <li>Misunderstanding/lack of resources among enforcers</li> <li>Confusion among consumers</li> </ul>
Political, Social and cultural environment	<ul><li>Change in national agenda</li><li>Better designed safety products on the market</li><li>Existing legislation</li></ul>	<ul><li>Change in political climate</li><li>Lack of safety culture among population</li><li>Circumstances relating to armed conflict</li></ul>
Visibility	<ul> <li>Interest in safety gave rise to a new market for safety equipment</li> <li>Problem addressed was widely recognised</li> <li>Publicity</li> </ul>	
Nature of the injury problem		<ul><li>Taboo subject (e.g., suicide)</li><li>Relatively low number of child deaths</li></ul>

Availability of sufficient resources, to match the intervention (and ideally its potential evolution), was essential. Difficulties regarding funding were said to impact human resource availability due to the time investment needed to secure funds. Some human resource issues were tangible (e.g., lack of skills) and some were presented as more subjective (e.g., staff fear of an increased workload); staff training and capacity building were cited as ways to address these issues.

Changes in the political, social and cultural environment affected the implementation phase and managing these changes required a flexible and innovative approach. High visibility of the injury issue and wide publicity of the intervention (e.g., media interest and a dedicated website) was a facilitator. Additionally, the sense that the problem being addressed was widely recognised drove momentum among organisers and decision makers.

# **Monitoring Phase**

Factors affecting the monitoring phase (table 5) were more centred on the feasibility of monitoring and some seemed to consider it an optional phase. Leadership facilitated monitoring if, for example, an external organisation, leader or champion required an evaluation as part of their participation. Likewise partnerships with institutions such as national research institutes or universities helped.

Table 5 Facilitators and Barriers identified at the Implementation phase

Themes	Facilitators	Barriers
Management and collaboration	<ul> <li>Definition of milestones at outset</li> <li>Strategic indicators put in place in business plan</li> <li>Detailed project costs set-out from beginning</li> <li>Mixed research methods (surveys, case studies, etc.)</li> <li>Minutes/agendas of all meetings</li> <li>Possible risks identified and monitored in advance</li> <li>Data collected throughout scheme</li> <li>Role of external company, sponsor or organisation with own evaluation requirements</li> </ul>	- Poor coordination - Lack of process evaluation
Resources	- Infrastructure - Availability of data	<ul> <li>Lack of funding</li> <li>Lack of personnel</li> <li>Lack of sufficiently trained personnel</li> <li>Lack of infrastructure</li> <li>Time-consuming process</li> <li>Lack of international comparator</li> <li>Lack of routine data collection</li> <li>No data to control for external factors</li> <li>No data to evaluate change in attitudes/awareness</li> <li>Short time frame of activities</li> </ul>
Leadership	<ul><li>Support from ministry</li><li>Evaluation requirements from external organisations</li></ul>	-
Nature of the Intervention	- Preceding research (e.g., needs assessment during adoption phase)	<ul> <li>Challenges regarding         accessibility of the target         population for monitoring (e.g.,         illiteracy)</li> <li>Diverse groups using         intervention</li> </ul>
Nature of the		- Nature of injury - low
injury problem Analysis and Interpretation		mortality/minor injuries  - Difficulties establishing intervention effectiveness due to complexity  - Comparability of results  - Complexities in data treatment for (multiple user types, or data sources)  - Difficult to transform data for policy making

The availability (or lack) of appropriate data was particularly relevant for monitoring. Practitioners aiming to establish a correlation between an intervention and a reduction in injury over time struggled to provide strong support using robust measures such as mortality rates. Moreover, it was said to be challenging to establish both baseline and follow-up measures for most injuries, because few countries have good data on non-fatal injuries, and minor injuries are not well captured by routine data collection methods.

Monitoring was, however, facilitated by pre-defined milestones, set project costs (including budgeting for monitoring), and integrated strategic indicators. Indicators could be continually monitored while detailed reports of milestones and project costs contributed to efforts to monitor progress. Interventions with a needs assessment (carried out during the adoption phase) also facilitated monitoring by providing a baseline of the situation before the intervention was implemented.

## **DISCUSSION**

This multinational study explored facilitators and barriers to the implementation process of child safety interventions. Participants presented their experiences of the AIM process and data analysis revealed eight themes: management and collaboration, resources, leadership, nature of the intervention, political, social and cultural environment, visibility, nature of the injury problem and analysis and interpretation.

Many of the themes identified were simply facilitators if present and barriers if absent. For example, resources are an advantage when present and a barrier when not. However, the discussions during the question and answer sessions that followed the presentations indicated that some of the facilitators and barriers were not independent. For instance, a well-integrated leader as part of a collaboration involving organisations with a good track record and reputation was reported to increase the likelihood of an intervention receiving funding. This was also true for barriers such as a lack of data; in one case the presence of a key individual enabled

them to initiate data collection. In this sense there is interconnectedness between the themes we have identified and the facilitators and barriers contained within them. This idea is supported by findings from Nilsen et al. (2005) where they discuss the interconnectedness of factors and the dangers of focusing too heavily on single factors while ignoring others.[8]

Likewise, there seemed to be interconnections across the whole AIM process. The findings suggested that effort invested in the adoption phase appeared to pay off in later phases of implementation and monitoring. For example, building commitment to an intervention by using a win-win approach to collaboration and building a strong team early in the process appeared to contribute to other facilitators in the implementation phase, such as, enthusiasm among partners, and a common understanding of the long-term nature of the process. This idea is supported by experiences in sports injury prevention[26] as well as mental health practices.[22, 27]

The AIM process also appeared to be somewhat cyclical. Participants described how demonstrated efficiency in previous interventions helped them to secure funding and support for intervention extensions and new interventions. However, many of the participants of this study did not report on the monitoring of their interventions. This was because, either, the intervention had not yet reached the monitoring phase, or, because monitoring had not taken place. This apparent lack of intervention monitoring is concerning as progress in the field of injury prevention will not be achieved without effective evaluation.[28]

Many parallels exist between our findings and the findings of implementation studies in injury prevention and other fields. The Quality Implementation Framework from Meyers et al. (2012) is based on a synthesis of 25 frameworks and refers to many of the facilitators and barriers identified over the AIM process in this study. [16] The role of, and interaction between, formal and informal leadership is explored in detail by Bryson et al. (2006) and Armistead et al. (2007)[29, 30] additionally Huxham (2003) provides a detailed overview of the management issues involved in joint working across organisations, reflecting findings such as the benefit of clear aims and roles,

the need to understand the long-term nature of the process and difficulties for the collaborative partnership if a key individual is lost.[31]

Nilsen et al. (2005) elaborate on the challenge to achieve effective leadership, without relying too heavily on a single individual.[8] A possible solution to this might be found in the approach taken by Donaldson et al. (2016) to use intervention mapping as a way to create an implementation structure potentially more resilient to change.[26]

From the injury prevention literature our findings on the importance of policy windows and the benefit of national leadership are supported by several studies. [9, 19, 20] Barriers identified within the theme management and collaboration (e.g., challenges for multi-sector partnerships), and within the theme resources (challenge of short-term and inflexible funding arrangements) are also supported.[9]

Participant experiences contained in the theme visibility drew our attention to particularities for injury prevention among children also described by Rothman et al (2016)[19]. The importance of visibility (i.e., political and public recognition) of the issue is an important aspect of implementation, particularly in multi-sectoral collaborations.[32] Participants of this study reported that emotive single injury events among children could increase public awareness of the issue. High profile cases of an injured child could be seen as an opportunity (albeit a sad one) for injury prevention practitioners to draw attention to the issue, launch an intervention or highlight the preventable nature of injury and demand action. Social media may be a useful tool in this regard.[33] In this sense the political, social and cultural environment plays a significant role in visibility. As described by Hanson et al. (2012): "science can make a difference provided that research evidence is injected into public discourse in a way that is meaningful to policy makers, politicians and the general public."[10]

#### Limitations

There are some limitations to this study. First, although participants were encouraged

to collaborate with others involved in the intervention upon which their case study was based, this was not always possible. Some cases were presented from one person's perspective while others were delivered by someone that had not been personally involved in the intervention. In the latter case the presentation had been produced using interviews with relevant stakeholders. These issues may affect the validity of some of the facilitators and barriers identified.

Second, the level of detail in the presentations and written case studies varied. None of the case studies identified facilitators and barriers for all three of the stages of the AIM process and the number of facilitators and barriers decreased over the three phases. As a result, cases that provided a high level of detail may be over-represented in the results and the adoption and implementation phases are likely to be better explored than the monitoring phase. The lack of detail regarding the monitoring phase may be due to a lack of intervention monitoring in the injury field or response fatigue among participants as the monitoring section was the last reporting section.

The presentations and written case studies were done in English, which while the working language in the field, was the second language for most participants. This was a challenge for some and is reflected in reduced detail in the written summary of the case studies. However, the question and answer sessions did allow clarification when questions arose. Overall the consistency in facilitators and barriers identified across the interventions, which represented both different areas of child injury and the views, and experiences of practitioners working in child injury in 26 different countries suggests a reasonable level of validity.

# **CONCLUSION**

This study identified facilitators and barriers to the AIM process of child safety good practice interventions. Major facilitators were effective management and collaboration, sufficient resources, a high quality intervention and receptive political, social and cultural environment. Dominant barriers were lack of resources, lack of political support (leadership), and problems surrounding building and sustaining

multi-sectoral collaborations (management and collaboration). Additionally, facilitators in the area of visibility such as making use of a high media focus on a child injury event were highlighted.

To our knowledge this is the first multinational study of the implementation process for child safety good practice interventions. The findings, divided by phase of the AIM process, demonstrate the importance of each phase and provide practitioners with suggested areas where proactive planning might help increase likelihood of effective implementation.

We believe that the field would benefit from further qualitative research based on the themes identified in this study. For example, research exploring the interconnectedness between the facilitators and barriers and the themes and phases of the AIM process. Additionally looking at specific mechanisms to overcome some of the barriers and identifying strategies to capitalise on facilitators would be a welcome contribution to the field.

## **CONTRIBUTORS**

BS, PS-B, MM, JV and HB were all involved in the design of the study. Data collection and analysis was conducted by BS, PS-B, MM, JV and KF. All authors were involved in revision of the manuscript.

## **FUNDING**

Funding for this study was received from the European Commission under the EU Health Programme 2008-2013 project number 20101212

#### **COMPETING INTERESTS**

None declared

#### WHAT IS ALREADY KNOWN ON THIS SUBJECT

Wide implementation of evidence-based child safety interventions is required to protect children from the risks of injury. However the quality of the implementation process is a determinant of intervention effectiveness, higher levels of implementation are associated with better outcomes.

#### WHAT THIS STUDY ADDS

This study compiled experiences of the implementation process from across Europe. The facilitators and barriers and the corresponding themes identified, could help child safety practitioners avoid or manage obstacles and build in factors that will improve the quality of intervention implementation.

## **REFERENCES**

- 1 Towner E, Mytton J. Prevention of unintentional injuries in children. Paediatr Child Health 2009;19:517-521.
- 2 Mackay M, Vincenten J, Brussoni M et al. Child Safety Good Practice Guide: Good investments in unintentional child injury prevention and safety promotion. Amsterdam: European Child Safety Alliance, Eurosafe 2006.
- 3 Mackay M, Vincenten J, Brussoni M et al. Child Safety Good Practice Guide: Good investments in unintentional child injury prevention and safety promotion: Addendum 2010. Amsterdam: European Child Safety Alliance, Eurosafe 2010.
- 4 Sethi D, Raccoppi F, Baumgarten I et al. Injuries and violence in Europe: why they matter and what can be done. Copenhagen: WHO Regional office for Europe 2006.
- 5 Gopfert A, Sethi D, Rakovac I et al. Growing inequalities in child injury deaths in Europe. Eur J Public Health 2015;25:1-3.
- 6 Sethi D, Towner E, Vincenten J et al. European Report on Child Injury Prevention. Copenhagen: WHO Regional Office for Europe 2008.
- 7 Durlak JA, DuPre EP. Implementation matters: a review of research on the influence of implementation on program outcomes and the factors affecting implementation. *Am J Community Psychol* 2008;41:327-350.
- 8 Nilsen P, Timpka T, Nordenfelt L et al. Towards improved understanding of injury prevention program sustainability. *Safety Science* 2005;43:815-833.
- 9 Brussoni M, Towner E, Hayes M. Evidence into practice: combining the art and science of injury prevention. *Inj Prev* 2006;12:373-377.
- 10 Hanson DW, Finch CF, Allegrante JP et al. Closing the gap between injury prevention research and community safety promotion practice: revisiting the public health model. *Public Health Rep* 2012;127:147-155.
- 11 Finch C. Implementing and Evaluating Interventions. In: Li G, Baker SP, eds. Injury Research: Theories, Methods and Approaches. Boston, MA: Springer US 2012:619-639.
- 12 Arai L, Roen K, Roberts H et al. It might work in Oklahoma but will it work in Oakhampton? Context and implementation in the effectiveness literature on domestic smoke detectors. *Inj Prev* 2005;11:148-151.
- 13 McClure RJ, Davis E, Yorkston E et al. Special issues in injury prevention research: developing the science of program implementation. *Injury* 2010;41 Suppl 1:S16-S19.
- 14 Fixsen DL, Blase KA, Naoom SF et al. Core Implementation Components. *Res Soc Work Pract* 2009;19:531-540.
- 15 Greenhalgh T, Robert G, Macfarlane F et al. Diffusion of innovations in service organizations: systematic review and recommendations. *Milbank Q* 2004;82:581-629.
- 16 Meyers DC, Durlak JA, Wandersman A. The quality implementation framework: a

- synthesis of critical steps in the implementation process. *Am J Community Psychol* 2012;50:462-480.
- 17 Nilsen P. What makes community based injury prevention work? In search of evidence of effectiveness. *Inj Prev* 2004;10:268-274.
- 18 Vlachantoni IT, Ntinapogias A, Petridou E. Implementation of effective policies for the prevention of unintentional injuries: a feasibility study in European countries. *J Public Health* 2013;21:97-107.
- 19 Rothman L, Pike I, Belton K et al. Barriers and Enablers to Enacting Child and Youth Related Injury Prevention Legislation in Canada. *Int J Environ Res Public Health* 2016;13:656.
- 20 Bugeja L, McClure RJ, Ozanne-Smith J et al. The public policy approach to injury prevention. *Inj Prev* 2011;17:63-65.
- 21 Tools to Address Childhood Trauma and Children's Safety (TACTICS) funded by the European Commission under the EU Health Programme 2008-2013 project number 20101212. (http://www.childsafetyeurope.org/tactics/index.html) Accessed October 2016
- 22 Fixsen DL, Naoom SF, Blase KA et al. Implementation Research: A Synthesis of the Literature. Tampa, FL: University of South Florida, Louis de la Parte Florida Mental Health Institute, The National Implementation Research Network 2005:12-21.
- 23 Central Committee on Research Involving Human Subjects Research (CCMO) (http://www.ccmo.nl/en/medical-scientific-research-and-the-wmo). Accessed October 2016
- 24 Green J, Thorogood N. Qualitative methods for health research. Sage 2013
- 25 Flick U. An introduction to qualitative research. Sage 2009.
- 26 Donaldson A, Lloyd DG, Gabbe BJ et al. We have the programme, what next? Planning the implementation of an injury prevention programme. *Inj Prev* Published Online First: 19 January 2016. doi:10.1136/injuryprev-2015-041737
- 27 Seffrin B, Panzano PC, Roth D. What gets noticed: how barrier and facilitator perceptions relate to the adoption and implementation of innovative mental health practices. *Community Ment Health J* 2008;44:475-484.
- 28 Doll L. Evaluation of Interventions Designed to Prevent and Control Injuries. *Epidemiologic Reviews* 2003;25:51-59.
- 29 Bryson JM, Crosby BC, Stone MM. The design and implementation of cross-sector collaborations: Propositions from the literature. *Publ admin rev* 2006;66:44-55.
- 30 Armistead C, Pettigrew P, Aves S. Exploring Leadership in Multi-sectoral Partnerships. *Leadership* 2007;3:211-230.
- 31 Huxham C. Theorizing collaboration practice. *Public manag rev* 2003;5:401-423.
- 32 Freiler A, Muntaner C, Shankardass K et al. Glossary for the implementation of Health in All Policies (HiAP). *J Epidemiol Community Health* 2013;67:1068-1072.
- 33 Krug EG. Next steps to advance injury and violence prevention. *Inj Prev* 2015;21:e2-e3 doi:10.1136/injuryprev-2014-041449