

SPECIAL REPORT

Relapse of AML after hematopoietic stem cell transplantation: methods of monitoring and preventive strategies. A review from the ALWP of the EBMT

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Allogeneic hematopoietic stem cell transplantation (allo-SCT) remains the therapeutic method with the most potent anti-leukemic activity mediated by the graft versus leukemia effect. However, a significant proportion of patients with AML will relapse after allo-SCT. The prognosis for these patients is dismal, with a probability of long-term survival of < 20%. Data from previous studies have shown that disease-specific prognostic factors, are in general, the same as those in patients treated with conventional chemotherapy. Minimal residual disease (MRD) and chimerism status monitoring after allo-SCT may be used as predictors of impending relapse and should be part of routine follow-up for AML patients. A significant number of studies have shown that pre-emptive administration of donor lymphocyte infusion (DLI) based on MRD and chimerism monitoring, as well as prophylactic DLI in AML patients at high risk of relapse is effective in preventing relapse. In this review, we discuss strategies for the identification of high-risk patients, review current therapeutic options and provide our recommendations for the management of post-SCT AML.

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INTRODUCTION

Allogeneic hematopoietic stem cell transplantation (allo-SCT) results in the most durable remissions for patients with high-risk AML. Transplant-related mortality (TRM) and disease relapse, however, remain two of the most significant barriers to long-term survival for these patients. Approximately 40% of post-SCT AML patients will relapse and face a dismal prognosis with a 2-year survival of < 20%. Salvage treatment options include intensive chemotherapy followed by donor lymphocyte infusion (DLI), second allo-SCT, clinical trial enrollment or best supportive care.^{1,2} The efficacy of hypomethylating agents and targeted therapies in this setting has been reported in several small case series.³ Additional poor-risk factors include relapse within 6 months of allo-SCT, active GvHD at relapse and age > 40 years.¹ Due to the near-uniformly poor prognosis, and challenges in providing optimal therapies to these patients, strategies directed at the prevention of relapse are highly desirable. An approach that couples the pre-emptive identification of high-risk patients with diligent post-transplant monitoring and strategies for early intervention is necessary to improve the disease outcomes for these patients.

AML is a biologically aggressive disease. Even among patients with favorable risk, core-binding factor (CBF) AML, 58% will die by 10 years.⁴ In the setting of poor-risk AML, allogeneic SCT favorably alters the disease course for some; however, a significant number of these adverse-risk patients will relapse and face a shortened

overall survival (OS). To some extent, many of these relapses are predictable. Pre-transplant markers that are used to identify patients with biologically aggressive disease, and to guide consolidation therapy recommendations, may predict for relapse after SCT. Other factors, including reduced intensity conditioning (RIC), utilization of bone marrow (BM) allografts and other technical components of allo-SCT are associated with relapse in the post-SCT period. Post-SCT changes, including the development of low-volume disease or a mixed chimera, may also precede occult relapse.

Several groups have reported that the early identification of these high-risk patients, coupled with the prescription of aggressive immunotherapy and/or chemotherapy, may improve disease outcomes.^{5–9} In this manuscript, we outline our recommendations for an individualized, risk-adapted strategy for the early identification and prevention of relapsed AML in the post-SCT period. It should be emphasized that several of these strategies fall outside of the current standard of care.

IDENTIFICATION OF PATIENTS AT HIGH RISK FOR RELAPSE AFTER ALLO-SCT

Disease-related parameters

Many of the disease-specific risk factors used to identify high-risk disease in the pre-SCT setting are validated predictors of post-SCT relapse (Table 1).^{10–15} Therefore, the basic prognostic scheme

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Table 1. Risk factors for relapse after allogeneic hematopoietic stem cell transplantation in patients with AML

Parameter		Relapse incidence	Ref
<i>Disease-related risk factors</i>			
Cytogenetic risk group	Favorable risk	Low	10,11
	Intermediate risk	Intermediate	17
	Poor risk	High	
Other cytogenetic abnormalities	Monosomal karyotype	High	12,13
Molecular markers	<i>NPM1</i> mut, FLT3-WT	Low	14–16
	Biallelic CEBPA-mut	Low	28–30
	FLT3-ITD	High	
	<i>NPM1</i> -WT, FLT3-WT, CEBPA-WT	Intermediate	
CR status	Additional myeloid mutations	Indeterminate	
	CR1	Low	18–20
MRD status	Beyond CR1	High	
	MRD positivity at the time of allo-SCT	High	21–25
<i>Transplant-related risk factors</i>			
Conditioning regimen	RIC regimens	High	31–33
GvHD prophylaxis regimen	Intensive regimens containing anti-T-cell antibodies or ATG, T-cell-depleted grafts	Controversial	41,43–45
GvHD	Absence of chronic GvHD	High	42

Abbreviations: ATG = anti-thymocyte globulin; RIC = reduced intensity conditioning; SCT = stem cell transplantation; WT = wild type.

proposed by the European Leukemia Net (ELN) working group also has applicability in the allo-SCT setting.¹⁶ In addition, advanced disease status at transplant is a significant adverse-risk factor for post-SCT relapse. Patients that undergo allo-SCT with advanced disease are also prone to poor outcomes.^{17–20}

To maximize the likelihood of a long-term remission or cure, most clinicians prefer that, if feasible, AML patients are in morphologic CR prior to allo-SCT. New technologies have led to improvements in the sensitivity of molecular assays and the detection of low-volume leukemic populations. The emergence of minimal residual disease (MRD) monitoring has gained momentum at many centers as a marker of disease risk and is now an important component of the risk stratification process. The presence of MRD is associated with an increased risk of relapse in the post-SCT setting. In several studies, pre-transplant MRD positivity is strongly associated with inferior outcomes.^{21–25}

A recent, large retrospective study of 359 consecutive adult patients with AML after myeloablative allo-SCT demonstrated superior 3-year relapse free survival (RFS) and OS for patients that tested negative for MRD using multiparameter flow cytometry (MFC). Patients in MRD-positive morphologic remissions, and those with active leukemia, had comparable relapse rates at 3 years (67% vs 65%, respectively) and OS (26% vs 23%, respectively).²⁶ The strength of these results was underscored in an accompanying editorial confirming that all CRs can no longer be viewed as equal.²⁷

The heterogeneity of AML is emphasized by the variable disease outcomes observed within the conventional risk classification system. Recognizing these challenges, investigators have sequenced several key genes in an effort to advance our understanding of the disease biology. Recently, Bejar *et al.*²⁸ evaluated 87 myelodysplastic syndrome (MDS) patients using massive parallel sequencing and detected genetic mutations in >90%. The most frequently encountered mutations were ASXL1, TP53, DNMT3A and RUNX1. Mutations in TP53, TET2 and DNMT3A were associated with a shortened OS after allo-SCT.²⁸ Recent work, presented at the American Society of Hematology Annual Meeting, also demonstrated poor OS for MDS and AML patients with TET2 mutations.²⁹ A second abstract, reporting the results of 308 MDS or secondary AML patients, showed a median of 2 mutations in 82% of patients studied. Mutations in IDH2 and NRAS were linked to disease relapse, whereas PTPN11 and PHF6 predicted for a more indolent disease course.³⁰

Transplant-related parameters

Retrospective studies, including registry analyses from the European Society for Blood and Marrow Transplantation (EBMT), have demonstrated an inverse relationship between the intensity of the conditioning regimen and the cumulative incidence of post-SCT relapse.^{31–33} Recently, the Blood and Marrow Transplant Clinical Trials Network (BMT CTN) presented the results of a randomized study comparing myeloablative conditioning (MAC) and RIC. This study was stopped early after a significantly higher relapse rate was discovered in the RIC group.³⁴ A similar prospective study, stopped early due to poor enrollment, demonstrated comparable outcomes between MAC and RIC.³⁵ Importantly, in the setting of MRD positivity, MAC is superior to RIC as it is associated with lower rates of relapse, superior RFS and OS.³⁶ Based on these data, MAC is preferable for patients who are candidates for this approach and should be considered for patients with MRD positivity.

Recently, the Acute Leukemia Working Party (ALWP) of the EBMT compared PBSC with BM grafts in patients that underwent RIC prior to allografting. Nearly 90% of these patients had AML. A higher incidence of chronic GvHD, improved RFS and better OS was seen in the PBSC group.³⁷ This is likely secondary to the potent graft versus leukemia (GVL) effects associated with the T-cell-rich PBSC graft.³⁸

The primary mechanism by which RIC maintains long-term disease control is via a potent GVL effect. Chronic GvHD and GVL are intrinsically linked and several studies have established a correlation between these two parallel processes.^{39,40} Some studies have reported that aggressive GvHD prophylaxis, either by the use of anti-T-cell antibodies during conditioning or by *in vitro* T-cell depletion (TCD) results in a higher relapse rate.^{41,42}

The influence of TCD on transplant outcomes is an area of controversy. In 2012, the BMT CTN compared CD34+ cell selection with standard immunosuppressive therapy (IST) in patients with AML. CD34+ selection reduced the incidence of chronic GvHD but did not adversely affect the risk of relapse.⁴³ Earlier this year, two prospective, multicenter, randomized studies were published comparing anti-thymocyte globulin (ATG) versus no ATG. The primary endpoint in the Canadian/Australian study was freedom from IST. Patients who received ATG were significantly more likely to be free from IST at 12 months than those who did not (37 vs 16%). Epstein-Barr virus reactivation was more common in the ATG group.⁴⁴ Alternatively, the study by Kroger *et al.*

demonstrated a significantly lower rate of chronic GvHD with ATG but no difference in two-year RFS or OS between the groups.⁴⁵ Patients that received ATG had an improved chronic GvHD/RFS.⁴⁵

Summary of recommendations

In an effort to reduce the risk of relapse, patients with features of high-risk disease, particularly MRD positivity, should be considered for MAC regimens whenever possible. When RIC is required, we recommend the use of PBSC grafts for high-risk patients due to the lower risk of relapse and improved OS. Based on the results of two, large prospective studies, the use of ATG is safe, does not adversely affect disease outcomes and should not be regarded as a risk factor for relapse. In the presence of high-risk features, we advocate for close disease surveillance in the post-SCT period with early intervention as outlined below.

MONITORING PATIENTS AFTER ALLO-SCT

Mounting evidence indicates that the natural history of high-risk, post-SCT AML can be altered with early detection of low-volume disease and aggressive intervention. Although the treatment of Ph-positive ALL is different from that of AML, prior work in this disease provides important lessons in the efficacy of pre-emptive management. Early use of the tyrosine kinase inhibitor imatinib in the upfront and MRD-positive setting results in favorable outcomes in post-SCT Ph+ ALL patients.⁴⁶ In AML, intensive monitoring of high-risk patients with the objective of early intervention is a developing niche within the treatment landscape of post-SCT AML.

The evaluation of MRD has been previously discussed as a validated methodology for identifying high-risk patients prior to allo-SCT. This technique is also effective in the post-transplant period to identify high-risk patients for early intervention. In this setting, the quantitative follow-up of MRD at regular intervals, in conjunction with other metrics, should be applied in patients with AML after allo-SCT.⁴⁷ Quantifying leukemic load may aid in the selection of high-risk patients, permit individualized decision-making and reduce morphologic relapse.

Several MRD assays have been employed to identify residual leukemic cells in a background of normal hematopoietic cells. To be deemed reliable, MRD assays must be reproducible, standardized across different laboratories, and of sufficiently high sensitivity and specificity to ensure clinical applicability.

The minimum threshold of sensitivity should be at least 1×10^{-3} (1 leukemic in a background of 1000 normal hematopoietic cells) and ideally should approach 1×10^{-6} .⁴⁸

MRD by MFC

Currently AML MRD monitoring in standard clinical practice is based on MFC among other approaches. MFC relies on the identification of cells carrying leukemia-associated immunophenotypes (LAIPs) and can be applied in up to 90% of AML patients. LAIPs result from altered antigen expression patterns on normal hematopoietic cells. Using LAIPs to study MRD results in sensitivities of 1×10^{-3} to 1×10^{-4} .⁴⁹ The prognostic significance of MRD by MFC in the post-SCT setting is well-documented in the medical literature. In all studies published to date, the presence of MRD is associated with a significantly higher risk of relapse compared with MRD-negative patients.^{50–53}

Despite the obvious favorable impact these findings will have on patient care, MFC remains an imperfect technique. Disease heterogeneity, coupled with variations in instruments and fluorophores, operator technique/gating and spectral overlap all contribute to significant differences between institutions, limit reproducibility and hamper standardization. As of yet, there is no standardized method for analyzing MFC data and no universally

agreed upon cutoff, both of which make reproducibility challenging.

MRD by PCR

PCR assays for MRD evaluation are based on the detection of a unique leukemic transcript such as a fusion gene, mutated or overexpressed gene. Real-time PCR (RT-PCR) assays represent an appealing method for MRD monitoring since they offer a sensitive and accurate estimation of the leukemic cell burden.

Quantitative PCR for detection of fusion gene transcripts. Fusion gene transcripts can be detected in up to 20% of patients with non-acute promyelocytic leukemia AML. The bulk of these transcripts are CBF leukemias which manifest the RUNX1-RUNX1T1 and CFBF-MYH11 fusion-genes. Several other fusion transcripts exist, including MLL-v, DEK-NUP214, BCR-ABL, RPN1-EVI1 and RBM15-MKL1, all of which can be used as targets for molecular monitoring in high-risk AML patients.⁵⁴

The prognostic significance of MRD estimated by using quantitative PCR in patients with CBF leukemias after conventional chemotherapy has been reported.⁵⁵ A recent study including patients with t(8;21) showed the prognostic significance of MRD persistence after allo-SCT. In a multivariate analysis, MRD positivity was the most important predictor of relapse.⁵⁴ Elmaagacli, *et al.*⁵⁶ monitored MRD by RT-PCR in patients with inv(16) AML and showed that MRD negativity after allo-SCT is associated with a decreased incidence of relapse.

Quantitative PCR for detection of mutated genes. Mutations of the nucleophosmin gene (*NPM1*) are present in 50% of AML patients with normal karyotype. *NPM1*-gene mutations are stable during the disease course and therefore represent an ideal marker for MRD monitoring. By using quantitative PCR, prior work has demonstrated the feasibility of MRD monitoring as a tool that predicts for relapse. A recent study showed that the persistence or increase of $>10\%$ of *NPM1*mut/ABL1 copies (corresponding to 1000 copies *NPM1*mut/10 000 copies of ABL1), predicts for relapse after allo-SCT.⁵⁷

Molecular testing for the Fms-like Tyrosine Kinase 3 Internal Tandem Duplication (FLT3-ITD) mutation is commonly performed at centers around the world in the diagnostic setting. A high FLT3-ITD to wild-type (WT) allelic ratio (≥ 0.51) is associated with shortened RFS and OS compared with patients with a low-allelic ratio.⁵⁸ Studying FLT3-ITD mutations in the surveillance setting is more challenging. Two studies have demonstrated important differences between diagnostic and relapse FLT3-ITD mutations. In a retrospective study of 50 patients, 22% had a different FLT3-ITD mutation at relapse.⁵⁹ In a second study, out of 108 relapsed AML patients, 16 patients had a FLT3-ITD mutation at both diagnosis and relapse, 8 relapsed patients acquired the mutation at relapse and 1 relapsed with FLT3-ITD negative disease. Interestingly, six out of six patients with a FLT3-ITD mutation at diagnosis showed changes in the mutation patterns at relapse.⁶⁰ These data demonstrate the complexity of using molecular techniques to monitor for relapsed FLT3-ITD+ AML.

Finally, in a recent study by Brambati *et al.*,⁶¹ the quantitative post-SCT monitoring of mutations in DNMT3A, IDH1 and IDH2, performed using droplet digital PCR, provided promising results in predicting subsequent relapse.

Quantitative PCR for overexpressed genes. In the study by Candoni *et al.*,⁶² post-SCT patients had Wilms tumor 1 (WT1) transcript levels monitored. All except one patient in continuous-CR displayed normal WT1 copy numbers whereas patients that relapsed had high-WT1 copy numbers. Other studies have demonstrated the clinical utility of serial WT1 transcript level monitoring by RT-PCR as a method of MRD assessment.⁶²

Disease monitoring by chimerism

Chimerism analysis is considered standard practice for monitoring the post-transplant engraftment of donor cells. These studies are useful in predicting disease relapse; however, many of the same limitations that beleaguer MFC also apply to chimerism studies.⁴⁷ Most notably, variability in the assays leads to differences in the sensitivity of chimerism studies. PCR of short tandem repeats is the most widely adopted method, with a sensitivity of 1×10^{-2} to 1×10^{-3} .⁶³ Using quantitative PCR for the detection of donor/recipient specific polymorphisms, or by detecting sequences unique to the Y-chromosome in sex-mismatched donors/recipients, can increase the sensitivity by two to three log-fold.⁶⁴

The sensitivity and specificity of chimerism studies can be further increased by evaluating specific cell subsets. In MDS and AML, chimerism analysis of the CD34+ cell compartment increases the sensitivity and specificity of the assay. In a study of 85 patients who were evaluated for CD34+ chimerism in PB samples, the loss of the CD34+ donor cells to < 80% was uniformly associated with relapse.⁶⁵ Monitoring PB T-cell chimerism also has predictive value in this patient population. With this approach, the complete chimerism (CC) of the T-cell subset predicts for a higher incidence of GvHD and a reduced incidence of relapse.⁶⁶ The presence of a stable, or increasing mixed chimerism (MC), in the lymphoid lineage may reflect an reduced risk of developing GvHD, loss of the favorable GVL effect and an increased risk of disease relapse. Recently, a phase II study of AML patients that received RIC allo-SCT called this into question. There was no correlation between CD3+ chimerism and disease-specific endpoints including RFS and OS.⁶⁷

Chimerism studies are less specific and, in the absence of other markers, arguably less effective in predicting for relapse compared with MRD monitoring.⁴⁸ It should be emphasized that MC is not equivalent to disease recurrence. Recrudescence of host material may represent either normal hematopoiesis or return of the leukemic clone. Therefore, the presence of a MC by itself is not indicative of an active hematologic neoplasm. Despite these limitations, routine chimerism monitoring should be performed in conjunction with other, more sensitive MRD monitoring tools to identify patients at increased risk of relapse who might benefit from early intervention.

Summary of recommendations

High-risk AML patients should undergo routine, post-SCT monitoring with more than one modality, if possible, to ensure sensitivity in detecting recurrence of the leukemic clone. Due to the polyclonal nature of AML, patients may relapse with new clones that evade prior molecular testing. For this reason, we recommend the use tailored molecular monitoring, and routine chimerism studies during the surveillance of high-risk AML patients. The addition of MFC can be considered on BM aspirates during surveillance.

Chimerism studies should routinely be monitored for patients conditioned with both MAC and RIC regimens. These studies pose minimal risk to patients and have the potential to change therapy. We recommend unsorted chimerism studies at all BM aspirations and biopsies, evaluation of myeloid and lymphoid chimerism studies at routine clinic visits and in instances where relapsed disease is questioned. After a sustained remission of ≥ 2 years, the frequency of monitoring may be reduced. Chimeric abnormalities, such as MC or increasing MC, should be interpreted in the context of the patients' laboratory studies, history and physical exam.

PREVENTION OF POST-TRANSPLANT RELAPSE

The intensive, widespread monitoring of these patients is associated with significant resources and anxiety for patients and their caregivers. To justify this cost, there must be evidence to

support the idea that routine disease surveillance will identify patients with impending relapses and that, with the appropriate therapy, a proportion of these relapses can be averted. A growing body of data supports the use of immunotherapy and/or chemotherapy to alter the natural history of these post-SCT patients' disease course. These methods are divided into immunotherapeutic and drug-based approaches.

To date, the simplest and most well-studied immune interventions are the withdrawal of IST and administration of DLI. In some studies, the early withdrawal of IST, even in the absence of DLI, can prevent overt morphologic relapse in high-risk patients.⁵ Prophylactic or pre-emptive DLI has been associated with improvement in disease-specific endpoints.

It should also be considered, however, that in the context of haploidentical SCT a considerable proportion of relapses are due to leukemic variants characterized by the genomic loss of the mismatched HLA haplotype, which through this mechanism become resistant to donor T cells.^{68,69} Thus, in the haploidentical context, testing eventual HLA loss at the time of relapse might be warranted before employing predictably inefficacious DLIs.

A brief overview on the rationale and current use of DLIs is presented below.

Pre-emptive DLI based on chimerism monitoring

The term 'pre-emptive' is used for early intervention based on the results of laboratory evaluations, suggesting that disease relapse is imminent. In a prospective trial, 81 children with AML after allo-SCT were followed by serial chimerism monitoring. MC was defined as the presence of host DNA above 1%. Patients with MC and a spontaneous decrease in host DNA were labeled as having decreasing MC. Alternatively, those in whom the proportion of host DNA is rising are said to have increasing MC. The patients with CC or decreasing MC had a lower relapse rate compared with patients with increasing MC. DLI administration in patients with increasing MC decreased the relapse rate and favorably affected outcomes.⁵

A subsequent, prospective multicenter study in 71 children with AML demonstrated similar results. Immunosuppression was stopped in children with MC after allo-SCT, and DLI was administered if no GvHD occurred after 3–4 weeks. Repeated DLI at an increased dose was allowed if MC persisted in the absence of GvHD. Thirteen out of 20 children with MC received DLI. The EFS was 80% and 30% for patients with CC and MC, respectively. Patients with MC who received DLI had an EFS of 46%, while 100% of MC patients relapsed without DLI.⁷ Collectively, these data indicate that early intervention with DLI may avert disease relapse and improve outcomes.

Pre-emptive intervention including DLI based on MRD monitoring Dominioto *et al.*⁸ reported the results of a retrospective analysis of pre-emptive DLI administration in a group of 80 patients with acute leukemia (36 AML, 44 ALL) after allo-SCT. MRD monitoring was performed on monthly BM samples using RT-PCR for detection of WT1 transcripts. DLI was administered to all patients with measurable MRD who had an available donor and no evidence of GvHD. The cumulative incidence of relapse was 16% in MRD-negative patients, compared with 6% of MRD-positive patients treated with DLI and 63% of MRD-positive patients without DLI.⁸ The benefit of early intervention with DLI in the prevention of morphologic relapse was presented in a prospective, multicenter study that evaluated *RUNX1/RUNX1T1* transcript levels for MRD assessments. Again, patients treated with DLI had a reduced cumulative incidence of relapse and improved RFS at 2 years.⁵⁴

The largest prospective trial testing the efficacy of pre-emptive DLI in acute leukemia patients after allo-SCT was performed by the Chinese. MRD monitoring was performed on BM samples by using

MFC and RT-PCR for detection of WT1 in 814 standard risk acute leukemia patients (AML or high-risk MDS in 70%). Patients were classified into three groups; the cumulative incidence of relapse at 3 years was 22% for the entire cohort. A total of 18% of MRD-negative patients relapsed compared with 65% of MRD-positive patients that did not receive DLI. In comparison, the administration of DLI was associated with a 2.3-fold reduction in relapse risk as only 28% of MRD-positive patients who received DLI relapsed. In these patients, the infusion of donor lymphocytes was associated with the development of GvHD but did not alter TRM.⁹

Prophylactic administration of DLI

Prophylactic DLI has been largely tested in the setting of TCD allo-SCT. In many studies, the transplantation of a TCD graft was subsequently followed by the infusion of standard doses of T cells that occurred between day +30 and 22 weeks after allo-SCT. T-cell doses range from 1×10^6 to 1×10^7 /kg. The TRM and relapse rate differed significantly between studies and, therefore, the optimal approach for DLI in this setting remains uncertain.⁷⁰⁻⁷⁵ In spite of the variability of these outcomes, an important theme that emerged from these studies is that DLI administration before day +100 is associated with an unacceptably high rate of GvHD.

The role of prophylactic DLI has been tested in high-risk AML. In a prospective study of 75 patients with high-risk MDS or AML, DLI was administered after day +120 in patients who were free of GvHD and whose IST was successfully weaned >30 days prior to DLI. After conditioning and initiation of GvHD prophylaxis, patients received a starting dose of CD3+ cells that was 2×10^5 in the unrelated and 1×10^6 /kg in the matched-related donor setting. Escalating cell doses were administered at 4-6 week intervals. The administration of DLI improved outcomes, with a 3-year OS of 42% and RFS of 40%. Patients who developed limited chronic GvHD had superior OS, confirming prior data that link chronic GvHD with superior disease outcomes.⁷⁶ The efficacy of the same approach has been proven in another prospective trial

of high-risk AML patients with a complex karyotype.⁷⁷ Finally, the use of prophylactic DLI is associated with favorable long-term outcomes. In a recent report of 46 AML patients treated with DLI (pre-emptively due to increasing MC (4), molecular MRD-positive (1), and adjuvant/prophylaxis (41), patients that received DLI had a superior 7-year OS compared with those who did not receive DLI (67 vs 31%).⁷⁸

Other approaches for the administration of low-dose DLI ($0.5-1.5 \times 10^6$ CD3+ cells per kg) have been reported. In 42 patients with high-risk acute leukemias, prophylactic DLI was effective in reducing the relapse rate and converting MC to CC in the vast majority of patients.⁷⁹ Finally, a 'modified' protocol pioneered by the Chinese has proven effective as prophylaxis in patients with advanced leukemia after a matched sibling donor and haploidentical SCT.^{80,81}

Summary of recommendations

In aggregate, a growing body of data suggests that pre-emptive DLI in MRD-positive AML patients is a safe and effective method for preventing relapse. We recommend aggressively weaning IST in all high-risk patients with measurable AML. After the discontinuation of IST, we advocate for the administration of DLI after D+100 in the setting of measurable disease and/or increasing MC. Clinicians should utilize their clinical judgement in identifying high-risk patients who are suitable candidates for this approach. Close observation for the development of GvHD is essential.

POST-TRANSPLANT THERAPY

A large, and growing number of novel agents have been tested in pre-clinical and clinical trials with the aim of obtaining disease control in aggressive AML.⁸² To date, there are limited data in the post-SCT maintenance setting.

The most commonly used agent azacitidine has known activity in patients with MDS.⁸³ Retrospective data, as well data from the RELAZA trial, showed that maintenance of azacitidine after allo-

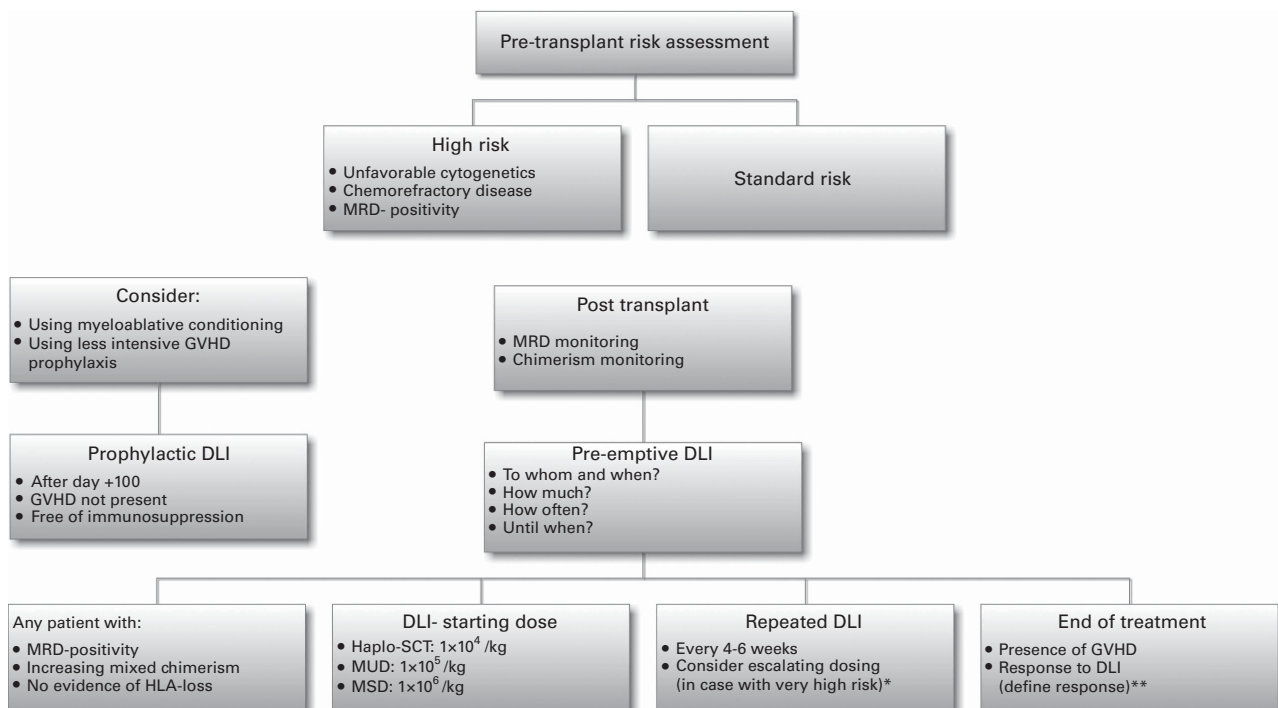


Figure 1. Prophylactic and pre-emptive DLI in patients with high-risk AML after allo-SCT: a proposed treatment algorithm. *Patients with increasing mixed chimerism or leukemic burden despite administration of DLI. **Response is defined either as conversion to complete donor chimerism in case of previous mixed chimerism, or as conversion to MRD negativity in case of previous MRD positivity.

SCT in high-risk AML patients is safe and likely effective.^{84,85} Similarly, the RICAZA trial demonstrated that azacitidine is well-tolerated and likely to reduce the risk of relapse, particularly in patients with a CD8+ T-cell response.⁸⁶ Several prospective clinical trials designed to answer this question are ongoing.

Patients with FLT3-ITD AML are candidates for allo-SCT due to the poor prognosis conferred by this mutation and are at increased risk of developing post-transplant relapse compared with FLT3-WT patients.^{15,16} A phase I trial designed to identify the maximum tolerated dose of sorafenib in the post-SCT maintenance period for FLT3-ITD+ AML demonstrated a 1-year RFS of 85% and a 1-year OS of 95%. In patients that were in CR1/CR2 at SCT, the 1-year RFS and OS were 95% and 100%, respectively.⁸⁷ A large number of FLT3-inhibitors have undergone pre-clinical testing, and clinical trials examining the efficacy in preventing relapse when administering as maintenance after allo-SCT are currently underway.^{88–91}

CONCLUSIONS AND THERAPEUTIC ALGORITHM

In conclusion, pre-transplant patient assessment should be based on an estimation of relapse, as well as non-relapse mortality risk by using the EBMT and CCC-I scoring systems.^{92,93}

In the setting of high-risk, post-SCT AML, we propose that surveillance and early intervention should follow an individualized, risk-adapted strategy (Figure 1).

- Patients with high-relapse risk and low risk of TRM: consider MAC and/or a less-intensive GvHD prophylaxis regimen.
- Patients with high risk of relapse defined by pre-transplant assessment: consider prophylactic DLI administration if active GvHD is not present. This should occur after day +100, unless otherwise indicated by post-transplant monitoring of MRD and chimerism status.
- Post-transplant follow-up should be based on serial MRD, as well as chimerism monitoring.
- Patients with persistent MRD positivity or increasing MC are at high risk of relapse and should be treated with withdrawal of IST and pre-emptive DLI administration.
- DLI starting doses should be at the order of 1×10^4 , 1×10^5 , 1×10^6 /kg after haploidentical, matched unrelated and matched sibling donor allo-SCT, respectively.
- Repeated DLI should be administered at 4–6-week intervals in non-responding and GvHD-free patients. DLI administration should be repeated until response or presence of GvHD whichever occurred first.
- Prophylactic intervention should be administered in the context of a clinical trial.
- There is a need for future studies exploring the role of prophylactic azacitidine and of other novel agents in the post-SCT setting.

CONFLICT OF INTEREST

The authors declare no conflict of interest.

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