Using a structural root system model for an in-depth assessment of root image analysis pipeline

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1 Abstract

2 Root system analysis is a complex task, often performed using fully automated image analysis 3 pipelines. However, these pipelines are usually evaluated with a limited number of ground-truthed 4 root images, most likely of limited size and complexity. 5 6 We have used a root model, ArchiSimple to create a large and diverse library of ground-truthed 7 root system images (10.000). This library was used to evaluate the accuracy and usefulness of 8 several image descriptors classicaly used in root image analysis pipelines. 9 10 Our analysis highlighted that the accuracy of the different metrics is strongly linked to the type of 11 root system analysed (e.g. dicot or monocot) as well as their size and complexity. Metrics that have 12 been shown to be accurate for small dicot root systems might fail for large dicots root systems or 13 small monocot root systems. Our study also demonstrated that the usefulness of the different 14 metrics when trying to discriminate genotypes or experimental conditions may vary. 15 16 Overall, our analysis is a call to caution when automatically analysing root images. If a thorough 17 calibration is not performed on the dataset of interest, unexpected errors might arise, especially for 18 large and complex root images. To facilitate such calibration, both the image library and the 19 different codes used in the study have been made available to the community.

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21 Introduction

22	Roots are of outmost importance in the life of plants and hence selection on root systems
23	represents great promise for improving crop tolerance (as reviewed in (Koevoets et al., 2016)). As
24	such, their quantification is a challenge in a multitude of research projects. This quantification is
25	usually twofold. The first step consists in acquiring an image of the root system, either using classic
26	image techniques (CCD cameras) or more specialized ones (microCT, X-Ray, fluorescence,). The
27	next step is to analyse the picture in order to extract meaningful descriptors of the root system.
28	
29	To paraphrase the famous belgian surrealist painter, René Magritte, figure 1A is not a root system.
30	Figure 1A is an image of a root system and that distinction is important. Such an image is indeed a
31	two dimensional representation of a root system, which is usually a three dimensional object. Until
32	now, measurements are generally not performed on the root systems themselves, but on the images
33	and this raises some issues.
34	
35	Image analysis is, by definition, the obtention of metrics (or descriptors) describing the objects
36	contained in a particular image . In a perfect situation, these descriptors would accurately represent
37	the biological object of the image with negligible deviation from the biological truth (or data).
38	However, in many cases, artefacts might be present in the images so that the representation of the
39	biological object is not accurate anymore. These artefacts might be due to the conditions in which
40	the images were taken or to the object itself. Mature root systems, for instance, are complex
41	branched structure, composed of thousands of overlapping (fig. 1B) and crossing linear segments
42	(fig. 1C). These features are likely to impede image analysis and create a gap between the
43	descriptors and the data.

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Figure 1. A. Image of a 2-week old maize root system grown in rhizotron. **B.** Close-up showing overlapping roots. **C.** Close-up showing crossing roots.

47	Root image descriptors can be separated into two main categories: morphological and geometrical
48	descriptors. Morphological descriptors refer to the shape of the different root segments forming the
49	root system (table 1). They include, among others, the length and diameter of the different roots.
50	For complex root system images, morphological descriptors are difficult to obtain and are prone to
51	error as mentioned above.
52	
53	Geometrical descriptors give the position of the different root segments in space. They summarize
54	the shape of the root system as a whole. The simplest geometrical descriptors are the width and
55	depth of the root system. Since these descriptors are mostly defined by the outside envelope of the

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root system, crossing and overlapping roots have little impact on their estimation and they can be
considered as relatively errorless. Geometrical descriptors are expected to be loosely linked to the
actual root system topology, as identical shapes could be reached by different root systems (the
opposite is true as well). They are usually used in genetic studies, to identify genetic bases of root
system shape and soil exploration.

61

Several automated analysis tools were designed in the last few years to extract both type of 62 63 descriptors from root images (Armengaud et al., 2009; Bucksch et al., 2014; Galkovskyi et al., 2012; 64 Pierret et al., 2013). However, the validation of such tools is often incomplete and/or error prone. 65 Indeed, for technical reasons, the validation is usually performed on a small number of ground-66 truthed images of young root systems for which most analysis tools were actually designed. In the 67 few cases where validation is performed on large and complex root systems, it is usually not on 68 ground-truthed images, but in comparison with previously published tools (measurement of X with 69 tool A compared with the same measurement with tool B). This might seem reasonable approach 70 regarding the scarcity of ground-truthed images of large root systems. However, the inherent 71 limitations of these tools, such as scale or plant type (monocot, dicot) are often not known. Users 72 might not even be aware that such limitations exist and apply the provided algorithm without 73 further validation on their own images. This can lead to unsuspected errors in the final 74 measurements.

75

One strategy to address the lack of in-depth validation of image analysis pipeline would be to use synthetic images generated by structural root models (models designed to recreate the physical structure and shape of root systems). Many structural root models have been developed, either to model specific plant species (Pagès et al., 1989), or to be generic (Pagès et al., 2004; 2013). These models have been repeatedly shown to faithfully represent the root system structure (Pagès and

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- 81 Pellerin, 1996). In addition, they can provide the ground-truth data for each synthetic root system
- 82 generated, independently of its complexity. However, except one recent tool designed for young
- 83 seedlings with no lateral roots (Benoit et al., 2014). they have almost never been used for validation
- 84 of image analysis tools (Rellán-Álvarez et al., 2015). A

85

- 86 Here we (i) illustrate the use of a structural root model, Archisimple, to systematically analyse and
- 87 evaluate an image analysis pipeline and (ii) evaluate the usefulness of different root metrics
- 88 commonly used in plant root research.

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90 Material and methods

91 Nomenclature used in the paper

92

93 **Ground-truth data**: The real (geometric and morphometric) properties of the root system as a

94 biological object. Determined by either manual tracing of roots or by using the output of modelled

95 root systems.

96 (Image) Descriptor: Property of the root image. Does not necessarily have a biological meaning.

97 **Synthetype**: For each simulation, a parameter set is defined randomly. Then, 10 root systems are

98 created. Since the model has an intrinsic variability, each of these root system is slightly different

99 from the others, although similar, forming what we called a synthetic genotype, or *synthetype*.

100 **Root axes:** first order roots, directly attached to the shoot

101 Lateral root: second (or lower) order roots, attached to an other root

102 Creation of a root system library

103 We used the model ArchiSimple, which was shown to allow generating a large diversity of root

systems with a minimal amount of parameters (Pagès et al., 2013). In order to produce a large

105 library of root systems , we ran the model 10.000 times, each time with a random set of parameters.

106

107 The simulations were divided in two main groups: monocots and dicots. For the monocot

- simulations, the model generated a random number of first-order axes and secondary (radial)
- 109 growth was disabled. For dicot simulations, only one primary axis was produced and secondary

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- 110 growth was enabled (the extend of which was determined by a random parameter). For all
- 111 simulation, only first order laterals were created, to limit complexity.

112

- 113 The root system created from each simulation was stored in an RSML file. Each RSML file was then
- read by the RSML Reader plugin from ImageJ to extract metrics and generate ground-truth data for
- the library (Lobet et al., 2015). These ground-truth data included geometrical, morphological and
- topological parameters (table 1). For each RSML data file, the RSML Reader plugin also created a
- 117 PNG image (at a resolution of 300 DPI) of the root system.
- 118

119 **Table 1:** Root system parameters used as ground-truth data

Name	Description	Unit
tot_root_length	The cumulative length of all roots	mm
tot_prim_length	The cumulative length of all root axes	mm
tot_lat_length	The cumulative length of all lateral roots	mm
mean_prim_length	The mean first-order roots length	mm
mean_lat_length	The mean lateral root length	mm
n_primary	The total number of first order roots	-
n_laterals	The total number of lateral roots	-
	The mean lateral root density: for each first-orde root, the number of lateral roots divided by the axis length	
mean_lat_density	(total length).	mm-1
mean_prim_diam	The mean diameter of the first-order roots	mm
mean_lat_diam	The mean diameter of the lateral roots	mm
mean_lat_angle	The mean insertion angle of the lateral roots	0

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121 Root image analysis

- 122 Each generated image was analysed using a custom-made ImageJ plugin, Root Image Analysis-J (or
- 123 RIA-J). The source code of RIA-J, as well as a compiled version is available at the address:
- 124 https://zenodo.org/record/61509.

125

- 126 For each image, we extracted a set of classical root image descriptors, such as the total root length,
- 127 the projected area or the number of visible root tips. In addition, we included shape descriptors,
- such as pseudo-landmarks, or a-dimensional metrics such as the exploration ratio, of the width
- 129 proportion at 50% depth (see Supplemental file 1 for details about the shape descriptors). The list
- 130 of metrics and algorithms used by our pipeline is listed in the table 2.

131 Data analysis

- 132 Data analysis was performed in R (R Core Team). Morphometric analyses were performed using the
- 133 *momocs* (Bonhomme et al., 2014) and *shapes* (Dryden, 2015)packages. Plots were created using
- 134 ggplot2 (Wickham, 2009) and lattice (Sarkar, 2008).
- 135 The Relative Root Square Mean Errors (RRSME) were estimated using the equation:

$$RRMSE = \sqrt{\frac{\sum^{n} \frac{(\overline{y}\overline{\iota} - yi)}{\overline{y}\overline{\iota}}}{n}}$$

- 136 where *n* is the number of observations, $\overline{y_i}$ is the mean and y_i is the estimated mean.
- 137 The Linear Discriminant Analysis (LDA) was performed using the *lda* function from the *MASS*
- package (M and D, 2002). For each analysis, we used the *synthetype* information as grouping factor.
- 139 We used half of the samples (5) of each *synthetype* to build the model and the other half to assess
- 140 the discriminant power of the each class of metrics (morphology and shape).

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141 Data availability

- 142 All data used in this paper (including the image and RSML libraries) are available at the address
- 143 https://zenodo.org/record/61739
- 144 An archived version of the codes used in this paper is available at the address
- 145 https://zenodo.org/record/152083

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147 Results and discussions

¹⁴⁸ Production of a large library of ground-truthed root system images

149 We combined existing tools into a single pipeline to produce a large library of ground-truthed root 150 system images. The pipeline combines a root model (ArchiSimple (Pagès et al., 2013)), the Root 151 System Markup Language (RSML) and the RSML Reader plugin from ImageJ (Lobet et al., 2015). In 152 short, ArchiSimple was used to create a large number of root systems, based on random input 153 parameter sets. Each output was stored as an RSML file (fig. 2A), which was then used by the RSML 154 Reader plugin to create a graphical representation of the root system (as a .jpeg file) and a ground-155 truth dataset (fig. 2B). Details about the different steps are presented in the Materials and Methods 156 section.



157

Figure 2: Overview of the workflow used in this study. A. Generation of root systems using ArchiSimple. B. Creation and analysis of root images.

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159	We used the pipeline to create a library of 10,000 root system images, separated into monocots
160	(multiple first order roots and no secondary growth) and dicots (one first order root and secondary
161	growth). For each input parameter-set used for ArchiSimple (1.000 different ones), 10 repetitions
162	were performed to create synthetic genotypes, or synthetypes (fig. 2A). The synthetype repetitions
163	were done such as the structure of the final dataset would mimic the structure of a dataset
164	containing phenotypic data of different genotypes. The ranges of the different ground-truth data are
165	shown in table 2 and their distribution is shown in the Supplemental Figure 1. The pipeline
166	produced perfectly thresholded black and white images and hence the following analyses were
167	focused on the characterisation of the root objects themselves.
168	
169	We started by evaluating whether monocots and dicots should be separated during the analysis. We
170	performed a Principal Component Analysis on the ground-truthed dataset to assess if the species
171	grouping had an effect on the overall dataset structure (fig. 3A). Monocots and dicots formed
172	distinct groups (MANOVA p-value < 0.001), with only minimal overlap. The first principal
173	component, that represented 33.2% of the variation within the dataset, was mostly influenced by
174	the number of primary axes. The second principal component (19.6% of the variation) was
175	influenced, in part, by the root diameters. These two effects were consistent with the clear grouping
176	of monocots and dicots, since they expressed the main difference between the two <i>species</i> .
177	Therefore, since the <i>species</i> grouping had such a strong effect on the overall structure, we decided
178	to analyse them separately rather than together for the following analyses.

179

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Table 3: Ranges of the different ground-truth data from the root systems generated using

181 ArchiSimple

variable	minimum value	maximum value	unit
	MONOCOTS	5	
tot_root_length	8.36	2455.03	cm
width	0.25	33.21	cm
depth	5.49	37.5	cm
n_primary	1	20	-
tot_prim_length	6	327	cm
mean_prim_length	3.22	38	cm
mean_prim_diameter	0.02	0.04	cm
mean_lat_density	0	100.88	cm
n_laterals	0	1378	-
tot_lat_length	0	1630	cm
mean_lat_length	0	4.44	cm
mean_lat_diameter	0	0.03	cm
mean_lat_angle	0	97.74	0
	DICOTS		
tot_root_length	6.91	585.05	cm
width	0.01	15.05	cm
depth	3.89	36.99	cm
n_primary	1	1	-
tot_prim_length	4	37	cm
mean_prim_length	4.4	37.5	cm
mean_prim_diameter	0.02	1.13	cm
mean_lat_density	0	494.54	cm
n_laterals	0	277	-
tot_lat_length	0	437	cm
mean_lat_length	0	5.48	cm
mean_lat_diameter	0	0.23	cm
mean_lat_angle	0	87.63	0

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184 Systematic evaluation of root image descriptors

- 185 In order to demonstrate the utility of a synthetic library of ground-truthed root systems, we
- 186 analysed every image of the library using a custom-built root image analysis tool, RIA-J. We decided
- to do so because our purpose was to test the usefulness of the synthetic analysis and not to assess
- 188 the accuracy of existing tools. Nonetheless, RIA-J was designed using known and published
- algorithms, often used in root system quantification. A detailed description of RIA-J can be found in
- 190 the Materials and Methods section.
- 191

192 **Table 3:** Root image descriptors extracted by RIA-J

Name	Description	Unit	Reference
area	Projected area of the root system	mm ²	(Galkovskyi et al., 2012)
length	Length of the skeleton of the root system image	mm	(Galkovskyi et al., 2012)
tip_count	Number of end branches in the root system skeleton	-	
diam_mean	Mean diameter of the root object in the image	mm	
width	The maximal width of the root system	mm	-
depth	The maximal depth of the root system	mm	-
width_depth	Ratio between the width and the depth of the root system	-	(Galkovskyi et al., 2012)
com_x - com_y	Relative coordinates of the center of mass of the root system	-	(Galkovskyi et al., 2012)
convexhull	Area of the smallest convex shape around the root system	mm ²	(Galkovskyi et al., 2012)
exploration	Ratio between the convex hull area and the projected area	-	(Galkovskyi et al., 2012)
			(Chitwood and Otoni,
	First three Principal Components of the morphometric analysis		2016; Rellán-Álvarez et al.,
PL.PC1-3	using pseudo-landmarks (see Supplemental file 1 for details)	-	2015; Ristova et al., 2013)
	Relative depth at which 50% of the cumulative width of the		
width50	root system is reached (see Supplemental file 1 for details)	-	(Bucksch et al., 2014)
	Relative depth at which 50% of the total number of roots is		
count50	reached	-	(Bucksch et al., 2014)

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194	We extracted 16 descriptors from each root system image (Table 3) and compared them with their
195	own ground-truth data. For each pair of descriptor/data, we performed a linear regression and
196	computed its r-squared value. Figure 4 shows the results from the different combinations for both
197	monocots and dicots. We can observe that, as a general rule, good correlations were rare, with only
198	3% of the combinations having an r-squared above 0.8. In addition, even a good correlation is not
199	necessarily directly useful as the relationship between the two variables might not follow a 1:1 rule
200	(fig. 4B-C). In such case, an additional validation might be needed to define the relation between
201	both variables.
202	
203	It also has to be noted that the correlations were different between species. As an example, within
204	the dicot dataset, no good correlation was found between the <i>tip_count</i> and <i>diam_mean</i> estimators
205	while better correlation was found for the monocots. As a consequence, validation of the different

206 image analysis algorithms should be performed, at least, for each group of species. An algorithm

207 giving good results for a monocot might fail when applied on dicot root system analysis.

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Figure 4: A. Heatmap of the r-squared values between the different image descriptors and the ground-truth values. Black represents an r-squared value of 1; white represents a value of 0. Upper panel: dicot dataset. Lower panel: monocot dataset. B & C. Details of the regressions. The plain black line represent the fitted regressions while the dotted line represents the 1:1 relationship.

In addition to being related to the species of study, estimation errors are likely to increase with the

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209 Errors from image descriptors are likely to be non linear

211 root system size. As the root system grows and develops, the crossing and overlapping segments 212 increase, making the subsequent image analysis potentially more difficult and prone to error. 213 However, a systematic analysis of such error is seldom performed. 214 215 Figure 5 shows the relationship between the ground-truth and descriptor values for three 216 parameters: the total root length (fig. 5A), the number of roots (fig. 5B) and the root system depth 217 (fig. 5C). For each of these variables, we quantified the Relative Root Mean Square Error (see 218 Materials and Methods for details) as a function of the total root length. We can observe that for the 219 estimation of both the total root length and the number of lateral roots, the Relative Root Square 220 Mean Error increased with the size of the root system (fig. 5A-B). As stated above, such increase of 221 the error was somehow expected with increasing complexity. For other metrics, such as the root 222 system depth, no errors were expected (*depth* is supposedly an error-less variable) and the Relative 223 Root Mean Square Error was close to 0 whatever the size of the root system. 224 225 Such results are a call to caution when analysing root images as unexpected errors in descriptors 226 estimation can arise. This is probably even more true with real images, that are susceptible to 227 contain non-root objects (e.g. dirt) and lower order laterals roots (as stated above, simulations used

here were limited to first order laterals).

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Figure 5: Error estimation for three ground-truth parameters. Left panel shows the relationship between the descriptors and the corresponding ground-truth variables. Right panels show the evolution of the Relative Root Mean Square Error (RRSME) as a function of the ground-truth variable. For the RRSME calculations, the continuous variables were discretised in groups. **A**. Total root length. **B**. Number of lateral roots. **C**. Root system depth

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232 Differentiation power differs between metrics

Finally, we wanted to evaluate which metrics were the most useful to discriminate between root systems of different genotypes or experimental series (control vs treatment). As explained above, for each parameter set used in the ArchiSimple run for library construction, we generated 10 root systems. Given the intrinsic variability existing in the model, each of these 10 root systems were similar although different, as could be expected from plants of the same genotype. These so-called *synthetypes*, were then used to evaluate how efficient were the different metrics to discriminate them.

240

241 To estimate the differentiation of the image metrics, we used a Linear Discriminant Analysis (LDA) 242 prediction model. For each synthetype, half of the plants were used to create the LDA model. The 243 model was then used to predict a synthetype for the remaining half of the plants. This approach 244 allowed us to evaluate the prediction accuracy, or differentiation power, of the different metrics. A 245 prediction accuracy of 100% means that all plants were correctly assigned to their synthetype. To 246 evaluate the differentiation power of single metrics, we used an approach in which each metric was 247 iteratively added to the model, based on the model global prediction power (see Supplemental 248 Figure 3 for details about the procedure). We performed the analysis either on a full dataset (fig. 249 6D-E), or on a data restricted to the smallest plants (fig. 6A), in order to test the influence of the 250 underlying data structure.

251

Two main observations can be made on the figure 6. First, for three out of four scenarios, only 5 (or less) descriptors were needed to achieve a differentiation accuracy of 90%. *Depth, area* and *length* were the most important descriptors in almost all scenarios. The remaining descriptors did not increase significantly the accuracy (some might even decrease it). This might be interpreted as a

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- 256 handful of variables were sufficient to distinguish *synthetypes*, and by extension genotypes or
- 257 treated plants. However, we can also observe that the most important parameters changed
- depending on the underlying data structure (either due to species or the size of the dataset). This
- 259 indicates that it is difficult to have an *a priori* evaluation of the important variables. Keeping as
- 260 many variable a possible might always be the most efficient solution.
- 261

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Figure 6: A. Distribution of the simulated root system depths. The dotted line represent the threshold used to generate a partial dataset. B-E. Evaluation of the differentiation power of the different image metrics. Each point corresponds to the prediction accuracy of the Linear Discriminant Analysis model, with an increasing number of metrics included. The abscise axis represents the variables iteratively added to the model. B-C. Prediction accuracy for a partial dataset. D-E: Prediction accuracy for the full dataset. B-D: Dicots.
 C-E: Monocots. Empty dots represent morphological metrics. Plain dots represent geometrical metrics. The dotted line represent the 90% precision accuracy threshold.

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263 Conclusions

264	The automated analysis of root system images is routinely performed in many research projects.
265	Here we used a library of 10.000 modelled images to estimate the accuracy and usefulness of
266	different image descriptors extracted with an home-made root image analysis pipeline. The analysis
267	highlighted some important limitations during the image analysis process.
268	
269	Firstly, general structure of the root system (e.g monocot vs dicots) can have a strong influence on
270	the descriptors accuracy. Descriptors that have been shown to be good predictors for one type of
271	root systems might fail for another type. In some cases, the calibration and the combination of
272	different descriptors might improve the accuracy of the predictions, but this needs to be assessed
273	for each analysis.
274	
275	A second factor influencing strongly the accuracy of the analysis is the root system size and
276	complexity. As a general rule, for morphological descriptors, the larger the root system, the larger
277	the error is. So far, a large proportion of the root research has been focused on seedlings with small
278	root systems and have <i>de facto</i> avoided such errors. However, as the research questions are likely
279	to focus more on mature root system in the future, these limitations will become critical.
280	
281	Finally we have shown that not all metrics have the same benefit when comparing genotype or
282	treatments. Again, depending on the root system type or size, different metrics will have different
283	differentiation powers.
284	
285	It is important to highlight that the images used in our analysis were perfectly thresholded, without
286	any degradation in the image quality. Therefore, the errors computed in our analysis are likely

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- 287 under-estimated compared to real images (with additional background noise and lesser quality).
- 288 Since the quality of the images is dependent on the underlying experimental setup, artificial noise
- could be added to the generated images in order to mimic any experimentally induced artifact and
- to improve the analysis pipeline evaluation, as proposed by (Benoit et al., 2014).

291

- 292 To conclude, our study is a reminder that thorough calibrations are needed for root image analysis
- 293 pipelines. Here we have used a large library of simulated root images, that we hope will be helpful
- for the root research community to evaluate current and future image analysis pipelines.
- 295

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297 Conflict of Interest

- 298 The authors declare that the research was conducted in the absence of any commercial or financial
- relationships that could be construed as a potential conflict of interest.

300 Author Contributions

- 301 GL, LP, PT and CP designed the study. IK developed the image analysis pipeline RIA-J. GL generated
- 302 the image library, did the image analysis and data analysis. LP developed the ArchiSimple model. All
- 303 authors have participated in the writing of the manuscript.

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308 Supplementary Material

- 309 Supplemental figure 1: Distribution of the properties of the modelled root images
- **Supplemental figure 2:** Distribution of the descriptors of the modelled root images
- 311 Supplemental figure 3: Workflow used for the accuracy analysis
- 312 Supplemental file 1: Definitons of the shape descriptors

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