

## Calcific aortic stenosis

Brian R. Lindman<sup>1</sup>, Marie-Annick Clavel<sup>2</sup>, Patrick Mathieu<sup>2</sup>, Bernard Jung<sup>3,4</sup>, Patrizio Lancellotti<sup>5,6</sup>, Catherine M. Otto<sup>7</sup> and Philippe Pibarot<sup>2</sup>

**Abstract** | Calcific aortic stenosis (AS) is the most prevalent heart valve disorder in developed countries. It is characterized by progressive fibro-calcific remodelling and thickening of the aortic valve leaflets that, over years, evolve to cause severe obstruction to cardiac outflow. In developed countries, AS is the third-most frequent cardiovascular disease after coronary artery disease and systemic arterial hypertension, with a prevalence of 0.4% in the general population and 1.7% in the population >65 years old. Congenital abnormality (bicuspid valve) and older age are powerful risk factors for calcific AS. Metabolic syndrome and an elevated plasma level of lipoprotein(a) have also been associated with increased risk of calcific AS. The pathobiology of calcific AS is complex and involves genetic factors, lipoprotein deposition and oxidation, chronic inflammation, osteoblastic transition of cardiac valve interstitial cells and active leaflet calcification. Although no pharmacotherapy has proved to be effective in reducing the progression of AS, promising therapeutic targets include lipoprotein(a), the renin–angiotensin system, receptor activator of NF- $\kappa$ B ligand (RANKL; also known as TNFSF11) and ectonucleotidases. Currently, aortic valve replacement (AVR) remains the only effective treatment for severe AS. The diagnosis and staging of AS are based on the assessment of stenosis severity and left ventricular systolic function by Doppler echocardiography, and the presence of symptoms. The introduction of transcatheter AVR in the past decade has been a transformative therapeutic innovation for patients at high or prohibitive risk for surgical valve replacement, and this new technology might extend to lower-risk patients in the near future.

Calcific aortic valve disease is, by far, the most prevalent form of aortic stenosis (AS) worldwide. In the developing world, AS may also be caused by rheumatic heart disease. Calcific aortic valve disease is characterized by fibro-calcific remodelling of the valve leaflets. In the first phase of the disease, termed aortic sclerosis, the valve becomes thickened and mildly calcified but these changes do not cause any obstruction to blood flow. Over the years, the disease evolves to severe valve calcification with impaired leaflet motion and vast blood flow obstruction, which are hallmarks of calcific AS<sup>1</sup> (TABLE 1). In developed countries, AS is the third-most common cardiovascular disease after coronary artery disease and systemic arterial hypertension<sup>2</sup>. Over the past five decades, the management of calcific AS has changed dramatically. Doppler echocardiography has replaced cardiac catheterization as the method of choice for the diagnosis and follow-up of AS, and transcatheter valve therapy has emerged as an alternative to surgery for aortic valve replacement (AVR). However, no pharmacotherapy has proved to reduce either the progression of valve stenosis or the resulting adverse effects on left ventricular function and patient outcomes. Hence, surgical or transcatheter AVR are the only effective treatment

options for severe AS<sup>3,4</sup>. Overall, this disease is directly responsible for approximately 85,000 AVRs and 15,000 deaths per year in North America<sup>2</sup>. In this Primer, we discuss the epidemiology, mechanisms, diagnosis and management of calcific AS, and highlight how the introduction of transcatheter-based valve replacement has transformed patient outcomes.

### Epidemiology

Calcific AS is the consequence of progressive fibro-calcific remodelling occurring on an initially normal (tricuspid) aortic valve or a congenitally abnormal (bicuspid) aortic valve. Although the prevalence of bicuspid aortic valve is only 0.5–1.0% in children, it accounts for nearly half of aortic valves that are surgically removed because of calcific AS<sup>5</sup>. During their lifetime, most individuals with a bicuspid aortic valve develop some kind of aortic valve pathology, the most common being AS<sup>5–8</sup>. Furthermore, patients with bicuspid valve develop calcific AS one or two decades earlier than those with a tricuspid valve.

Aortic sclerosis, which is the preclinical phase of calcific aortic valve disease, is defined as focal areas of valve calcification and leaflet thickening without

Correspondence to P.P.  
 Québec Heart and Lung  
 Institute, Department of  
 Medicine, Laval University,  
 2725 Chemin Sainte-Foy,  
 Québec City, Québec G1V  
 4G5, Canada.  
[philippe.pibarot@med.ulaval.ca](mailto:philippe.pibarot@med.ulaval.ca)

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**Author addresses**

<sup>1</sup>Cardiovascular Division, Washington University School of Medicine, St. Louis, Missouri, USA.

<sup>2</sup>Québec Heart and Lung Institute, Department of Medicine, Laval University, 2725 Chemin Sainte-Foy, Québec City, Québec G1V 4G5, Canada.

<sup>3</sup>Cardiology Department, AP-HP, Bichat Hospital, Paris, France.

<sup>4</sup>Paris-Diderot University, DHU Fire, Paris, France.

<sup>5</sup>University of Liège Hospital, GIGA Cardiovascular Sciences, Department of Cardiology, Heart Valve Clinic and CHU Sart Tilman, Liège, Belgium.

<sup>6</sup>Grupo Villa Maria Care and Research, Anthea Hospital, Bari, Italy.

<sup>7</sup>Division of Cardiology, Department of Medicine, University of Washington School of Medicine, Seattle, USA.

significant cardiac blood flow obstruction (aortic jet velocity of  $<2.0$  m per s)<sup>3</sup>. The prevalence of aortic sclerosis increases sharply with age. In developed countries, it is estimated to be 25% in those  $>65$  years old and almost 50% in those aged  $>85$  years<sup>9–11</sup>. According to a recent meta-analysis, the rate of progression to AS in individuals with aortic sclerosis is 1.8–1.9% of patients per year<sup>11</sup>. Therefore, the prevalence of calcific AS is much lower than that of aortic sclerosis, and has been estimated to be 0.4% in the general population and 1.7% in the population  $>65$  years of age<sup>12</sup> in developed countries. There is a marked increase in the prevalence of calcific AS in those  $>65$  years, as reported by several population-based studies in the United States and Europe<sup>9,13–15</sup> (FIG. 1). For individuals aged  $\geq 75$  years, a pooled analysis of available epidemiological data in developed countries produced an estimated severe AS prevalence of 3.4% (95% confidence interval of 1.1–5.7%), with 75% of those with severe AS presenting with symptoms<sup>16</sup>. The incidence of calcific AS has been assessed in a longitudinal Norwegian study and was estimated to be 4.9 per 1,000 people per year in a population that had a mean age of 60 years at inclusion<sup>13</sup>. The geographical distribution of calcific AS is heterogeneous and shows a clustering effect, which is probably the consequence of genetic factors<sup>17</sup>.

Although mitral valve regurgitation has a higher prevalence than AS in population-based studies, AS has a more important clinical effect<sup>18</sup>. In the Euro Heart Survey, AS was more prevalent than mitral valve regurgitation in patients who were referred for in-hospital care and cardiac surgery<sup>18</sup>. Furthermore, calcific AS accounted for 34% of all native (that is, non-prosthetic) valve diseases, whereas mitral regurgitation accounted for 25%; and calcific AS accounted for 47% of patients operated for valvular disease, whereas mitral regurgitation accounted for 14% (REF. 18).

The burden of calcific AS in the community is expected to increase over the next decades owing to population ageing and the lack of a prevention strategy to reduce disease progression. Estimates based on current prevalence rates and demographic forecasts predict that the number of patients with calcific AS who are  $>70$  or  $>75$  years of age will increase twofold to threefold over the next 50 years in developed countries<sup>15,16,19</sup>.

The epidemiology of AS in developing countries and resource-poor settings differs in some respects to that seen in developed countries, partly because of the higher rates of rheumatic fever and rheumatic heart disease in poorer communities. Rheumatic heart disease is a chronic condition resulting from acute rheumatic fever, which in turn is caused by an untreated throat infection with group A *Streptococcus*. Both rheumatic fever and rheumatic heart disease may cause damage to the heart valves and can result in stenosis and regurgitation, particularly of the mitral and aortic valves. Valvular remodelling markedly differs between rheumatic heart disease and calcific AS. Fusion of aortic leaflets at commissures is one hallmark and distinctive feature of rheumatic heart disease; a disease that rarely affects the aortic valve alone (less than 10% of all cases of valvular heart disease in countries in which rheumatic fever remains endemic) and most often involves the mitral valve. When the aortic valve is affected, the dysfunction is often mixed: aortic stenosis combined with some degree of aortic regurgitation<sup>20,21</sup>. The proportion of AS caused by calcific AS is expected to increase in industrially developing countries owing to the decreasing incidence of rheumatic fever. In addition, the overall burden of calcific AS is expected to increase owing to the increase in life expectancy in these regions.

**Mechanisms/pathophysiology**

For a long time, calcific aortic valve disease was thought to be a 'degenerative' process caused by time-dependent wear and tear of the leaflets and passive calcium deposition. There are now compelling histopathological and clinical data suggesting that calcific valve disease is, in fact, an active and multifaceted condition involving lipoprotein deposition, chronic inflammation, osteoblastic transition of valve interstitial cells and active leaflet calcification<sup>22,23</sup>.

**Aortic valve anatomy and remodelling**

The aortic valve is typically composed of three leaflets that are named according to their location with respect to the coronary artery; specifically, the left coronary, right coronary and non-coronary leaflets (FIG. 2). Each leaflet has a trilaminar structure that determines the biomechanical properties of the aortic valve<sup>24</sup>. The outermost layers of the leaflet are formed by the fibrosa and ventricularis, which face the aorta and the left ventricular outflow tract, respectively. The spongiosa, which has a high proteoglycan content, is located between the fibrosa and ventricularis (FIG. 3). The fibrosa is rich in circumferentially oriented collagen type I and III fibres<sup>25</sup>, whereas in the ventricularis, radially oriented elastic fibres predominate. The ventricularis composition provides compliance (that is, the ability to expand under pressure) and allows the apposition of free edge regions of leaflets, thus preventing the backwards flow of blood into the left ventricle during diastole. The cellular population of these aortic valve layers includes valve interstitial cells (VICs), smooth muscle cells (SMCs;  $<5\%$  of the population) and endothelial cells. The endothelial cells cover the aortic and ventricular surface and therefore provide an interface between the blood and the aortic valve<sup>26</sup>. VICs are the predominant cells in the aortic valve, whereas SMCs reside at the base of the ventricularis<sup>27</sup>.

Inspection of surgically explanted valves with calcific AS reveals two features, fibrosis and calcification (FIG. 3), which substantially alter the biomechanical properties of the aortic valve leaflets. A small proportion (10–15%) of calcific AS valves show advanced osteogenic metaplasia with the presence of osteoblast-like cells, chondrocytes and bone marrow<sup>28</sup>. Calcified valves often contain dense inflammatory infiltrates, which mostly consist of macrophages<sup>29,30</sup>. Mineralization starts in the fibrosa layer and is often localized in the vicinity of lipid deposits. Together, these observations suggest that the fibro-calcific process in the aortic valve is a response to injury, which might be triggered by lipid-derived species and inflammation<sup>31</sup> (FIG. 4).

In addition, excess production and disorganization of collagen fibres is an important feature of calcific AS. Fibrosis increases the stiffness of the aortic valve and might play a considerable part in promoting mineralization. To this effect, the collagen produced by VICs functions as a scaffold on which the nucleation of calcium and phosphorus can start<sup>32</sup>. Serum-induced

mineralization of collagen is increased *in vitro* by a population of VICs that have a pro-calcifying phenotype with elevated alkaline phosphatase (ALP) expression<sup>33,34</sup>. Furthermore, the increased production of several components of the extracellular matrix, including periostin, tenascin (also known as tenascin C) and proteoglycans contributes to the remodelling of the aortic valve during AS<sup>35,36</sup>. The exact role of non-collagenous proteins in the pathophysiology of AS is still mostly unknown, but growing evidence indicates that complex interactions between extracellular matrix proteins and cells provide crucial signals during normal reparative and pathological processes in the aortic valve<sup>37</sup>.

### Lipids

**Lipid infiltration and oxidation.** Increasing evidence suggests that infiltration of the aortic valve by lipoproteins has a central role in promoting inflammation, which precedes the pathological mineralization that is characteristic of calcific AS<sup>38</sup>. Therefore, the retention of lipids promotes a chronic low-grade inflammatory

Table 1 | Disease progression stages in calcific AS

Disease stage	Substage	Description	Management*
At risk of AS	NA	<ul style="list-style-type: none"> <li>Bicuspid aortic valve (or other congenital valve anomaly) or aortic valve sclerosis</li> <li>No obstruction to blood flow</li> <li>No symptoms</li> </ul>	<ul style="list-style-type: none"> <li>Clinical and echocardiographic follow-up every 3–5 years</li> <li>No indication of AVR</li> </ul>
Mild or moderate AS	NA	<ul style="list-style-type: none"> <li>Mild-to-moderate leaflet calcification of a bicuspid valve or tricuspid valve with some reduction in systolic motion</li> <li>Mild or moderate AS<sup>†</sup></li> <li>Early left ventricular diastolic dysfunction might be present but normal LVEF</li> <li>No symptoms</li> </ul>	<ul style="list-style-type: none"> <li>Clinical and echocardiographic follow-up every 3–5 years for mild AS and every 1–2 years for moderate AS</li> <li>No indication of AVR</li> </ul>
Severe AS	Asymptomatic severe AS with normal left ventricular systolic function	<ul style="list-style-type: none"> <li>Severe leaflet calcification or congenital stenosis with a severely reduced leaflet opening</li> <li>Severe AS<sup>†</sup></li> <li>Left ventricular diastolic dysfunction but normal LVEF</li> <li>No symptoms</li> </ul>	<ul style="list-style-type: none"> <li>Clinical and echocardiographic follow-up every 6–12 months</li> <li>Indication of AVR (class IIa) if stenosis is very severe<sup>†</sup> and low surgical risk</li> </ul>
	Asymptomatic severe AS with left ventricular systolic dysfunction	<ul style="list-style-type: none"> <li>Severe leaflet calcification or congenital stenosis with a severely reduced leaflet opening</li> <li>Severe AS<sup>†</sup></li> <li>LVEF of &lt;50%</li> <li>No symptoms</li> </ul>	<ul style="list-style-type: none"> <li>Indication of AVR (class I)</li> </ul>
	Symptomatic severe high-gradient AS	<ul style="list-style-type: none"> <li>Severe leaflet calcification or congenital stenosis with a severely reduced leaflet opening</li> <li>Severe AS with high gradient<sup>†</sup></li> <li>Left ventricular diastolic dysfunction, impaired left ventricular longitudinal systolic function and pulmonary hypertension may be present</li> <li>Symptoms include exertional dyspnoea, angina, syncope or pre-syncope and decreased exercise tolerance</li> </ul>	<ul style="list-style-type: none"> <li>Indication of AVR (class I)</li> </ul>
	Symptomatic low-flow, low-gradient severe AS with preserved LVEF	<ul style="list-style-type: none"> <li>Severe leaflet calcification or congenital stenosis with a severely reduced leaflet opening</li> <li>Severe AS with low gradient<sup>†</sup></li> <li>Small left ventricular cavity with pronounced concentric remodelling, restrictive diastolic filling, and low-flow but normal LVEF</li> <li>Symptoms include heart failure, angina, syncope or pre-syncope</li> </ul>	<ul style="list-style-type: none"> <li>Indication of AVR (class IIa)</li> </ul>
	Symptomatic low-flow, low-gradient severe AS with reduced LVEF	<ul style="list-style-type: none"> <li>Severe leaflet calcification or congenital stenosis with a severely reduced leaflet opening</li> <li>Severe AS with low gradient<sup>†</sup></li> <li>Left ventricular diastolic dysfunction and LVEF of &lt;50%</li> <li>Symptoms include heart failure, angina, syncope or pre-syncope</li> </ul>	<ul style="list-style-type: none"> <li>Indication of AVR (class IIa or class IIb if no left ventricular flow reserve)</li> </ul>

AS, aortic stenosis; AVR, aortic valve replacement; LVEF, left ventricular ejection fraction; NA, not applicable. \*Indication of AVR: for class I AVR should be carried out; for class IIa AVR is reasonable; and for class IIb AVR may be considered. <sup>†</sup>See TABLE 2 for definitions.

process that, in turn, might induce an osteogenic program in aortic valves. In this regard, histological studies have shown that several apolipoproteins (apos), such as apoB, apoE, apoA1 and apo(a), are present in surgically removed stenotic aortic valves<sup>39</sup>.

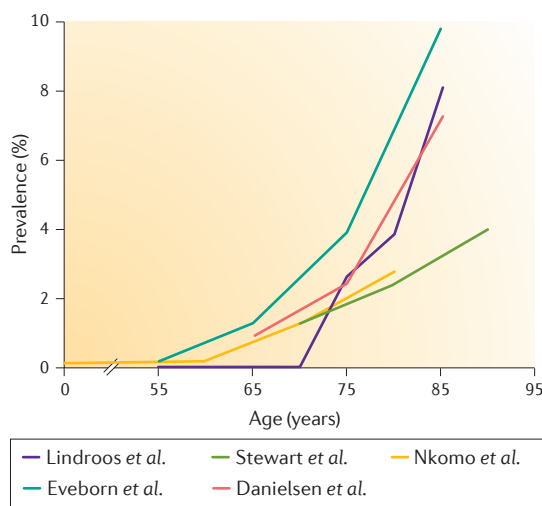
Oxidative stress has also been implicated in calcific AS. For instance, immunostaining has shown that apoB colocalizes with oxidized low-density lipoproteins (Ox-LDLs) in valves from patients with calcific AS<sup>40,41</sup>, and that there is an association between the level of Ox-LDL and the degree of inflammation and fibro-calcific remodelling in surgically removed AS valves<sup>40,42</sup>. Oxidative stress is increased in AS valves and is at least partly related to the uncoupling of the nitric oxide synthase (NOS) pathway<sup>43</sup>. In addition, the expression NAD(P)H oxidase is increased in surgically explanted calcific AS valves and contributes to the production of reactive oxygen species (ROS)<sup>44</sup>. Therefore, the production of peroxide and superoxide anions in the vicinity of calcified areas might participate in the production of oxidatively modified lipid species with osteogenic properties<sup>43</sup>. Work carried out *in vitro* has shown that Ox-LDL and several oxidized phospholipid (Ox-PL) species promote the calcification of isolated vascular cells<sup>45</sup>. Circulating Ox-PLs are mostly carried *in vivo* by lipoprotein(a) (Lp(a))<sup>46</sup>, which is an LDL-like particle in which the apoB protein is linked by a disulphide bridge to apo(a)<sup>47</sup>. Recent studies that used a Mendelian randomization design showed that the gene encoding apo(a) (*LPA*) is potentially causally related to calcific aortic valve disease<sup>48–50</sup>. In addition, Capoulade

and colleagues showed that circulating Lp(a) and Ox-PL levels were independently associated with faster progression of calcific AS<sup>51</sup>. Together, these studies suggest that high circulating levels of Lp(a) might promote the accumulation of Ox-PLs in the aortic valve, which could, in turn, trigger an osteogenic response (FIG. 4).

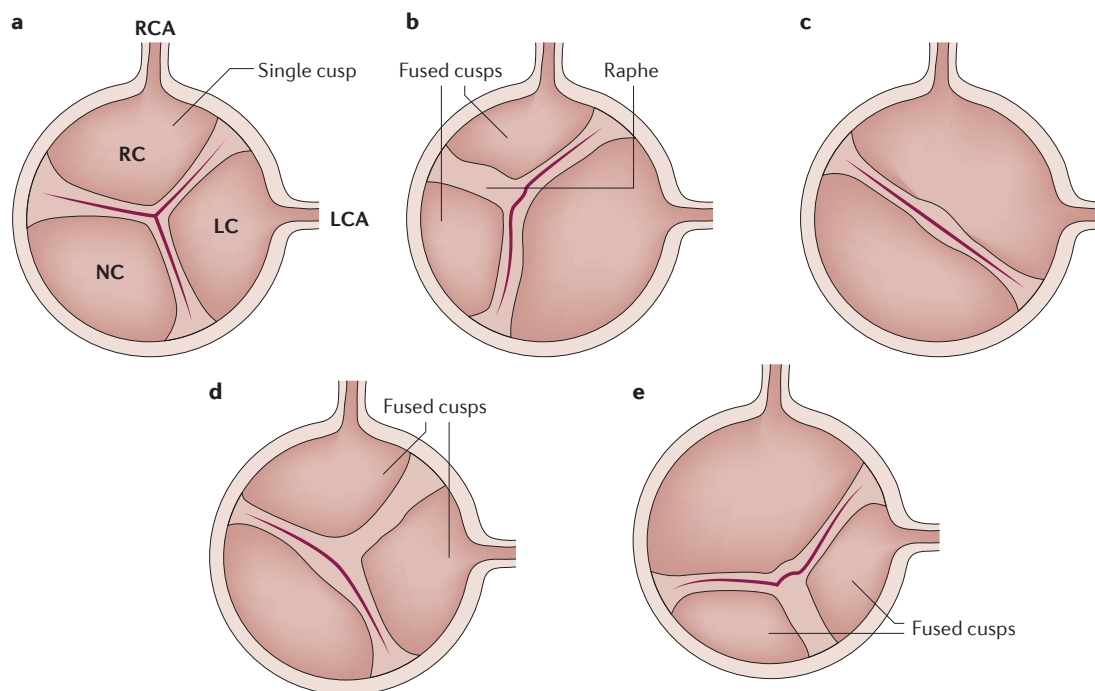
**Lipid retention and enzymatically modified lipid species.** Proteoglycans such as biglycan and decorin are overexpressed in aortic valves during calcific AS and might actively participate in lipid retention and modification<sup>52–54</sup> (FIG. 4). Moreover, transforming growth factor  $\beta$ 1 (TGF $\beta$ 1), which is activated in calcific AS, has been shown to promote the elongation of glycosaminoglycan (GAG) chains<sup>55</sup>. In turn, GAG chain elongation increases the interaction between proteoglycans and lipoproteins<sup>55</sup>. The accumulation and retention of lipoproteins in the aortic valve is a crucial event as lipids might be used by different enzymes to produce bioactive lipid-derived compounds, such as lysophospholipids<sup>56</sup>.

Lipoprotein-associated phospholipase A<sub>2</sub> (Lp-PLA<sub>2</sub>) levels are increased in stenotic aortic valves and this increase is associated with fibro-calcific remodelling<sup>57,58</sup> (FIG. 4). Circulating levels of Lp-PLA<sub>2</sub> are also positively and independently related to the progression of calcific AS<sup>59</sup>. Lp-PLA<sub>2</sub> is transported by apoB-containing lipoproteins and is enriched in small, dense LDL and Lp(a)<sup>60</sup>. Lp-PLA<sub>2</sub> transforms Ox-PLs into lysophosphatidylcholine (lysoPC), which promotes the loss of mitochondrial membrane potential and apoptosis of VICs<sup>57,61</sup>. In addition, Bouchareb and colleagues<sup>62</sup> recently showed that ectonucleotide pyrophosphatase/phosphodiesterase family member 2 (ENPP2; also known as autotaxin), a lysophospholipase D, is probably transported into the aortic valve by Lp(a) and is also secreted by VICs in response to diverse stimuli, including tumour necrosis factor (TNF; also known as TNF $\alpha$ )<sup>62</sup>. Autotaxin transforms lysoPC into lysophosphatidic acid (lysoPA). Of interest, *in vitro* knockdown of autotaxin prevents the mineralization of VICs induced by lysoPC, which suggests that lysoPA is probably the mediator that promotes osteogenic programming in VICs. To this effect, in a mouse model, the administration of lysoPA increased the deposition of hydroxyapatite (a form of calcium apatite) in the aortic valve and accelerated the development of calcific AS. Therefore, it is possible that autotaxin and lysoPA are key factors that explain the link between Lp(a) and AS<sup>63</sup>.

In addition to lysophospholipids, the arachidonic acid pathway, which produces leukotrienes and prostaglandins, has been shown to play a considerable part in the mineralization of the aortic valve<sup>64</sup> (FIG. 4). For instance, the expression of 5-lipoxygenase, which is required for leukotriene synthesis, is increased in aortic valves during calcific AS, and leukotriene C4 promotes the expression of bone morphogenetic protein 2 (BMP2) and BMP6 as well as the mineralization of VICs in culture<sup>64</sup>. A recent study showed that prostaglandin G/H synthase 2 (PTGS2; also known as cyclooxygenase 2 (COX2)) is expressed by VICs isolated from AS valves<sup>65</sup>. In support of a role for COX2 in calcific AS, loss of function of *Cox2* in *klotho*-deficient mice, which develop



**Figure 1 | The prevalence of AS as a function of age.** The prevalence of aortic stenosis (AS) according to age in the following population-based series from the USA or Europe: Lindroos *et al.* (Finland)<sup>14</sup>, in which AS was defined as an aortic valve area of  $<1.2$  cm<sup>2</sup>; Stewart *et al.* (Cardiovascular Health Study, USA)<sup>9</sup>, in which AS was defined as a peak aortic jet velocity of  $>2.5$  m per s; Nkomo *et al.* (USA)<sup>12</sup>, in which AS was defined as an aortic valve area of  $<1.5$  cm<sup>2</sup>; Eveborn *et al.* (Tromsø Study, Norway)<sup>13</sup>, in which AS was defined as a mean gradient of  $\geq 15$  mm Hg; and Danielsen *et al.* (AGES-Reykjavik Study, Iceland)<sup>15</sup>, in which AS was defined as an indexed aortic valve area of  $\leq 0.6$  cm<sup>2</sup> per m<sup>2</sup>.



**Figure 2 | Comparison of tricuspid and bicuspid aortic valve structures.** Schematic representation of a normal — tricuspid — aortic valve with the three cusps (part **a**), a bicuspid valve with right non-coronary cusp fusion and one raphe (the line of union between the fused cups) (part **b**), a bicuspid valve with fusion of the right and left coronary cusps and no raphe (part **c**), a bicuspid valve with right–left coronary cusp fusion and one raphe (part **d**), and a bicuspid valve with fusion of the left and non-coronary cusps and one raphe (part **e**). LC, left coronary; LCA, left coronary artery; NC, non-coronary; RC, right coronary; RCA, right coronary artery.

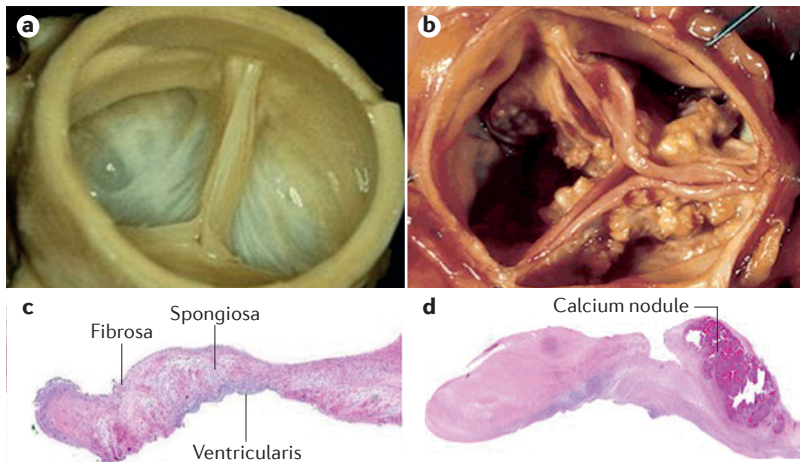
calcification of the aortic valve amongst other features, reduced the mineralization of the aortic valve<sup>65</sup>. Taken together, these findings suggest that several processes promote the retention of lipids in the aortic valve and produce bioactive lipid species, which in turn promote inflammation and mineralization of aortic valve leaflets.

### Inflammation

**Tissue remodelling and neovascularization.** Fibro-calcific remodelling and inflammation of the aortic valve are intricately linked processes with important crosstalk. Inflammatory infiltrate in mineralized aortic valves that have been removed surgically is composed of macrophages, mast cells, CD4<sup>+</sup> T cells and CD8<sup>+</sup> T cells<sup>66</sup>. Several oxidized lipid species might activate the innate immune response through Toll-like receptors (TLRs) and the nuclear factor- $\kappa$ B (NF- $\kappa$ B) pathway. TLRs are also expressed by VICs (in the case of TLR2 and TLR4) and may promote an osteogenic phenotype in isolated VICs<sup>67,68</sup>. Conversely, the role of adaptive immunity in calcific AS is still mostly unknown, but studies have shown that a subset of memory T cells is activated during AS and that clonal expansion of a T cell receptor repertoire is present in surgically removed calcific AS valves<sup>69</sup>. These data suggest that both innate and adaptive immune responses are probably involved in the pathobiology of calcific AS.

A histopathological study carried out on 285 aortic valves from patients with calcific AS showed that the presence of dense, chronic inflammatory infiltrates was related

to the remodelling score of the leaflets and to the presence of neovascularization<sup>29</sup>. Although the exact role of neovascularization in driving AS is still mostly unknown, it is possible that it is involved in the recruitment of inflammatory and osteoprogenitor cells (FIG. 4). In support of this hypothesis, mice that are deficient in chondromodulin 1 (encoded by *Lect1*), which is an anti-angiogenic factor, have thickened and mineralized aortic valve leaflets<sup>70</sup>. Aged (22 months) *Lect1*<sup>-/-</sup> mice develop capillary-like structures in their aortic valve leaflets, and this is accompanied by the presence of inflammatory cells and lipid deposits<sup>70</sup>. In human stenotic aortic valves, CD34<sup>+</sup> endothelial progenitor cells, which participate in new vessel formation, have been observed in clusters in close proximity to SPARC (also called osteonectin) and matrix metalloproteinase 9 (MMP9)<sup>71</sup>. SPARC is a matricellular protein expressed by VICs during calcification that is cleaved by MMPs into peptides with angiogenic activity<sup>71</sup>. Several MMPs, including MMP2, MMP9 and MMP12, are overexpressed in human calcific AS valve tissue<sup>72</sup>. As such, angiogenic SPARC peptides might promote neovascularization by CD34<sup>+</sup> endothelial progenitor cells and might cause inflammation as well as remodelling of the aortic valve. In addition, cathepsins K, V and S, which are proteases that can degrade extracellular matrix proteins, are expressed and activated during AS<sup>73</sup>, and in *ApoE*<sup>-/-</sup> mice, cathepsin S has been shown to promote elastolysis and mineralization of the aortic valve<sup>74</sup>. Therefore, inflammation and neovascularization are linked to remodelling and mineralization of the aortic valve.



**Figure 3 | Macroscopic and histopathological appearance of normal and abnormal aortic valves.** Photographs of a normal aortic valve (part **a**) and an aortic valve with severe calcific aortic stenosis (AS) (part **b**). Histopathological section of a normal aortic valve with haematoxylin staining showing the trilaminar structure of the valve from top to bottom (part **c**). Histopathological section of a valve with severe calcific AS with haematoxylin staining showing the presence of fibrotic material and a calcified nodule. The tissue is thickened by the excess of fibrotic material, and the calcified nodule, located in the fibrosa, contributes to alter the normal architecture of the leaflet (part **d**).

**Cytokines.** TNF is secreted by monocytes and macrophages, and activates TNF receptor superfamily member 1A (TNFR1). TNFR1 activation results in activation of NF- $\kappa$ B and its downstream targets, including IL-1 $\beta$  and IL-6 (REFS 75–78) (FIG. 4). These cytokines promote the mineralization of VICs and activate an osteogenic programme, which may involve the expression of homeobox protein MSX2 (REFS 75–78). To this effect, treatment of adventitial fibroblasts with TNF increased the expression of MSX2 through the production of ROS<sup>79</sup>. Mice that are deficient in IL-1 receptor antagonist protein (IL-1RN; encoded by *Il1rn*) have higher plasma levels of TNF than wild-type mice and develop a thickening of the aortic valve<sup>78</sup>. However, *Il1rn*<sup>-/-</sup> *Tnf*<sup>-/-</sup> mice are protected and do not develop a thickening of the aortic valve, which suggests that TNF plays an important part in promoting the remodelling of the aortic valve. In humans, the expression of TNF ligand superfamily member 10 (TNF10; also known as TRAIL), which is a member of the TNF-related cytokines, is increased in calcific AS valves and promotes the mineralization of VIC cultures through death receptor 4 (REF. 80).

IL-6, another cytokine with pleiotropic activities, has been implicated in calcific AS. IL-6 is increased in human calcified stenotic valves and is secreted in large amounts by cultured human VICs when they are treated with an osteogenic medium<sup>81</sup>. In addition, knockdown of *IL6* substantially reduces the expression of *BMP2* and the mineralization of VIC cultures<sup>81</sup>. Moreover, although it has not yet been investigated in VICs, IL-6 induces the expression of receptor activator of NF- $\kappa$ B ligand (RANKL; also known as TNFSF11) in bone cells, which activates its cognate receptor RANK (also known as TNFRSF11A)<sup>82</sup>. Overexpression of RANKL during calcific AS might have an important role in pathogenesis, as secreted RANKL activates VICs to produce extracellular matrix<sup>83</sup> (FIG. 4). In support of this role,

the administration of osteoprotegerin (OPG; also known as TNFRSF11b), which is a decoy receptor for RANKL, to low-density lipoprotein receptor knockout (*Ldlr*<sup>-/-</sup>) mice decreased calcification and the expression of osteogenic genes in aortic valves<sup>84</sup>. Of interest, in bone, RANKL is expressed by osteoblasts and promotes the resorption of mineral by osteoclasts. Therefore, it is possible that a dysregulation of RANKL–RANK–OPG explains the link between osteoporosis and vascular and valvular calcification<sup>66</sup>. In this regard, several epidemiological studies have underlined an association between osteoporosis and vascular and/or valvular calcification<sup>66,85–87</sup>.

### Angiotensin II

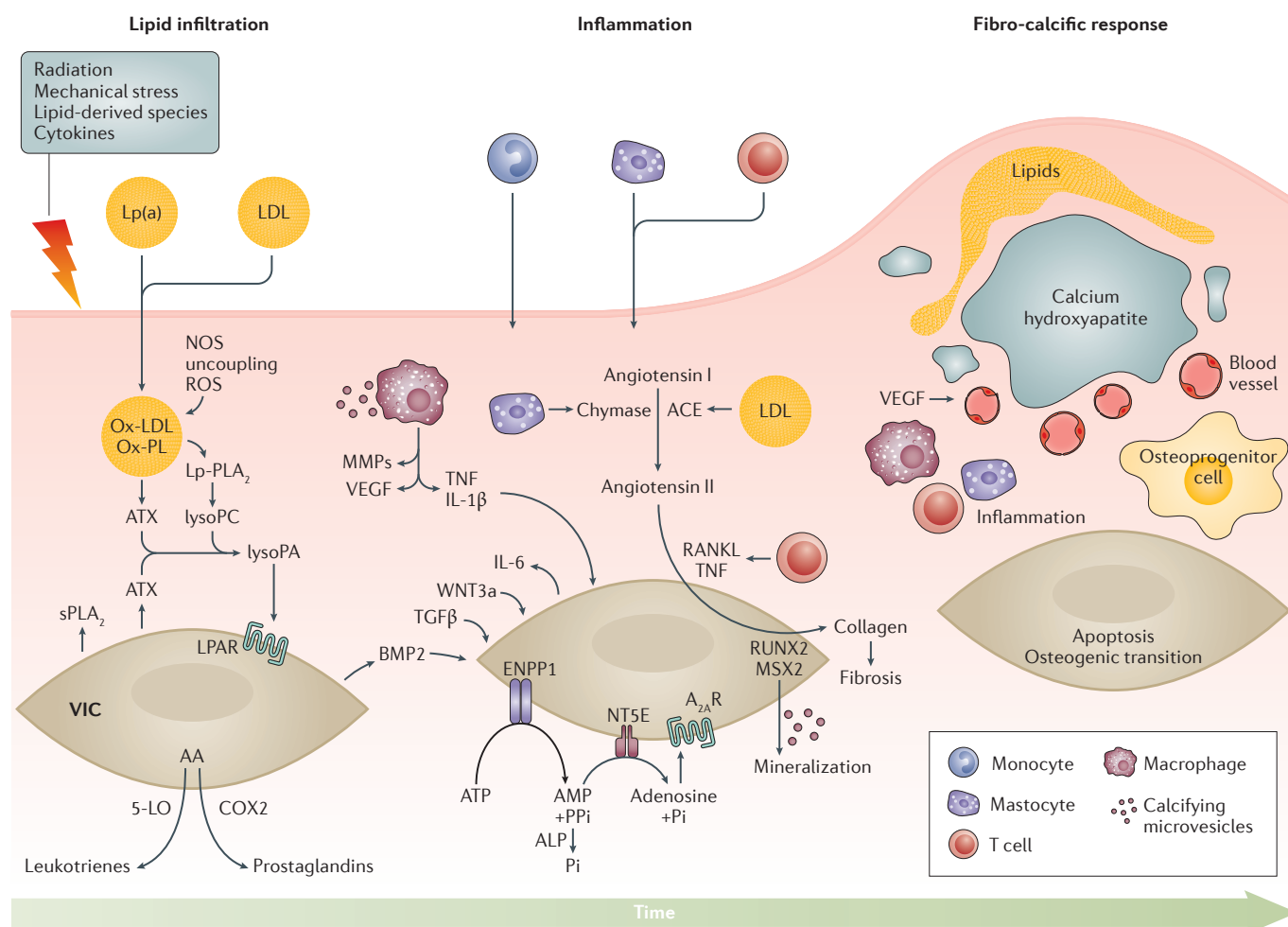
Angiotensin-converting enzyme (ACE) and chymase are overexpressed in calcific AS valves and are involved in the production of angiotensin II<sup>88,89</sup> (FIG. 4). Chymase is secreted by mast cells present in calcific AS valve tissues and converts angiotensin I into angiotensin II<sup>88</sup>. In addition, patients with calcific AS have elevated blood plasma levels of angiotensin II, which correlates with the valvular expression of TNF and IL-6 (REF. 90). Angiotensin II is a potent activator of the NF- $\kappa$ B pathway and promotes a strong fibrotic response in isolated cells. In mice, the administration of angiotensin II promotes fibrosis of the aortic valve<sup>91</sup>. Moreover, in a rabbit model of hypercholesterolaemia, the administration of olmesartan, which is an angiotensin receptor blocker (ARB), prevents the thickening of the aortic valve that normally develops in these rabbits<sup>92</sup>. Retrospective non-randomized studies have reported that administration of ARBs, but not ACE inhibitors, is associated with less fibro-calcific remodelling of aortic valve leaflets and slower progression of valve stenosis<sup>93,94</sup>. Therefore, it is possible that a substantial amount of angiotensin II is produced by chymase in the aortic valve, the effect of which is blocked downstream by ARBs but not by ACE inhibitors.

### Mineralization

**Osteogenic differentiation.** The endothelium that covers the healthy aortic valve expresses several anti-osteogenic genes in a spatially distributed manner<sup>95</sup>. The endothelium that covers the aortic side of leaflets shows less expression of anti-osteogenic genes compared with the endothelium on the ventricular side. For instance, aortic-side endothelium expresses lower levels of chordin and OPG, which are negative regulators of BMP2, BMP4 and RANKL. A potential explanation for this difference in expression could be shear stress. Oscillatory shear stress has been shown to modulate the expression of ~1,000 genes and ~30 microRNAs (miRNAs) in human primary cultures of aortic valve endothelial cells<sup>96</sup>. For instance, the expression of miRNA-187, which promotes cell growth and proliferation, was increased when these cultures were exposed to oscillatory shear. Endothelial cells covering the fibrosa (facing the aorta) are exposed to low oscillatory shear stress compared with cells facing the left ventricle. Although the functional relevance of these findings remains to be fully investigated, shear stress might at least partly explain why the fibro-calcific process predominantly occurs in the fibrosa layer.

In human stenotic aortic valves, several osteogenic genes are overexpressed<sup>72</sup>, whereas others show altered function that can affect their role in signalling pathways. For instance, Garg and colleagues<sup>97</sup> showed that mutations in *NOTCH1* were associated with bicuspid aortic valves, which are prone to developing calcific AS<sup>97</sup>. Notch family of receptors are involved in cell fate

determination. The activation of NOTCH1 in VICs leads to the formation of the Notch intracellular domain (NICD) fragment, which associates with the recombining binding protein suppressor of hairless (RBPJ) in the nucleus, where it promotes the expression of the hairy repressors. The hairy repressors prevent the expression of the osteogenic factors BMP2 and runt-related



**Figure 4 | Pathogenesis of calcific AS.** Endothelial damage allows infiltration of lipids, specifically low-density lipoprotein (LDL) and lipoprotein(a) (Lp(a)) into the fibrosa and triggers the recruitment of inflammatory cells into the aortic valve. Endothelial injury can be triggered by several factors including lipid-derived species, cytokines, mechanical stress and radiation injury. The production of reactive oxygen species (ROS) is promoted by the uncoupling of nitric oxide synthase (NOS), which increases the oxidation of lipids and further intensifies the secretion of cytokines. Enzymes transported in the aortic valve by lipoproteins (that is, LDL and Lp(a)) such as lipoprotein-associated phospholipase A2 (Lp-PLA<sub>2</sub>) and ectonucleotide pyrophosphatase/phosphodiesterase 2 (ENPP2; also known as autotaxin (ATX)) produce lysophospholipid derivatives. ATX, which is also secreted by valve interstitial cells (VICs), transforms lysophosphatidylcholine (lysoPC) into lysophosphatidic acid (lysoPA). Several factors including lysoPA, the receptor activator of nuclear factor- $\kappa$ B ligand (RANKL; also known as TNFSF11) and WNT3a promote the osteogenic transition of VICs. Arachidonic acid (AA) generated by cytosolic PLA<sub>2</sub> promotes the production of eicosanoids (for example, prostaglandins and leukotrienes) through prostaglandin G/H synthase 2 (PTGS2; also known as COX2) and 5-lipoxygenase (5-LO) pathways, respectively. In turn, eicosanoids promote inflammation and mineralization. Chymase and angiotensin-converting

enzyme (ACE) promote the production of angiotensin II, which increases the synthesis and secretion of collagen by VICs. Owing to increased production of matrix metalloproteinases (MMPs) and decreased synthesis of tissue inhibitors of metalloproteinases (TIMPs), disorganized fibrous tissue accumulates within the aortic valve. Microcalcification begins early in the disease, driven by microvesicles secreted by VICs and macrophages. In addition, overexpression of ectonucleotidases (ENPP1, 5'-nucleotidase ecto (NT5E) and alkaline phosphatase (ALP)) promotes both apoptosis and osteogenic-mediated mineralization. Bone morphogenetic protein 2 (BMP2) leads to osteogenic transdifferentiation, which is associated with the expression of bone-related transcription factors (for example, runt-related transcription factor 2 (RUNX2) and homeobox protein MSX2). Osteoblast-like cells subsequently coordinate calcification of the aortic valve as part of a highly regulated process analogous to skeletal bone formation. Deposition of mineralized matrix is accompanied by fibrosis and neovascularization, which is abetted by vascular endothelial growth factor (VEGF). In turn, neovascularization increases the recruitment of inflammatory cells and bone marrow-derived osteoprogenitor cells. A<sub>2A</sub>R, adenosine A<sub>2A</sub> receptor; sPLA<sub>2</sub>, secreted PLA<sub>2</sub>; LPAR, lysophosphatidic acid receptor; Ox-PL, oxidized phospholipid; Ox-LDL, oxidized LDL; TGF $\beta$ , transforming growth factor- $\beta$ ; TNF, tumour necrosis factor.

transcription factor 2 (RUNX2) in VICs<sup>98</sup>, suggesting that VICs are driven towards an osteogenic differentiation pathway in calcific AS. To this effect, heterozygous *Notch1*<sup>+/-</sup> and *Rbpj*<sup>+/-</sup> mice develop mineralization of the aortic valve<sup>99</sup>. In addition, the NICD interferes in the nucleus with  $\beta$ -catenin (also known as catenin  $\beta$ 1), a downstream effector of the WNT pathway, which is also a key driver of osteogenic differentiation<sup>100</sup>. A recent study in endothelial cells showed that NOTCH1 regulates the expression of more than a 1,000 genes involved in inflammation and osteogenesis by altering the epigenetic signature at enhancer regions<sup>101</sup>. Moreover, in human stenotic aortic valves, WNT3a, an agonist of the WNT pathway, is overexpressed<sup>102</sup>. The activation of a co-receptor formed by LDLR-related protein 5 and G protein-coupled Frizzled receptors, which are expressed by VICs, leads to the stabilization of  $\beta$ -catenin and to osteogenic differentiation<sup>102</sup> (FIG. 4). In vascular cells, BMP2 promotes the expression of MSX2, a positive regulator of the WNT pathway<sup>103</sup>. Several factors, including inflammatory cytokines and oxidized lipid derivatives, have been shown to induce the expression of BMP2 in different cell types, including VICs<sup>104</sup>.

Recent studies have also highlighted that the expression of several miRNAs is dysregulated in AS and this might affect the osteogenic programming of VICs. In this regard, miRNA-30b, which is decreased in mineralized aortic valves, is a negative regulator of RUNX2 (REF. 105). Hence, a dysfunction of Notch and WNT pathways as well as a dysregulation of miRNAs contribute to increased pro-osteogenic signals in VICs.

**Mineral deposition.** Osteogenic reprogramming of VICs brings about a series of events that promote the deposition of a calcified matrix. The mechanism (or mechanisms) by which VICs mineralizes the extracellular matrix is still poorly defined but recent observational and experimental work suggests that cells secrete small vesicles rich in ectonucleotidases that promote the nucleation of calcium and phosphorus<sup>106,107</sup>. A build-up of phosphate in calcifying vesicles, which also contain the annexin V-S100A9 complex that binds calcium, promotes the nucleation of minerals<sup>108</sup>. Secretion of calcifying vesicles has classically been attributed to cells that transdifferentiate into osteoblast-like cells, in which case calcification proceeds with the deposition of well-organized bone-like mineral matrix (known as hydroxyapatite of calcium)<sup>109</sup>. However, programmed cell death leads to the production of apoptotic bodies with similar properties to calcifying vesicles. Apoptosis of VICs is promoted by different stimuli including cytokines, ROS and altered purinergic signalling. Apoptotic bodies function as nidi for dystrophic calcification, a form of mineralization that consists of amorphous deposits of calcium and phosphorus crystals. In human aortic valves, it is likely that both osteogenic and apoptotic processes contribute to the mineralization process, and at least partly rely on ectonucleotidases<sup>110</sup>. In support of this involvement, several ectonucleotidases, such as ALP, ENPP1 and 5'-nucleotidase (5'-NT; also known as CD73), are overexpressed in human stenotic

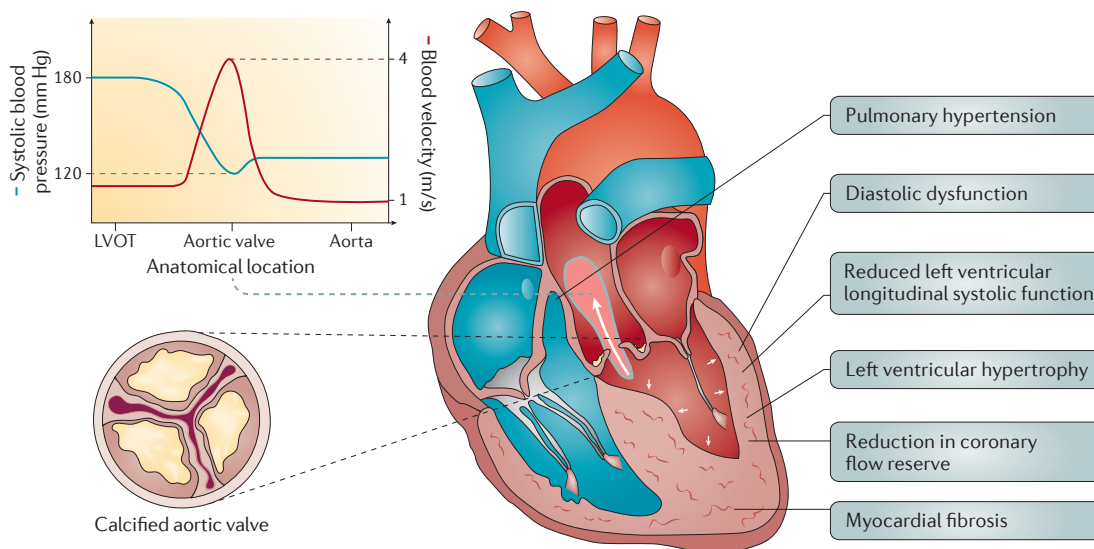
aortic valves<sup>110-112</sup> (FIG. 4). These membrane-bound enzymes use nucleotides and nucleosides secreted by cells as substrates and produce phosphate-derived products that promote mineralization<sup>112</sup>. For instance, ENPP1 hydrolyses ATP into AMP and pyrophosphate, which is a strong inhibitor of mineralization. Conversely, ALP has a broad range of substrates, including the mineralization inhibitor pyrophosphate from which it produces phosphate with strong pro-mineralizing activity. Moreover, the overactivity of ENPP1 and 5'-NT in human stenotic aortic valves depletes extracellular ATP and produces adenosine with osteogenic activity<sup>111</sup>. A decrease in the level of extracellular ATP also diminishes purinergic signalling through the P2Y purinoceptor 2 (P2Y2). In VICs, P2Y2 prevents the mineralization of cells by interfering with apoptosis and also by promoting the activation of carbonic anhydrase 12 (CA12)<sup>110,113</sup>. CA12 in VICs is normally expressed at the cell membrane following activation of P2Y2 and promotes the acidification of the extracellular space leading to resorption of mineral deposits<sup>113</sup>. As such, purinergic signalling, which is under the control of ectonucleotidases, plays a central part in controlling the mineralization of the aortic valve.

In summary, studies carried out in the past several years have shown that oxidation and infiltration of the aortic valve by lipids generate several bioactive lipid species that trigger inflammation of the aortic valve. The activation of several pathways with multiple points of crosstalk disrupts the normal biology of the aortic valve and promotes fibro-calcific remodelling.

#### **Pathophysiology of left ventricular dysfunction**

The symptoms in AS are essentially due to an imbalance between the increase in left ventricular haemodynamic load caused by valvular obstruction, on the one hand, and the capacity of the left ventricle to overcome this increase in load both at rest and during exercise, on the other hand. AS results in increased left ventricular systolic pressure that leads to hypertrophy of the cardiomyocytes and interstitial fibrosis (FIG. 5). The mechanical signal generated by increased left ventricular systolic pressure initiates a cascade of biological events, including re-expression of immature fetal genes, which leads to coordinated cardiac growth in patients with AS<sup>114</sup>. This increase in cardiac mass is due to the hypertrophy of existing myocytes rather than to hyperplasia, because cardiomyocytes become terminally differentiated soon after birth. The concurrent addition of sarcomeres (force-generating units) causes an increase in myocyte width, which in turn increases wall thickness and therefore contributes to normalization of left ventricular wall stress and maintenance of left ventricular ejection performance despite elevated systolic pressure. To support the increased biomechanical load, the myocyte growth must be accompanied by coordinated increases in the surrounding architecture of connective tissue as well as the capillary and nerve networks<sup>114</sup>. This 'reactive' interstitial fibrosis that results from the increase in collagen synthesis by myofibroblasts in response to pressure overload has a diffuse distribution within the interstitium and might be, at least partly, reversible following AVR<sup>115</sup>.





**Figure 5 | Maladaptive remodelling and impaired function of the left ventricle in response to pressure overload from AS.** The narrowing of the aortic valve orifice causes an acceleration of the blood flow velocity with a concomitant decrease in systolic blood pressure between the left ventricular outflow tract (LVOT) and the aorta. The increased left ventricular pressure imposed by AS results in left ventricular hypertrophy (augmentation of the left ventricular myocardial mass), reduced coronary flow reserve, myocardial fibrosis, diastolic dysfunction and decreased longitudinal systolic shortening, although the ejection fraction remains normal in most patients. Left atrial enlargement is common owing to elevated left ventricular filling pressures, which often lead to secondary pulmonary hypertension and right ventricular dysfunction in the more advanced stages of the disease.

The pattern of the left ventricular adaptive response to pressure overload in AS is highly heterogeneous and includes concentric remodelling, concentric hypertrophy and eccentric hypertrophy (FIG. 6). The pattern and magnitude of left ventricular hypertrophic remodelling is influenced not only by AS severity but also by several other factors, including age, sex, genetic factors, metabolic factors and the coexistence of coronary artery disease or hypertension<sup>116–119</sup>. Among individuals with the same degree of AS, women tend to develop concentric remodelling or concentric hypertrophy most often, whereas men are more prone than women to developing eccentric hypertrophy<sup>116</sup>. In patients with calcific AS, left ventricular concentric remodelling or hypertrophy has been linked to worse myocardial function and increased risk of cardiac events and mortality compared with patients with normal left ventricular geometry or with left ventricular eccentric hypertrophy<sup>120–122</sup>. Obesity, metabolic syndrome and diabetes also predispose an individual to the development of more concentric hypertrophy in the presence of AS<sup>117,118</sup>.

The left ventricular hypertrophy that leads to a reduced density of coronary arteriolar vessels, and the increased left ventricular transmural pressures that lead to increased coronary vascular resistance, result in the reduction of coronary flow reserve in patients with AS<sup>123,124</sup>. The reduction of coronary flow reserve limits the ability of the coronary circulation to increase flow to match myocardial oxygen demand, especially during exercise, and it is therefore a key factor in the development of myocardial ischaemia and the occurrence of symptoms. Repetitive myocardial ischaemia related to the exhaustion of coronary flow reserve leads

to apoptosis of myocytes and to the development of ‘replacement’ myocardial fibrosis. This type of fibrosis occurs predominantly in the subendocardial and mid-wall layers of the left ventricle wall and is generally not reversible following relief of left ventricular pressure overload by AVR. The impairment of coronary flow reserve might also explain why patients with severe AS can present with angina symptoms despite having angiographically normal coronary arteries, and why these symptoms might regress immediately after AVR<sup>125</sup>.

Left ventricular diastolic dysfunction occurs early in the disease course and worsens with progression of stenosis severity and myocardial fibrosis (FIG. 5). In the more advanced stages of the disease, the increased left ventricular filling pressures lead to secondary pulmonary hypertension and dyspnoea symptoms<sup>126,127</sup>. The global left ventricular systolic function, which is measured using the left ventricular ejection fraction (LVEF), and cardiac output are generally well preserved even in the presence of severe AS, because the increase in left ventricular wall thickness allows wall stress to remain relatively normal. Reduced LVEF or cardiac output occurs only in end-stage disease and is usually preceded by clinical symptoms. However, a large proportion of patients with preserved LVEF have subtle left ventricular systolic dysfunction that is characterized by impaired left ventricular longitudinal function with relatively well preserved radial and circumferential function (BOX 1). The left ventricular myocardial wall is composed of three layers from the inside to the outside of the left ventricle: the subendocardial layer that surrounds the left ventricular cavity, the mid-wall layer and the subepicardial layer. In pressure overload cardiomyopathies, there is an early

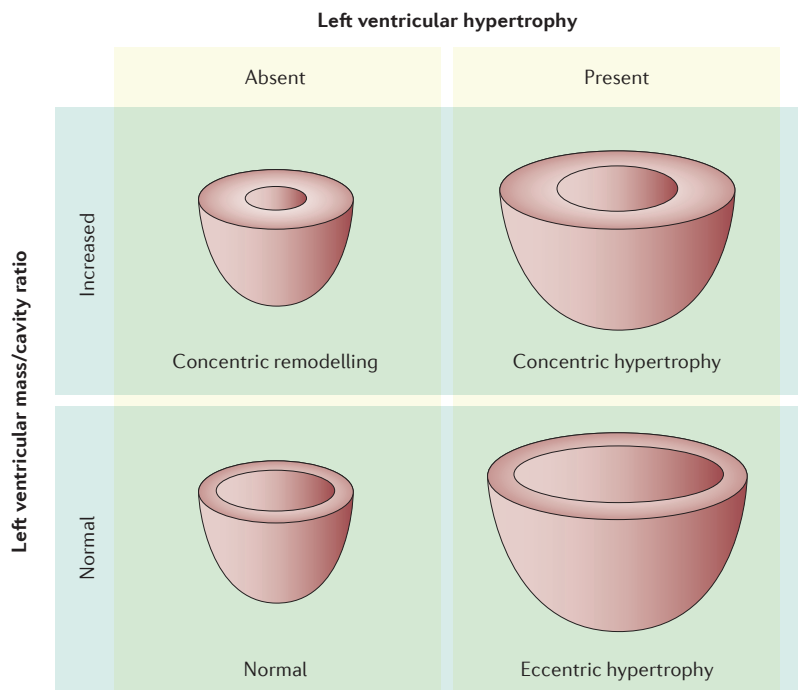


Figure 6 | **Patterns of left ventricular remodelling.** Four left ventricular remodelling patterns can be defined according to the left ventricular mass and the ratio of the left ventricular mass to the left ventricular cavity size: for normal pattern both left ventricular mass and mass/cavity ratio are normal; for concentric remodelling the left ventricular mass is normal but the mass/cavity ratio is increased (thick left ventricular walls with small cavity); for concentric hypertrophy both left ventricular mass and mass/cavity ratio are increased; and for eccentric remodelling left ventricular mass is increased but the mass/cavity ratio is normal (thickness of left ventricular walls is normal or slightly increased and the left ventricular cavity is enlarged). Figure is reproduced from REF. 267, Nature Publishing Group.

and selective alteration of the shortening of myocardial fibres within the subendocardial layer in which ischaemia and fibrosis are generally more pronounced<sup>128–130</sup> (FIG. 5). The fibres in this layer are oriented longitudinally (compared with circumferentially in the mid-wall layer), which explains the selective alteration of the left ventricular longitudinal function in these patients. Hence, a considerable proportion of patients with AS may have subclinical left ventricular systolic dysfunction despite preserved LVEF and the absence of symptoms.

### Diagnosis, screening and prevention

#### Risk factors and prevention

Although some clinical and genetic risk factors have been associated with the onset and progression of calcific AS, no strategy has so far been proved to be efficient for primary or secondary prevention of this disease. Calcific AS shares several risk factors with coronary artery disease but it also presents some important distinctive features.

**Clinical risk factors.** Congenital leaflet abnormality and older age are both powerful risk factors for developing calcific AS. For instance, the lifetime risk of AVR is approximately 50% in individuals with a bicuspid valve. Bicuspid aortic valves have two functional

leaflets often of unequal size. This abnormality results from incomplete separation of commissures during embryonic development<sup>8</sup>. Although leaflet orientation varies among patients, the most common form consists of a fusion of the right and left coronary leaflets (in ~60% of patients), followed by fusion between the right and the non-coronary leaflets (in ~35% of patients), and fusion between left and non-coronary cusp (in ~5% of patients)<sup>131</sup> (FIG. 2). A bicuspid aortic valve is associated with an increased risk of aortopathy, in which genetic, haemodynamic and mechanical factors might participate in the mineralization of the aortic valve<sup>132</sup>. In individuals with a bicuspid valve and in those with a tricuspid valve, age is a powerful risk factor for AS<sup>9,133</sup>. The other clinical risk factors associated with AS are similar to those associated with atherosclerosis and include male sex, smoking, hypertension, hypercholesterolaemia, obesity, metabolic syndrome, diabetes and elevated Lp(a)<sup>9,48,134–136</sup>.

In patients with AS, the rate of stenosis progression over time varies substantially from one patient to another. The clinical factors associated with faster stenosis progression include older age, severity of the stenosis and the degree of aortic valve calcification at diagnosis, smoking, hypertension, obesity, metabolic syndrome, secondary hyperparathyroidism, renal failure, elevated circulating levels of Lp(a) and increased activity of Lp-PLA<sub>2</sub> (REFS 51, 59, 94, 137–142). In particular, the presence of elevated plasma Lp(a) (>50 mg per dl; the upper normal limit is 30 mg per dl) is associated with a twofold faster stenosis progression<sup>51</sup>.

In addition, hypertension, and particularly systolic hypertension, is highly prevalent in these patients, affecting 30–70% of those with AS<sup>94,143,144</sup>. Recent studies suggest that hypertension accelerates the progression of AS, potentially owing to increased mechanical stress on the valve leaflets and activation of the renin–angiotensin system (as discussed above)<sup>94</sup>. Moreover, hypertension further increases the left ventricular afterload (BOX 1) that is already elevated in patients with AS and contributes to the risk of developing symptoms and adverse cardiac events<sup>94,144</sup>.

**Genetic risk factors.** Several studies suggest that a genetic component is involved in promoting calcific AS associated with bicuspid or tricuspid aortic valves<sup>6,17,48,145</sup>. However, despite the evidence of a strong inheritance pattern for some cases of bicuspid aortic valve with an incomplete penetrance, the genetic architecture of calcific AS is still poorly understood<sup>145</sup>. So far, variants of *NOTCH1* and GATA-binding protein 5 (*GATA5*) have been associated with bicuspid aortic valves in humans<sup>97,146,147</sup>. *NOTCH1* mutations explain approximately 4% of sporadic cases of AS that occur in the context of a bicuspid aortic valve<sup>148,149</sup>. As discussed above, some mutations in *NOTCH1* that affect its function might promote aortic valve mineralization. Therefore, it is possible that gene variants that predispose individuals to developing a bicuspid aortic valve also promote valve mineralization later in life, thus further exacerbating the risk of developing calcific AS. A recent genome-wide association study found that variants located in *RUNX2*

and calcium channel voltage-dependent L-type alpha 1C subunit (*CACNA1C*), which encode an osteogenic transcription factor and a voltage-dependent calcium channel subunit, respectively, were associated with calcific AS and were found to upregulate their respective mRNA levels<sup>150</sup>. Also, studies using a candidate gene approach have linked several gene variants with calcific AS. Although variants of vitamin D receptor (*VDR*),

*APOE*, *APOB*, *IL10*, *NOTCH1* and *ENPP1* have been found to be significantly associated with AS, these studies suffer from small sample size and require replication in larger series<sup>6</sup>.

A large study using a Mendelian randomization design identified the single-nucleotide polymorphism (SNP) rs10455872 in the *LPA* gene as the only genome-wide significant SNP associated with the presence of aortic valve calcification and clinical calcific AS<sup>48</sup>. Subsequent studies have validated these findings and have also reported an association between elevated Lp(a) plasma levels and the prevalence of calcific AS, and the need for AVR in the general population<sup>49–51</sup>. The presence of the rs10455872 allele is associated with a 1.5–2.0-fold increase in the risk of incident calcific AS<sup>48–50</sup>. When considered in light of the clinical and basic research findings on Lp(a) discussed above, lowering of Lp(a) seems to be a promising novel target for the treatment of this disease, particularly to prevent disease progression. However, further studies are needed to evaluate the role of Lp(a) in AS in more detail.

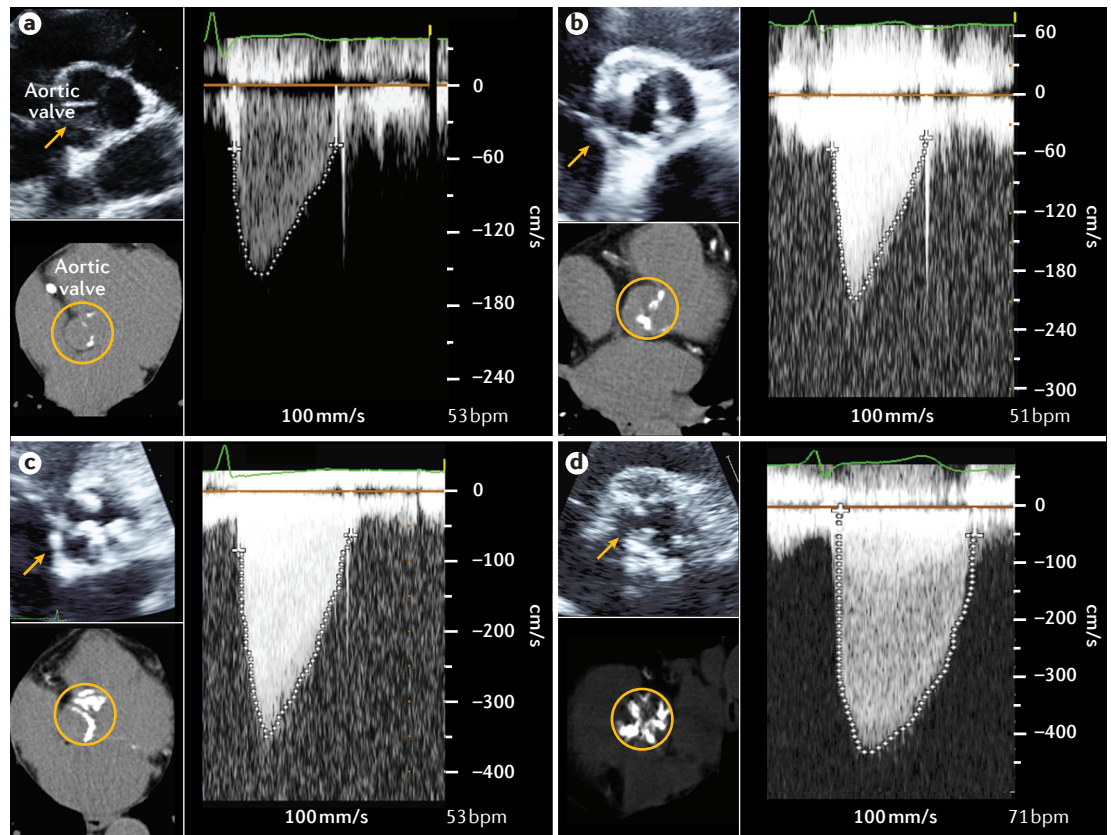
A second study using a Mendelian randomization design reported a strong association between genetic predisposition to elevated LDL cholesterol, as measured by weighted genetic risk scores, and the presence of aortic valve calcification and incident cases of calcific AS<sup>151</sup>. However, three randomized clinical trials failed to show any significant benefit of lowering LDL using statins on the progression of AS<sup>152–154</sup>. Therefore, it is possible that elevated LDL cholesterol promotes the initiation of calcific aortic valve disease but has minimal or no effect on AS progression. Moreover, the protective effect of statin therapy in AS might be counterbalanced by its off-target effects, including pro-osteogenic properties, worsening of insulin resistance and increased Lp(a) levels<sup>51,141</sup>. Whether other lipid-lowering strategies (for instance, proprotein convertase subtilisin/kexin-type 9 (PCSK9) inhibitors) would prevent or slow AS progression is unknown and this question needs to be addressed. In summary, no pharmacotherapy has proved to be effective in reducing the progression of AS.

### Diagnosis

Diagnosis of AS is generally established using an echocardiographic examination, which provides a wealth of information regarding heart valve anatomy and blood flow parameters<sup>155</sup> (FIG. 7). The same techniques can be used for the diagnosis of calcific AS and rheumatic AS. In the vast majority of patients, referral to echocardiography is motivated by the auscultation of a systolic murmur and/or the development of symptoms including dyspnoea, angina, syncope and dizziness. In some cases, AS is first recognized on echocardiography requested for other indications. Although most patients are diagnosed long before the onset of symptoms and are followed prospectively on a regular basis until AVR is indicated, a small proportion (5–10%) of patients are not diagnosed with AS until late in the disease course when they present with symptoms of heart failure<sup>156</sup>. The identification of the presence and stage of AS includes the assessment of the aortic valve

#### Box 1 | Key measurements and tools used for AS assessment

- Aortic valve area (AVA): surface of the aortic valve orifice. It can be measured by Doppler echocardiography, left heart catheterization or cardiac magnetic resonance.
- Aortic valve calcium density: aortic valve calcium score measured by CT divided by the cross-sectional area of the aortic annulus measured by echocardiography or CT. It is expressed in Agatston units per cm<sup>2</sup>.
- Carotid upstroke: the pulse pressure of the carotid artery that can be assessed at the level of the neck is characterized by a smooth, fairly rapid upstroke and a smooth, more gradual downstroke. In patients with severe aortic stenosis, the carotid upstroke is delayed.
- Circumferential function: circumferential contraction of the left ventricular wall that is mainly driven by the myocytes located in the mid portion of the left ventricular wall.
- Class of recommendation for the procedure (aortic valve replacement in the case of aortic stenosis (AS)): for class I the benefit of the procedure mainly outweighs the risk and the procedure should be carried out; for class IIa it is reasonable to carry out the procedure; for class IIb the procedure may be considered; and for class III the procedure is not recommended because it is not useful and may be harmful.
- Coronary flow reserve: the ratio of maximum blood flow through the coronary arteries compared with the normal resting flow. The coronary flow reserve can be measured by cardiac catheterization, Doppler echocardiography or positron emission tomography. The normal coronary flow reserve ratio is 3–4. In patients with AS, the coronary flow reserve is reduced. When the ratio is 1, the coronary flow reserve is exhausted.
- Dobutamine stress echocardiography: echocardiography carried out during intravenous infusion of dobutamine, which increases cardiac contractility and flow across the aortic valve.
- Mean transvalvular gradient (mean gradient): average value of the pressure loss (or gradient) across the aortic valve. This corresponds to the difference between the pressure in the left ventricular cavity versus that in the aorta. The mean gradient can be measured by Doppler echocardiography or by left heart catheterization.
- Left ventricular afterload: pressure in the wall of the left ventricle during ejection.
- Left ventricular ejection fraction (LVEF): measurement of how much blood is being pumped out of the left ventricle of the heart. It is calculated as the percentage decrease in the volume of the left ventricular cavity. It can be measured by echocardiography, angiography or cardiac magnetic resonance.
- Left ventricular longitudinal function: longitudinal (that is, long-axis direction) contraction of the left ventricular wall that is mainly driven by the myocytes located in the subendocardial layer of the left ventricular wall.
- Longitudinal strain: percentage shortening of the left ventricular wall in the longitudinal axis during systole. The longitudinal strain can be measured by speckle tracking echocardiography.
- Peak aortic jet velocity: peak value of the blood flow velocity across the aortic valve. The blood velocity is measured by continuous-wave Doppler.
- Radial function: longitudinal (that is, short-axis direction) contraction of the left ventricular wall that is mainly driven by the myocytes located in the mid-wall layer of the left ventricular wall.
- Stress AVA: AVA measured by Doppler echocardiography during dobutamine or exercise stress.
- Stress mean gradient: mean gradient measured by Doppler echocardiography during dobutamine or exercise stress.
- Stroke volume index: stroke volume (that is, volume of blood ejected by the heart during systole) indexed to (divided by) the patient's body surface area.
- Transvalvular velocity: blood flow velocity across the aortic valve.



**Figure 7 | Assessment of AS severity by Doppler echocardiography.** For each degree of disease severity, including aortic valve sclerosis (part **a**), mild aortic stenosis (AS) (part **b**), moderate AS (part **c**) and severe AS (part **d**), this figure shows a 2D echocardiographic short-axis view of the aortic valve (top left panel, indicated with arrows), the transvalvular velocity by continuous-wave Doppler (right panel) and the multidetector CT (MDCT) view of aortic valve calcification (bottom left panel). In the patient with aortic sclerosis (part **a**), there are some small isolated spots of calcification (appears white on the MDCT images, circled) in the aortic valve leaflets but there is no obstruction to blood flow (that is, no stenosis). The peak aortic jet velocity (1.47 m per s), mean gradient (5 mm Hg) and aortic valve area (AVA; 2.87 cm<sup>2</sup>) are normal. In the patient with mild AS (part **b**), there is mild aortic valve calcification with mild obstruction to blood flow. The peak aortic jet velocity is 2.08 m per s, the mean gradient is 9 mm Hg and the AVA is 1.62 cm<sup>2</sup>. In the patient with moderate AS (part **c**), there is more extensive aortic valve calcification with moderate obstruction of blood flow: the peak aortic jet velocity is 3.51 m per s, the mean gradient is 28 mm Hg and the AVA is 1.21 cm<sup>2</sup>. In the patient with severe AS (part **d**), there is severe aortic valve calcification and severe obstruction to blood flow: the peak aortic jet velocity is 4.35 m per s, the mean gradient is 48 mm Hg and the AVA is 0.75 cm<sup>2</sup>.

anatomy and morphology, the haemodynamic severity of AS, the response of the left ventricle to the pressure overload caused by AS and the patient's symptomatic status<sup>3,4</sup>. On the basis of these assessments, patients can be diagnosed with mild, moderate or severe AS, which can all occur in the presence or absence of symptoms (TABLE 1). Although Doppler echocardiography is the primary modality to assess the stage of AS, cardiac catheterization, which can measure cardiac blood pressure and flow, may be used to confirm the haemodynamic severity of the stenosis in patients with inconclusive or discordant echocardiography results<sup>157</sup>. However, this invasive technique is associated with increased risk of bleeding and cerebral embolism<sup>158</sup>, and should therefore only be considered in patients in whom the reclassification of the stenosis severity by catheterization would change the therapeutic management of the patient (such as AVR versus conservative management). For example, individuals who might benefit from catheterization

assessment include symptomatic patients for whom a diagnosis of moderate AS versus severe AS cannot be decided using echocardiography.

**Patients at risk for AS.** Individuals with aortic sclerosis and those with a bicuspid valve (irrespective of the presence or absence of sclerosis) are considered to be at risk of developing AS. The identification of a bicuspid valve is usually done by echocardiography but might require other imaging modalities such as cardiac magnetic resonance (CMR) or CT if the valve is calcified.

Aortic valve sclerosis is defined echocardiographically by focal areas of valve calcification and thickening with normal leaflet mobility and normal valvular haemodynamics (FIG. 7; TABLE 2). A systolic outflow murmur may be auscultated on physical examination. Although aortic sclerosis is clinically asymptomatic, its presence is independently associated with a 40% increase in the risk of a coronary event and a 50% increase in

the risk of cardiovascular death<sup>159</sup>. The mechanism of adverse outcomes with aortic sclerosis is not entirely clear but the presence of aortic valve mineralization might be a marker for atherosclerosis and/or for altered phospho-calcium metabolism<sup>22,160</sup>.

**Mild or moderate AS.** Patients with mild or moderate AS (FIG. 7; TABLES 1,2) are generally asymptomatic unless they have other comorbidities that contribute to the emergence of symptoms. Classic physical findings of AS are a harsh, crescendo-decrescendo systolic murmur, a single second heart sound and a delayed carotid upstroke (BOX 1). Using Doppler echocardiography, the haemodynamic severity of AS can be measured accurately and reliably on the basis of the peak aortic jet velocity, mean transvalvular pressure gradient (mean gradient) and aortic valve area (AVA). With the development of calcific AS, there is a progressive reduction in the AVA that causes an acceleration of the flow (that is, increase in peak aortic jet velocity) and a loss of pressure (that is, increase in mean gradient) across the valve (FIG. 6; TABLE 2). AS is suspected upon the visualization of a thickened aortic valve with a restricted opening, and confirmed by the presence of an increased peak aortic velocity or mean pressure gradient. Echocardiography is also useful to assess the effects of AS on the geometry and the function of cardiac chambers, particularly of the left ventricle (FIGS 5,6).

**Severe AS.** Patients with severe AS (typically, those who have a peak aortic jet velocity of  $\geq 4$  m per s, a mean gradient of  $\geq 40$  mm Hg and an AVA of  $\leq 1$  cm<sup>2</sup>; TABLES 1,2) may or may not have symptoms, and require a closer clinical and Doppler echocardiographic follow-up than those with mild or moderate forms of the disease<sup>3</sup>. Classic symptoms of severe AS include dyspnoea and other symptoms of heart failure, angina and syncope. Patients with severe AS who are apparently asymptomatic according to medical history and physical examination should undergo exercise testing to confirm their asymptomatic status. Indeed, about one-third of patients with severe AS who are a priori asymptomatic in fact have exercise-limiting symptoms detected at an exercise

stress test, and these patients should be referred for AVR<sup>161,162</sup>. In addition, a potential marker for risk in AS is a marked increase in mean gradient (absolute increase in gradient  $>18$ – $20$  mm Hg) during exercise stress echocardiography, which predicts higher risk of cardiac events in the short term, independent of symptoms<sup>161,162</sup>.

**Low-gradient AS.** The majority of patients with severe AS have a high peak aortic jet velocity and gradient (mean gradient  $\geq 40$  mm Hg). However, a substantial proportion of patients may have a low peak aortic jet velocity and mean gradient despite the presence of a small AVA ( $<1.0$  cm<sup>2</sup>). The most frequent cause of ‘low-gradient’ AS is the presence of a low-flow state. There are two main subtypes of low-flow, low-gradient AS (TABLES 1,2): ‘classical’ low-flow (stroke volume index  $<35$  ml per m<sup>2</sup>), low-gradient (mean gradient  $<40$  mm Hg) AS with reduced LVEF ( $<50\%$ )<sup>163</sup>; and ‘paradoxical’ low-flow (stroke volume index  $<35$  ml per m<sup>2</sup>), low-gradient (mean gradient  $<40$  mm Hg) AS with preserved LVEF ( $\geq 50\%$ )<sup>164</sup>.

In classical low-flow, low-gradient AS, the decrease in stroke volume, and thus in transvalvular flow rate (stroke volume divided by left ventricular ejection time), are predominantly related to left ventricular systolic dysfunction, whereas in paradoxical low-flow, low-gradient AS, the low-flow state is generally due to pronounced left ventricular concentric remodelling with impaired left ventricular diastolic filling and reduced left ventricular longitudinal systolic function<sup>156</sup>. Other conditions, such as mitral regurgitation, mitral stenosis or atrial fibrillation can also contribute to the reduced left ventricular outflow in both classical and paradoxical low-flow, low-gradient AS.

In the presence of low flow, it is therefore difficult — using resting Doppler echocardiography or catheterization — to differentiate truly severe stenosis from pseudo-severe stenosis; that is, a situation in which the stroke volume is not sufficient to completely open a valve that is only mildly or moderately stenotic. In such low-flow conditions, the gradient might underestimate the stenosis severity, whereas the AVA might overestimate the severity. Low-dose dobutamine stress echocardiography

Table 2 | Parameters and criteria for the assessment of aortic stenosis severity

Technique	Parameter	Aortic sclerosis	Mild AS	Moderate AS	Severe AS	Very severe AS	Low-gradient severe AS
Doppler echocardiography	$V_{Peak}$	$<2$ m per s	2–3 m per s	3–4 m per s	$\geq 4$ m per s	$\geq 5$ m per s	$<4$ m per s
	$\Delta P_{Mean}$	$<10$ mm Hg	10–19 mm Hg	20–39 mm Hg	$\geq 40$ mm Hg	$\geq 50$ mm Hg	$<40$ mm Hg
	$AVA = SV_{LVOT} / VTI_{Ao}$	$>2.0$ cm <sup>2</sup>	1.6–2.0 cm <sup>2</sup>	1.1–1.5 cm <sup>2</sup>	$\leq 1.0$ cm <sup>2</sup>	$\leq 0.6$ cm <sup>2</sup>	$\leq 1.0$ cm <sup>2</sup>
	$AVAi = AVA / BSA$	$>1.2$ cm <sup>2</sup> per m <sup>2</sup>	1.0–1.2 cm <sup>2</sup> per m <sup>2</sup>	0.7–0.9 cm <sup>2</sup> per m <sup>2</sup>	$\leq 0.6$ cm <sup>2</sup> per m <sup>2</sup>	$\leq 0.45$ cm <sup>2</sup> per m <sup>2</sup>	$\leq 0.6$ cm <sup>2</sup> per m <sup>2</sup>
Dobutamine stress echocardiography	Stress mean gradient	NA	NA	NA	NA	NA	$\geq 40$ mm Hg
	Stress AVA	NA	NA	NA	NA	NA	$\leq 1.0$ cm <sup>2</sup>
MDCT	Aortic valve calcification score	NA	NA	• Men $\geq 1,200$ AU • Women $\geq 700$ AU	• Men $\geq 2,000$ AU • Women $\geq 1,200$ AU	NA	• Men $\geq 2,000$ AU • Women $\geq 1,200$ AU

$\Delta P_{Mean}$ , mean transvalvular gradient; AVA, aortic valve area; AVAi, indexed AVA; BSA, body surface area; MDCT, multidetector CT; NA, not applicable or not available;  $SV_{LVOT}$ , stroke volume measured at the left ventricular outflow tract;  $V_{Peak}$ , peak aortic jet velocity;  $VTI_{Ao}$ , velocity–time integral of the transvalvular flow.

should be used for patients with classical (low LVEF) low-flow, low-gradient AS to confirm stenosis severity. Dobutamine is used to mimic the effect of exercise on the heart, thereby increasing cardiac blood flow. Patients with a mean gradient of  $\geq 40$  mm Hg (or a peak aortic jet velocity of  $\geq 4$  m per s) and an AVA of  $< 1.0$  cm<sup>2</sup> with dobutamine stress echocardiography are considered to have truly severe AS (TABLE 2). In patients who show persistent discordant grading (small AVA with a low mean gradient) during dobutamine stress echocardiography, it is useful to calculate the projected AVA at normal flow rate; a projected AVA of  $< 1.0$  cm<sup>2</sup> suggests that the patient has truly severe stenosis<sup>165,166</sup>. Patients who have no or minimal increase in stroke volume (increase of  $< 20\%$ ) upon dobutamine administration have a high risk of operative mortality with surgical AVR<sup>163,167</sup>. Low-dose dobutamine stress echocardiography or dobutamine stress cardiac catheterization may also be used in patients with paradoxical low-flow, low-gradient AS<sup>168</sup>. However, these approaches are often not feasible owing to the presence of restrictive left ventricular physiology or because their results are inconclusive owing to limited increases in flow in response to stress.

In patients with classical or paradoxical low-flow, low-gradient AS in whom dobutamine stress echocardiography is not feasible or inconclusive, multidetector CT (MDCT), which is a high-resolution form of CT, can be used to quantify aortic valve calcium load and thereby corroborate stenosis severity and indication of AVR (FIG. 7; TABLE 2). The region of the aortic valve is assessed in contiguous axial slices and the calcium score is measured by the Agatston-modified method, in which calcification is defined as 4 adjacent pixels with density  $> 130$  Hounsfield units on the MDCT images. Studies have shown that different cut-off values of aortic valve calcium score (AU) should be used in women ( $> 1,200$  AU) compared with men ( $> 2,000$  AU) to identify haemodynamically severe stenosis<sup>169,170</sup>. Furthermore, these studies suggest that aortic valve calcium density (the ratio of calcium load to cross-sectional area of the aortic annulus) might be superior to absolute calcium load in predicting haemodynamic severity and clinical outcomes. These studies also showed that different cut-off values should be used in women ( $> 300$  AU per cm<sup>2</sup>) compared with men ( $> 500$  AU per cm<sup>2</sup>)<sup>169,170</sup>. The aortic valve calcium load or density is also a powerful predictor of the risk of fast stenosis progression and of mortality<sup>170-172</sup>.

Finally, a substantial proportion of patients with AS have a small AVA and low mean gradient but a normal flow (stroke volume index  $> 35$  ml per m<sup>2</sup>). This category is often referred to as normal-flow, low-gradient AS and might be related to inherent discrepancies in the criteria used to define severe AS (in terms of AVA and mean gradient)<sup>173</sup> and/or to markedly reduced aortic compliance<sup>169</sup>. Patients with normal-flow, low-gradient AS generally have less advanced disease and better outcomes compared with patients who have high-gradient or low-flow, low-gradient AS<sup>174</sup>. However, if the patient is symptomatic, aortic valve calcium scoring using MDCT can be considered to confirm stenosis severity<sup>169</sup>.

### Emerging biomarkers

Other imaging or blood biomarkers of the severity of AS, and its deleterious effects on the left ventricle and other cardiac chambers, may also be useful to predict risk of rapid disease progression and adverse events. These biomarkers may be particularly helpful in identifying patients with asymptomatic severe AS who may benefit from early 'prophylactic' AVR.

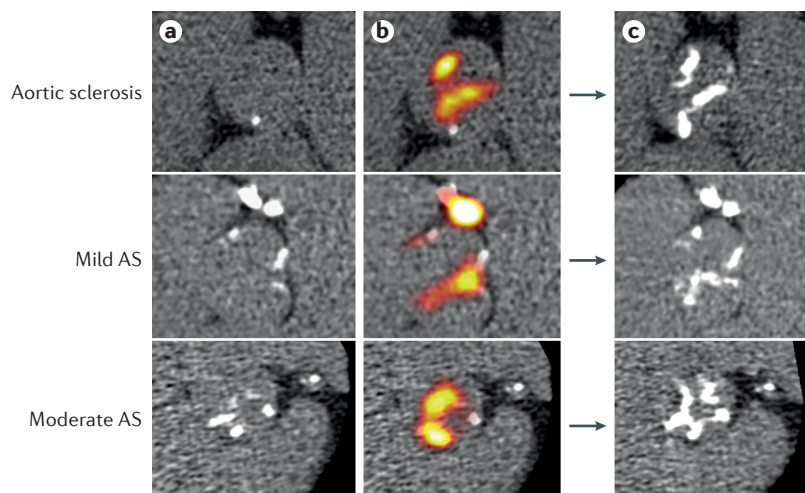
#### *Biomarkers of aortic valve biology and flow pattern.*

Positron emission tomography (PET) combined with MDCT (PET-MDCT) is a feasible and reproducible method that combines anatomical imaging from MDCT with molecular imaging from PET. The valvular uptake of <sup>18</sup>F-sodium fluoride (<sup>18</sup>F-NaF) measured by PET-MDCT is a marker of an active mineralization process within the valve<sup>175-177</sup> (FIG. 8). <sup>18</sup>F-NaF uptake correlates well with AS severity and it might provide incremental value beyond aortic valve calcium scoring to predict AS progression over time<sup>172</sup>. This method might also be useful in assessing the effect of new pharmacotherapies on AS progression. In addition, CMR might be useful to assess valve biology and flow. For instance, data from a previous study suggest that in the future CMR might be able to assess not only the amount of valvular calcification (as can be achieved with MDCT) but also the amount of fibrous-rich and lipid-rich valve tissue<sup>178</sup>. Moreover, CMR with four-dimensional flow modality might one day be used to visualize flow patterns in the aorta and therefore to identify patients with AS who are at risk of developing aortic aneurysm and aortic dissection (a breach in the lining of the aorta that causes blood to flow between the layers of the wall of the aorta, forcing layers apart)<sup>179,180</sup> (FIG. 9).

#### *Biomarkers of the effect of AS on the left ventricle.*

Detection of subclinical left ventricular dysfunction using biomarkers might prove useful in identifying patients who may need early therapeutic intervention. For example, reduced longitudinal strain is useful to identify subclinical left ventricular dysfunction and to predict risk of cardiac events in patients with asymptomatic AS and preserved LVEF<sup>181-186</sup>. However, further studies are needed to harmonize the different strain analysis platforms between vendors and to propose an optimal cut-off value of longitudinal strain that identifies patients at high risk of developing left ventricular dysfunction and symptoms in the short term.

Blood levels of B-type natriuretic peptide (BNP) might also be a useful marker of left ventricular function, as it is secreted from the left ventricle in response to mechanical stress. Although BNP can be used for risk stratification, there is an important inter-study variability in the cut-off serum values of BNP that have been used to identify high-risk patients. A 2014 study proposed the use of the BNP ratio (the measured value of BNP divided by the expected value of BNP, adjusted for the age and sex of the patient) to overcome this limitation. A BNP ratio of  $> 1$  was found to be a powerful independent predictor of mortality in AS, even in patients with asymptomatic AS<sup>187</sup>. Hence, the BNP ratio as well as its increase during follow-up might be helpful in enhancing risk stratification in AS.



**Figure 8 | Assessment of aortic valve mineralization activity by PET-CT.** Coaxial short axis views of the aortic valve from one patient with aortic sclerosis, one patient with mild aortic stenosis (AS) and one patient with moderate AS. **a** | Left panels show baseline multi-detector CT (MDCT) images of the aortic valve; regions of macrocalcification appear white. **b** | Middle panels show baseline fused MDCT and  $^{18}\text{F}$ -sodium fluoride ( $^{18}\text{F}$ -NaF) positron emission tomography (PET) images showing intense  $^{18}\text{F}$ -NaF uptake (red and yellow areas) both overlying and adjacent to existing calcium deposits on the MDCT. **c** | Right panels show 1-year follow-up (without intervention) MDCT images indicating increased calcium accumulation in much the same distribution as the baseline PET activity. Figure is reproduced with permission from REF. 172, Elsevier.

Besides longitudinal strain and BNP, the extent of myocardial fibrosis represents a maladaptive response of the left ventricle to pressure overload from AS. Previous studies<sup>188–191</sup> have reported that approximately 20–30% of patients undergoing AVR for severe AS have severe myocardial fibrosis documented by CMR or myocardial biopsies. Myocardial fibrosis is often not reversible (or only partially reversible) and is associated with increased risk of cardiovascular events and mortality during follow-up as well as persistence of left ventricular dysfunction and symptoms following AVR<sup>188–190,192,193</sup>. Therefore, the quantification of myocardial fibrosis by CMR (FIG. 10) could potentially be useful in recommending early AVR in patients with asymptomatic severe AS before extensive fibrosis and ensuing irreversible myocardial dysfunction have developed or to improve operative risk stratification and to assess potential utility versus futility of AVR in patients with low-flow, low-gradient AS. However, further studies are needed to improve the standardization of the different CMR methods for quantification of myocardial fibrosis and to establish the thresholds that should be used clinically to identify patients who are at risk for irreversible myocardial dysfunction. The large-scale use of CMR in the AS population is also limited by its high cost and low availability.

Emerging blood biomarkers, such as high-sensitivity cardiac troponin<sup>194,195</sup>, growth/differentiation factor 15 (GDF15), soluble IL-1 receptor-like 1 (IL-1RL1; also known as ST2) and miRNAs<sup>196–198</sup>, might be helpful to detect subclinical and/or irreversible myocardial dysfunction, but their incremental value beyond established clinical, echocardiographic, tomographic and blood biomarkers remains to be shown.

The main limitation of all aforementioned imaging and blood biomarkers of left ventricular function is that they are non-specific and may be altered by other concomitant diseases, such as hypertension, diabetes mellitus and coronary artery disease. Therefore, these biomarkers should always be interpreted in conjunction with the standard parameters of stenosis severity. Finally, further studies are needed to establish the incremental role of these emerging blood or imaging biomarkers to identify the patients who might benefit from earlier intervention.

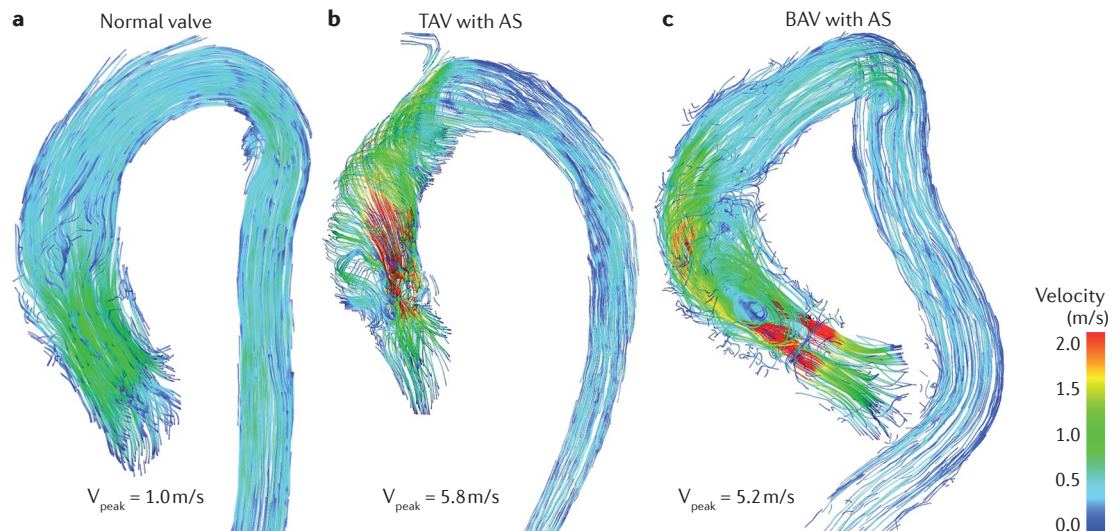
### Conclusions

In summary, the two main risk factors for calcific AS are older age and bicuspid aortic valve. Other risk factors include metabolic syndrome, diabetes, hypertension, smoking and increased plasma Lp(a). There is currently no preventive or pharmaco-therapeutic approach that has proved effective to prevent the onset or to slow the progression of calcific AS. The initial screening for this disease is generally based on the auscultation of a systolic murmur by the primary care physician or general cardiologist. Doppler echocardiography is the method of choice to diagnose AS and to assess its severity as well as to follow disease progression over time. Quantification of aortic valve calcium load by MDCT may be useful to corroborate stenosis severity in patients in whom echocardiography is neither feasible nor conclusive, which is often the case in the setting of low-flow, low-gradient AS. Measurement of circulating BNP levels, assessment of global longitudinal strain by speckle tracking and detection of myocardial fibrosis by CMR are emerging biomarkers that might improve the detection of subclinical left ventricular dysfunction and thus the determination of the optimal timing for AVR.

### Management

The only treatment available to patients with symptomatic severe AS is to implant a prosthetic heart valve either surgically or percutaneously (through a catheter). The therapeutic management is similar for calcific and rheumatic AS. As discussed above, there is no pharmacotherapy that specifically targets AS to prevent progressive leaflet calcification or to delay the time to valve replacement<sup>3,199</sup>. Although there was hope that statins would fill that void, several randomized trials showed no effect of statins on haemodynamic progression or AS-related clinical events<sup>152–154</sup>. However, the combination of simvastatin (a drug that lowers plasma LDL cholesterol levels) and ezetimibe (a drug that decreases cholesterol absorption in the small intestine) did reduce ischaemic cardiovascular events in patients with mild to moderate AS<sup>153</sup>. Therefore, as valve stenosis progresses into the moderate to severe range, greater vigilance is required in terms of assessment for symptoms associated with significant AS, to decide when to carry out AVR.

Management decisions regarding AVR are often straightforward (FIG. 11). However, in the current era of transcatheter AVR (TAVR), there are more options to consider when intervention is contemplated than



**Figure 9 | Assessment of flow patterns in the aorta by 4D flow cardiac magnetic resonance according to aortic valve phenotype.** **a** | A normal valve systolic flow in a healthy control. **b** | A tricuspid aortic valve (TAV) with severe aortic stenosis (AS) and altered systolic flow with helical patterns in the ascending aorta. **c** | A bicuspid aortic valve (BAV) with right–left cusp fusion and severe AS. Altered blood flow with asymmetrical helical flow patterns are observed in the proximity of the aortic valve.  $V_{\text{peak}}$ , peak aortic jet velocity. Image courtesy of J. Garcia, A. Barker and M. Markl, Northwestern University, Chicago, Illinois, USA.

in previous decades (FIGS 12,13). In addition, older (>80 years of age) and sicker patients who were not previously candidates for definitive therapy are being treated<sup>200,201</sup>. Increasingly, clinicians must integrate complex information about the severity of AS, ambiguous symptoms, left ventricular remodelling and function, comorbidities, frailty and disabilities to make decisions on whether, when and how to carry out AVR<sup>3,199,202</sup>. This complex information ought to be discussed and debated by a heart valve team — a multidisciplinary group of cardiac surgeons, interventionalists, cardiac imaging experts, and often nurses, geriatricians and anesthesiologists<sup>203–205</sup>. In addition, it is important for management decisions to centre on patients and not to be myopically focused on AS severity alone<sup>3</sup>. First, it should be decided whether valve replacement is indicated. Next, consideration can be given to how the valve should be replaced (surgical versus transcatheter) (FIGS 12,13; TABLE 3). Finally, at any stage of AS, associated medical conditions such as atrial fibrillation, coronary disease, hypertension and heart failure should be treated according to guideline recommendations<sup>3,4,199</sup>.

#### Indications for aortic valve replacement

**Symptomatic severe AS.** Severe high-gradient AS accompanied by symptoms related to AS is the most common and straightforward indication for AVR, and those with severe AS who present with symptoms and/or left ventricular systolic dysfunction (defined as a LVEF of <50%) have a firm (class I; BOX 1) indication for AVR<sup>3,4</sup> (FIG. 11; TABLE 1). Low-flow, low-gradient AS presents somewhat of a challenge, as the combination of a small AVA with a low gradient raises uncertainty about the severity of the stenosis and thus the indication of AVR. Symptomatic patients with classical low-flow, low-gradient and reduced LVEF (<50%) are reasonable

candidates for AVR (class IIa indication; BOX 1) provided that there is anatomic evidence (MDCT calcium score) or haemodynamic evidence (peak aortic jet velocity of  $\geq 4$  m per s or mean gradient of  $\geq 40$  mm Hg with dobutamine stress echocardiography) that the AS is truly severe<sup>3,4,170</sup>. AVR may be considered in patients with classical low-flow, low-gradient AS who have no flow reserve with dobutamine stress echocardiography, but the operative risk is higher<sup>4,163,167,206</sup>. It is also reasonable to carry out AVR in symptomatic patients with paradoxical low-flow, low-gradient and preserved LVEF ( $\geq 50\%$ ; class IIa indication) provided there is clinical, haemodynamic and anatomical evidence that the obstruction is severe and is the most likely cause of symptoms<sup>3,4,168</sup>. Although there has been some debate about the outcome and the therapeutic management of patients with paradoxical low-flow, low-gradient AS, a recent meta-analysis confirms that these patients have worse outcomes than those with moderate or high-gradient severe AS, and that their survival is markedly improved by AVR<sup>174</sup>.

**Asymptomatic severe AS.** Patients with severe AS who are asymptomatic by history but who have a reduced LVEF (<50%; TABLE 1), or who are undergoing another cardiac surgical procedure, should have their valve replaced (class I indication)<sup>3,4</sup> (FIG. 11). It is also reasonable to carry out AVR (class IIa indication) in asymptomatic patients with severe AS and decreased exercise tolerance, or who show a drop in blood pressure with exercise, and in those at low surgical risk with very severe AS (peak aortic jet velocity  $> 5$  m per s or 5.5 m per s, depending on the guidelines), or in those who have findings suggestive of rapid progression (severe valve calcification or increase in peak aortic jet velocity of  $\geq 0.3$  m per s per year)<sup>3,4</sup>.



### Surgical aortic valve replacement

The first successful surgical AVR was carried out in 1960 (REF. 207). Over the past half century, tremendous advances in operative management, techniques and valve design have transformed the outlook for patients with AS. Despite increasing age and comorbidities, the mortality associated with AVR has decreased dramatically during the past two decades<sup>208,209</sup>. For an isolated AVR, the overall 30-day mortality rate is currently <3% as reported in the Society of Thoracic Surgeons (STS) database and German Aortic Valve Registry (GARY)<sup>209,210</sup>. TABLE 3 presents the advantages and limitations of the different types of AVR. There has been a shift away from mechanical valves towards greater use of bioprosthetic valves, particularly in patients >65 years of age<sup>209</sup> (FIG. 12). Increasingly, younger patients or those with an active lifestyle opt for a bioprosthetic valve to avoid anticoagulation, despite its shorter durability compared with a mechanical valve. The most frequently used bioprosthetic valves are the stented bioprostheses, which are composed of three biological leaflets made from porcine aortic valve or bovine pericardium and mounted on a metal or polymeric stented ring. Bioprosthetic valves also include stentless bioprostheses that are manufactured from intact porcine aortic valves or from bovine pericardium. These valves have better haemodynamics compared with stented valves but their implantation is more complex and thus requires longer cardiopulmonary bypass time. Sutureless stent-mounted bioprosthetic valves have also been developed to allow easier and faster implantation

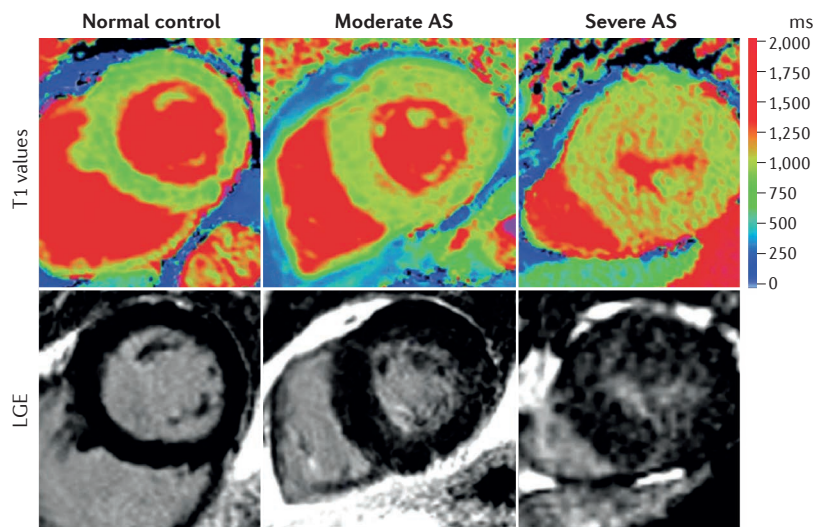
of the valve without sutures. Additional alternatives for AVR in younger patients include the implantation of an aortic homograft (aortic valve collected from a donor) or the Ross procedure, which involves the replacement of the diseased aortic valve with the patient's pulmonary valve followed by pulmonary valve replacement using a donor pulmonary valve<sup>211–213</sup>. However, these options are more controversial and less frequently used. A recent propensity analysis showed no difference in mortality or stroke among patients 50–69 years of age treated with a bioprosthetic valve versus a mechanical valve, although a bioprosthetic valve was associated with a higher incidence of reoperation and a mechanical valve was associated with a higher incidence of major bleeding during the 15-year follow-up<sup>214</sup>. A mini sternotomy, which is a minimally invasive way of carrying out cardiac surgery, is a viable option for isolated AVR and is associated with similar mortality, but decreased morbidity and resource use, compared with a full sternotomy<sup>215</sup>.

Operative mortality for AVR varies according to the skill and the experience of the surgical team as well as hospital volume<sup>216</sup>. Increasing age and comorbidities substantially increase both operative and long-term mortality after AVR<sup>217,218</sup>. Several risk scores, including the EuroSCORE (<http://www.euroscore.org>) and the STS risk calculator (<http://riskcalc.sts.org>), incorporate these factors to estimate operative risk. These risk scores are imperfect and being refined iteratively. They often do not include important factors such as frailty, chest wall radiation, porcelain aorta, pulmonary hypertension and liver cirrhosis. Owing to age, left ventricular dysfunction, multiple comorbidities and other factors, approximately one-third of patients with indications for AVR are not treated<sup>200,219</sup>.

### Transcatheter aortic valve replacement

TAVR is a minimally invasive procedure that involves insertion of a bioprosthetic aortic valve within the orifice of the native stenotic valve using a catheter (FIG. 13). For patients at high or prohibitive risk of operative mortality with surgical AVR, TAVR has been a transformative innovation, providing a life-saving treatment for patients who were previously not candidates for AVR<sup>201,220–224</sup> (TABLE 3). In the PARTNER Trial, there was a 20% absolute reduction in 1-year mortality (hazard ratio, 0.55; 95% confidence interval, 0.40 to 0.74) with TAVR compared with standard therapy (30.7% versus 50.7%, respectively)<sup>201</sup>. This survival benefit was accompanied by relief of symptoms and improvement in functional capacity in many patients<sup>201,225</sup>. Randomized trials of balloon-expandable and self-expanding valves have also showed that TAVR is a viable alternative to surgery in patients at high risk for AVR<sup>220,221</sup> (TABLE 4).

TAVR may be carried out by several different approaches: the most common access routes include transfemoral, transapical and transaortic routes (FIG. 13; TABLE 5). Approximately two-thirds (56–75%) of TAVR procedures are carried out via a transfemoral approach<sup>226–229</sup>. As catheter sheath sizes decrease, the balance is anticipated to shift even further towards a transfemoral approach. A transfemoral approach is



**Figure 10 | Assessment of myocardial fibrosis by cardiac magnetic resonance in patients with AS.** Top panel shows colour maps of T1 values using shortened modified Look–Locker inversion in a mid-ventricular short-axis slice and bottom panel shows the corresponding slice with late gadolinium enhancement (LGE) imaging. The left panel shows a normal volunteer, the middle panels show moderate aortic stenosis (AS) with moderate left ventricular hypertrophy and the right panel shows severe AS with severe left ventricular hypertrophy. Regions with high T1 values (orange and red) within the left ventricular wall correspond to myocardial fibrosis. Reproduced from Human non-contrast T1 values and correlation with histology in diffuse fibrosis, Bull, S. *et al.* 99, 932–937 (2013) with permission from BMJ Publishing Group Ltd.

associated with lower mortality and quicker recovery than alternative access approaches<sup>227–229</sup>. Other approaches include access via the subclavian, axillary or carotid arteries. There have also been recent reports of transcaval approaches<sup>230</sup>.

Balloon-expandable and self-expanding transcatheter valves have so far been the most rigorously studied valve types, specifically the CoreValve (Medtronic, Dublin, Ireland) and SAPIEN (Edwards, Irvine California, USA) valves<sup>201,220,221,224,226,231,232</sup> (FIG. 13; TABLE 5). This clinical arena is a very active area of development that includes iterative improvements on existing valves and novel designs<sup>233</sup>. Although TAVR has been a successful therapy in many ways, several complications and challenges have been encountered<sup>233</sup>. The most notable has been paravalvular aortic regurgitation<sup>234–236</sup>. The association between moderate or severe paravalvular aortic regurgitation and increased mortality has been clearly established, with some studies even suggesting that this adverse association extends to mild regurgitation<sup>235,237,238</sup>. Other complications of TAVR have included major vascular injury, heart block requiring a permanent pacemaker and acute kidney injury; more rare complications include stroke, aortic rupture and coronary obstruction<sup>233</sup>.

The TAVR field is evolving rapidly. Clinical trials comparing TAVR with surgery in intermediate risk populations are ongoing with results expected soon (TABLE 4). Surgical AVR has excellent results with low mortality in low risk populations<sup>209</sup>. For TAVR to make inroads into lower risk populations, device improvements are needed (principally to reduce paravalvular regurgitation and heart block, which is an arrhythmia that occurs when electrical impulses in the heart are blocked or delayed), vascular and stroke complications must be minimized and valve durability needs to be shown. There is a growing movement away from general anaesthesia to conscious sedation that might decrease the morbidity associated with the procedure<sup>239</sup>. Finally, valve-in-valve procedures for failed bioprostheses are becoming more common as an alternative to re-doing surgical AVR<sup>240</sup>.

**Surgical or transcatheter aortic valve replacement**

The choice of how to carry out AVR should occur only after a decision that AVR is indicated<sup>3</sup> (TABLE 1). Surgical AVR is currently indicated for patients with low to moderate surgical risk and TAVR is indicated for patients at prohibitive risk for surgery<sup>3,4</sup> (FIG. 11; TABLE 3). Patients may be at prohibitive risk for surgery owing to

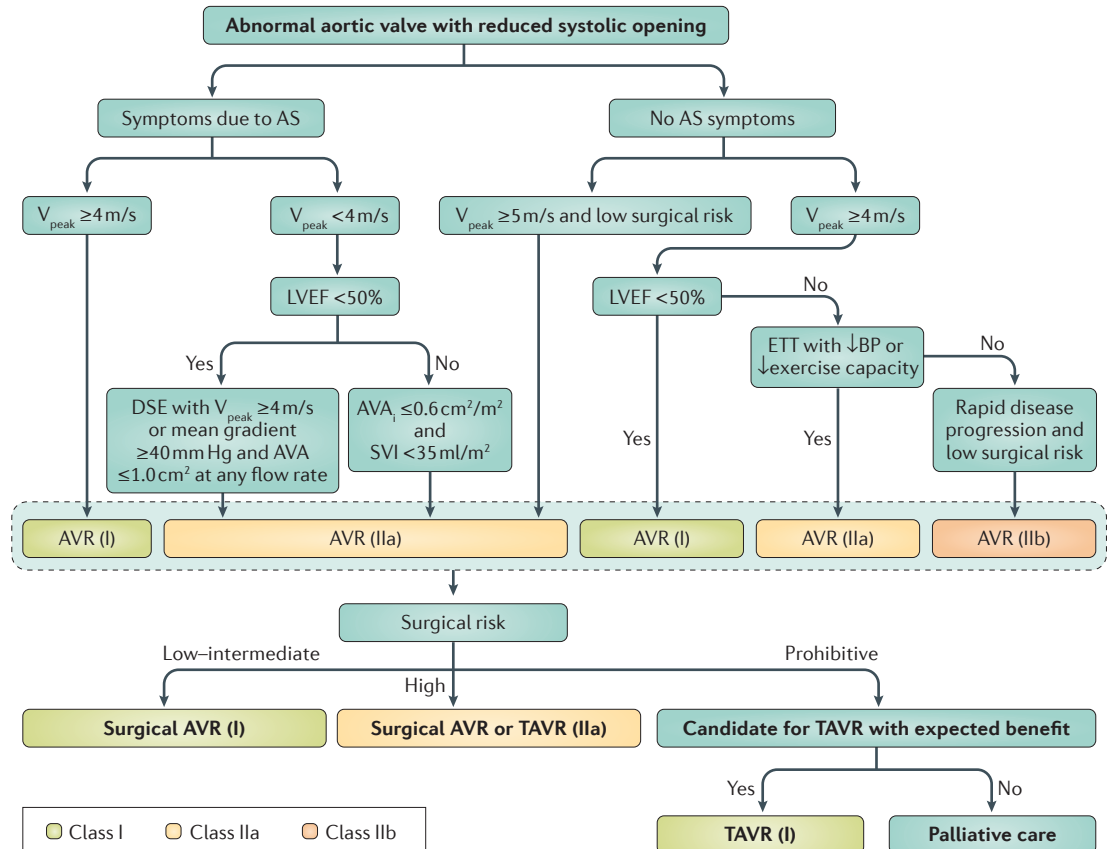


Figure 11 | **Algorithm for the management of AS.** This figure presents the algorithm recommended by the 2014 American College of Cardiology/American Heart Association guidelines for the management of aortic stenosis (AS)<sup>3</sup>. AVA, aortic valve area; AVAi, AVA indexed for body surface area; AVR, aortic valve replacement; BP, blood pressure; DSE, dobutamine stress echocardiography; ETT, exercise treadmill test; LVEF, left ventricular ejection fraction; SVI, stroke volume index; TAVR, transcatheter aortic valve replacement; V<sub>Peak</sub>, peak aortic jet velocity.

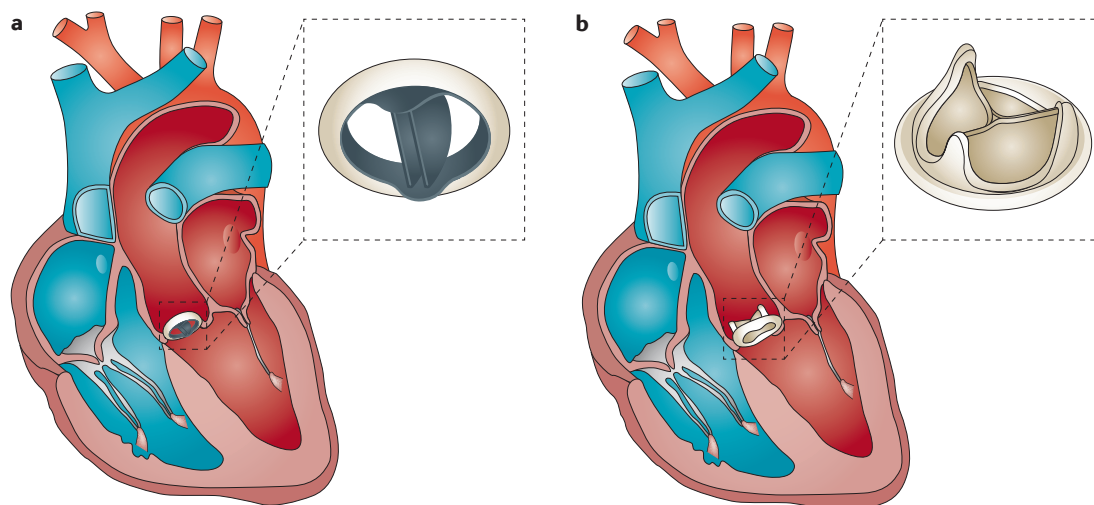


Figure 12 | **Different types of surgical aortic valve replacement.** **a** | Surgical aortic valve replacement with a bileaflet mechanical valve. **b** | Surgical aortic valve replacement with a bioprosthetic valve.

technical factors (such as porcelain aorta) or for clinical reasons (such as multiple comorbidities or frailty)<sup>3,241</sup>. Intermediate-risk patients may be treated with surgical AVR or enrolled in a clinical trial for TAVR. High-risk patients who are candidates for either surgical AVR or TAVR should have their therapy determined by careful consideration by the heart valve team<sup>3,4</sup>. Factors of importance to this decision include anatomical considerations, concomitant coronary disease and associated mitral or tricuspid valve disease. In patients with considerable associated mitral or tricuspid regurgitation, it is unclear whether concomitant surgical repair of the mitral or tricuspid valve at the time of AVR would improve clinical outcomes<sup>242,243</sup>.

Although TAVR is generally associated with a survival advantage compared with conservative (no AVR) management, there is a sizeable subgroup that dies soon after TAVR or does not experience an improvement in quality of life, suggesting potential futility of TAVR in some patients<sup>201,202,220,244,245</sup>. For instance, among inoperable patients treated with TAVR in the PARTNER I Cohort B trial (TABLE 4), at 1 year after the procedure, approximately 31% had died and 18% had less than a moderate improvement in their quality of life or New York Heart Association functional class<sup>201,244</sup>. Among patients treated in the high-risk Cohort A of the PARTNER I trial with TAVR or surgical AVR (TABLE 4), death from non-cardiovascular causes was more common than death from cardiovascular causes<sup>48</sup>. Moreover, when cause of death was difficult to categorize, it often occurred in frail patients who were failing to thrive<sup>246</sup>. Therefore, when lifespan or quality of life is markedly limited by frailty, non-cardiac disease, or mental or physical disability, the potential benefit of AVR may be low<sup>11</sup>. These cases highlight the importance of a heart valve team in the management decisions of these complex patients<sup>3,4</sup>. In some of these patients, the most appropriate approach is palliative care, taking the values and preferences of the patient and family into consideration in the decision-making process<sup>202</sup>.

### Management of coronary disease in patients with AS

The prevalence of coronary disease in the setting of severe AS increases with age and was as high as 75% in recent trials involving mostly very elderly patients<sup>201,220</sup>. Decisions regarding revascularization at the time of valve replacement used to be somewhat simpler when surgical valve replacement was the only option. If considerable coronary artery stenosis was present at preoperative coronary angiogram, coronary artery bypass graft was carried out at the time of valve replacement surgery. With the emergence of TAVR, decisions regarding the treatment of coronary disease have become more complex, including which coronary lesions to treat versus which to leave alone, how to treat them (percutaneous versus bypass) and when to treat them (before, during or after valve replacement)<sup>247</sup>. These decisions are influenced by numerous factors including lesion location and complexity, overall burden of coronary disease, the presence or absence of angina, left ventricular function, bleeding risk on dual antiplatelet therapy and other factors. How these decisions affect clinical outcomes requires further investigation, as many questions remain<sup>247,248</sup>. The way in which coronary disease should influence decisions between valve replacement with TAVR and surgical valve replacement is also unclear in some scenarios. A detailed discussion of these complex decisions is beyond the scope of this Primer, but has been recently reviewed elsewhere<sup>247,249</sup>.

### Balloon aortic valvuloplasty

Balloon aortic valvuloplasty (BAV), which uses the pressure of an inflated balloon to widen the opening of the stenotic valve, is not a definitive therapy for AS<sup>3</sup>. The changes produced by BAV in the valve area and transvalvular pressure gradient are usually modest and short-lived (weeks to months)<sup>250,251</sup>. In particularly ill patients, BAV may be used as a 'bridge' to stabilize the patient prior to definitive therapy with valve replacement<sup>3</sup>. When there is uncertainty as to whether a patient will benefit clinically from valve replacement owing to

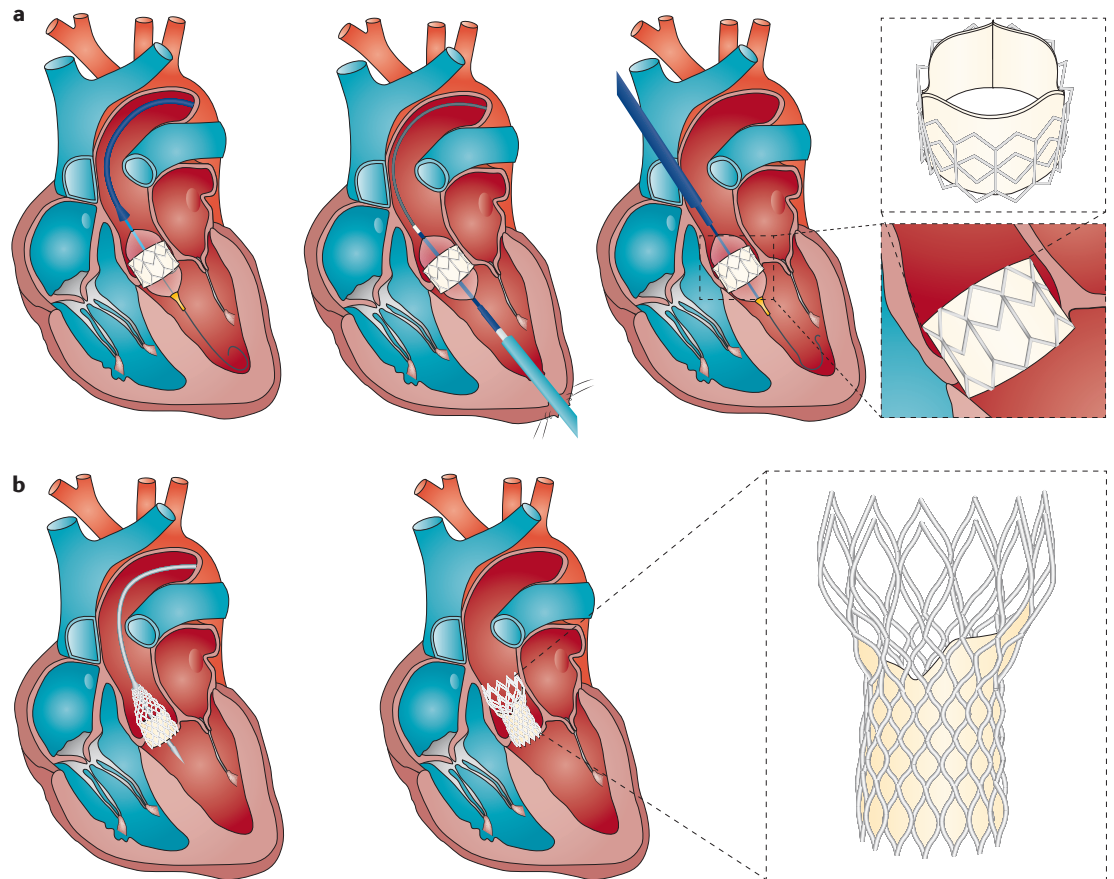


Figure 13 | **Different types of transcatheter aortic valve replacement.** **a** | Transcatheter aortic valve replacement with a balloon-expandable valve via the transfemoral, transapical or transaortic approach. **b** | Transcatheter aortic valve replacement with a self-expanding valve via the transfemoral approach.

markedly depressed left ventricular function or concomitant oxygen-dependent lung disease, or other factors, a BAV may have diagnostic use to determine whether valve replacement is appropriate<sup>202</sup>. In patients with severe AS who are undergoing non-cardiac surgery, a BAV is generally not warranted unless the patient is symptomatic or haemodynamically unstable and needs to undergo non-cardiac surgery before aortic valve replacement can be carried out<sup>3</sup>. In some circumstances, a BAV may be used for palliative care as there is some evidence that it might provide a short-term benefit in terms of improved survival, functional capacity and quality of life, but these benefits are not sustained<sup>251</sup>.

#### Quality of life

Severe AS primarily impairs quality of life by causing heart failure symptoms, including shortness of breath, fatigue and diminished functional capacity<sup>199,252</sup>. However, because patients who develop severe AS are usually older adults, these symptoms may also partly result from normal ageing, numerous comorbidities or frailty<sup>202</sup>. In older patients at high or extreme surgical risk undergoing TAVR, disease-specific and generic health status are often extremely poor<sup>221,224,244,245</sup>. Given the high prevalence of frailty and disability in this patient population, the relationship between

valvular stenosis and overall quality of life is also complex and variable<sup>202</sup>.

AVR is indicated in patients with severe symptomatic AS both to increase life expectancy and improve symptoms and quality of life<sup>3,4,199,202,252,253</sup>. For a patient with severe AS and heart failure symptoms, who is at low surgical risk, surgical AVR is associated with a fairly predictable improvement in shortness of breath and functional capacity. For patients who are at high risk for surgical interventions, who were previously not treated with AVR, TAVR has been a transformative innovation that has improved survival and quality of life<sup>200,201</sup>. Compared with inoperable patients treated with conservative management, patients treated with TAVR had less severe heart failure symptoms and better disease-specific and generic health status over the year after randomization<sup>201,244</sup>.

To determine the anticipated benefit of valve replacement in terms of quality of life, it is important to consider how much of the patient's symptoms and impaired health status are due to the valvular obstruction and heart failure versus other comorbidities and geriatric conditions<sup>253</sup>. This can be challenging to determine. When a patient's diminished quality of life is clearly related to heart failure symptoms from severe AS, valve replacement conveys a predictable and

noticeable improvement in quality of life and extends life expectancy. However, some patients have residual heart failure symptoms (albeit not as severe) after valve replacement owing to persistent diastolic dysfunction; this may manifest in a similar manner to the common syndrome of heart failure with preserved LVEF. When poor health status is principally due to comorbidities and geriatric conditions, valve replacement might lead to an unsatisfactory result both in terms of decreased survival and a decline or lack of improvement in quality of life<sup>253–256</sup>. Elucidating which factors contribute to worse quality of life after TAVR and identifying how those factors might be targeted with adjunctive interventions to improve outcomes require further study. It is likely that systemic, non-cardiac factors have an important role.

## Outlook

### Valve biology

Although long considered to be a passive and degenerative process, it is now clear that calcific AS results from an active biology that promotes fibrosis and calcification of the valve leaflets<sup>1</sup>. The pathobiology of AS is complex and probably involves genetic factors, multiple signalling pathways, ageing, sex hormones, haemodynamic factors and shear stress, and the systemic milieu. Disease initiation and progression are influenced by different factors. Several laboratories worldwide are working to elucidate the pathobiology of aortic sclerosis and stenosis, which will probably yield novel insights into potential therapeutic targets to prevent or to reverse calcific aortic valve disease.

### Pilot trials to slow disease progression

Several intervention studies have been carried out to test the hypothesis that lipid lowering with statin medications would slow the progression of AS; however, the results were generally disappointing<sup>152–154</sup>. With new insights into valve biology, there will probably be a new wave of clinical trials testing interventions that target diverse pathways to slow the progression of (or even reverse) calcific AS. Specific interventions might target the initiation of disease or the progression of disease.

Promising targets on the horizon include Lp(a), the renin–angiotensin system, RANKL and ectonucleotidases. Novel composite end points are likely to be developed for these trials based on the mechanism of action of the intervention and the phase of disease targeted.

### AS as a disease of the left ventricle

The left ventricular response to chronic pressure overload from AS is characterized by hypertrophic remodelling (myocyte hypertrophy and fibrosis) and diastolic and systolic dysfunction. In many ways, this left ventricular response considerably influences the morbidity and the mortality of the disease<sup>199,257–260</sup>. Future research will probably clarify the mechanisms driving the formation of fibrosis in the pressure-overloaded heart and will elucidate the abnormal diastolic properties (such as stiffness versus relaxation) involved in AS. In asymptomatic patients, targeting the adverse remodelling sequelae of the valvular stenosis with a therapeutic medical intervention may delay the onset of symptoms and may enable the delivery of new valves into healthier hearts, thereby potentially improving long-term cardiac performance and functional capacity.

### TAVR will be used in lower risk populations

With iterative improvements in transcatheter valves and lower procedural complications (less paravalvular leak, permanent pacemakers, stroke and vascular injury), TAVR will probably start to be used in lower risk populations (TABLE 4). However, questions about valve durability will need to be addressed. Although TAVR might become a viable option in patients with low risk and isolated AS, there will probably continue to be a group of patients for whom surgical AVR is preferable because it allows for more optimal treatment of concomitant pathology such as left main coronary disease or severe mitral or tricuspid valve disease. The currently available option of a transcatheter valve-in-valve procedure might lead cardiac surgeons to implant bioprosthetic valves (rather than mechanical valves) in younger patients, with the understanding that a new bioprosthetic valve can be subsequently implanted using TAVR.

Table 3 | Key management decisions when selecting a technique and prosthetic valve for aortic valve replacement

AVR technique or valve type	Indication	Contra-indication	Advantages	Limitations
Surgical AVR	<ul style="list-style-type: none"> <li>• Indication of AVR</li> <li>• Low to high surgical risk</li> </ul>	<ul style="list-style-type: none"> <li>• Prohibitive surgical risk</li> <li>• Life expectancy &lt;1 year</li> </ul>	<ul style="list-style-type: none"> <li>• Standard therapy with well-established record of safety, efficacy and durability</li> </ul>	<ul style="list-style-type: none"> <li>• Invasive</li> </ul>
Surgical AVR with biological valve	<ul style="list-style-type: none"> <li>• Patient preference</li> <li>• Achievement of good anticoagulation unlikely</li> <li>• Age &gt;65 years</li> </ul>	<ul style="list-style-type: none"> <li>• Life expectancy &lt;1 year</li> </ul>	<ul style="list-style-type: none"> <li>• Does not require anticoagulation</li> </ul>	<ul style="list-style-type: none"> <li>• Limited long-term durability</li> </ul>
Surgical AVR with mechanical valve	<ul style="list-style-type: none"> <li>• Patient preference</li> <li>• Patients already on anticoagulation</li> </ul>	<ul style="list-style-type: none"> <li>• Life expectancy &lt;1 year</li> <li>• Contraindication to anticoagulation</li> </ul>	<ul style="list-style-type: none"> <li>• Long-term durability</li> </ul>	<ul style="list-style-type: none"> <li>• Requires life-time anticoagulation (increased risk of bleeding)</li> </ul>
Transcatheter AVR*	<ul style="list-style-type: none"> <li>• Indication of AVR</li> <li>• High or prohibitive surgical risk</li> </ul>	<ul style="list-style-type: none"> <li>• Life expectancy &lt;1 year</li> </ul>	<ul style="list-style-type: none"> <li>• Less invasive than surgical AVR</li> </ul>	<ul style="list-style-type: none"> <li>• Long-term durability unknown;</li> <li>• Higher risk of paravalvular AR</li> </ul>

AR, aortic regurgitation; AVR, aortic valve replacement. \*With balloon-expandable or self-expanding valves.

Table 4 | Completed, ongoing and future clinical trials on different therapeutic procedures and strategies for AS

Trial name	Patient population and surgical risk	Number of patients	Design	Intervention(s)	End points and results	Status	Refs
PARTNER-IB	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Inoperable</li> </ul>	358	Randomized	TAVR (SAPIEN) versus conservative management	<ul style="list-style-type: none"> <li>• 1-year mortality: 30.7% TAVR versus 49.7% conservative*</li> <li>• 1-year mortality or major stroke: 33% TAVR versus 50.3% conservative*</li> <li>• 5-year mortality: 71.8% TAVR versus 93.6% conservative*</li> </ul>	Completed	201, 222, 269
PARTNER-IA	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• High risk</li> </ul>	699	Randomized	TAVR (SAPIEN) versus SAVR	<ul style="list-style-type: none"> <li>• 30-day mortality: 3.4% TAVR versus 6.5% SAVR</li> <li>• 1-year mortality: 24.2% TAVR versus 26.8% SAVR</li> <li>• 1-year mortality or major stroke: 26.5% TAVR versus 28% SAVR</li> <li>• 5-year mortality: 67.8% TAVR versus 62.4% SAVR</li> </ul>	Completed	220, 223, 270
PARTNER-IIIB	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Inoperable</li> </ul>	560	Randomized	TAVR (SAPIEN) versus TAVR (SAPIEN-XT)	<ul style="list-style-type: none"> <li>• 30-day mortality: 5.1% SAPIEN versus 3.5% SAPIEN-XT</li> <li>• 1-year mortality: 23.3% SAPIEN versus 22.3% SAPIEN-XT</li> <li>• 1-year major stroke: 5.5% SAPIEN versus 4.8% SAPIEN-XT</li> </ul>	Completed	271
SAPIEN 3-HR	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• High risk or inoperable</li> </ul>	583	Non-randomized	TAVR (SAPIEN 3)	<ul style="list-style-type: none"> <li>• 30-day mortality: 2.2%</li> <li>• 30-day major stroke: 0.86%</li> <li>• 1-year mortality: 14.4%</li> <li>• 1-year major stroke: 2.4%</li> </ul>	Ongoing	272, 273
SAPIEN 3-IR	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Intermediate risk</li> </ul>	1,076	Non-randomized	TAVR (SAPIEN 3)	<ul style="list-style-type: none"> <li>• 30-day mortality: 1.1%</li> <li>• 30-day major stroke: 1.02%</li> </ul>	Ongoing	272
CoreValve ER	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Inoperable</li> </ul>	509	Non-randomized	TAVR (SAPIEN 3)	<ul style="list-style-type: none"> <li>• 1-year mortality: 26%</li> <li>• 1-year major stroke: 2.3%</li> </ul>	Completed	224
CoreValve IR/HR	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Intermediate or high risk</li> </ul>	750	Randomized	TAVR (CoreValve) versus SAVR	<ul style="list-style-type: none"> <li>• 1-year mortality: 19.1% TAVR versus 14.2% SAVR*</li> <li>• 1-year major stroke: 22.2% TAVR versus 28.6% SAVR*</li> <li>• 2-year mortality: 22.2% TAVR versus 28.6% SAVR*</li> <li>• 2-year major stroke: 6.8% TAVR versus 9.8% SAVR</li> </ul>	Completed	221, 274
CHOICE	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Low risk</li> </ul>	241	Randomized	TAVR (SAPIEN-XT) versus SAVR (CoreValve)	<ul style="list-style-type: none"> <li>• 30-day mortality: 4.1% TAVR versus 4.3% SAVR</li> <li>• 30-day stroke: 5.8% TAVR versus 2.6% SAVR</li> </ul>	Completed	275
NOTION	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Low risk</li> </ul>	280	Randomized	TAVR versus SAVR	<ul style="list-style-type: none"> <li>• 1-year mortality: 4.9% TAVR versus 7.5% SAVR</li> <li>• 1-year all stroke: 2.9% TAVR versus 4.6% SAVR</li> </ul>	Completed	276
PARTNER-IIA	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Intermediate risk</li> </ul>	2,000	Randomized	TAVR (SAPIEN-XT) versus SAVR	<ul style="list-style-type: none"> <li>• Primary end point: 2-year mortality or major stroke</li> </ul>	Ongoing	277
SURTAVI	<ul style="list-style-type: none"> <li>• Symptomatic severe AS</li> <li>• Intermediate risk</li> </ul>	2,500	Randomized	TAVR (CoreValve) versus SAVR	<ul style="list-style-type: none"> <li>• Primary end point: 2-year mortality or major stroke</li> </ul>	Ongoing	278
TAVR-UNLOAD	<ul style="list-style-type: none"> <li>• Moderate AS</li> <li>• Low LVEF</li> <li>• Heart failure symptoms</li> </ul>	600	Randomized	Heart failure therapy alone versus heart failure therapy plus TAVR	<ul style="list-style-type: none"> <li>• Primary end point: hierarchical composite of 1-year death, stroke, heart failure hospitalization and quality of life</li> </ul>	Future	
AVATAR RECOVERY EARLY-TAVR	<ul style="list-style-type: none"> <li>• Asymptomatic severe AS</li> </ul>	144–800	Randomized	Early AVR (SAVR and/or TAVR) versus watchful waiting	<ul style="list-style-type: none"> <li>• Primary end point: composite of death, stroke, myocardial infarction, heart failure hospitalization, left ventricular systolic dysfunction and quality of life</li> </ul>	Future	

AS, aortic stenosis; AVR, aortic valve replacement; LVEF, left ventricular ejection fraction; SAVR, surgical aortic valve replacement; TAVR, transcatheter aortic valve replacement.\*Difference between groups is statistically significant.

Table 5 | Comparison of TAVR access routes

Approach	Indication	Contra-indication	Advantages	Limitations
Transfemoral	<ul style="list-style-type: none"> <li>• Default approach for TAVR</li> </ul>	<ul style="list-style-type: none"> <li>• Small, tortuous or calcified femoro-iliac arteries</li> </ul>	<ul style="list-style-type: none"> <li>• Least invasive approach</li> </ul>	<ul style="list-style-type: none"> <li>• Requires a minimal femoral and iliac artery diameter of 6.0–6.5 mm</li> </ul>
Transapical (via the chest between the ribs)	<ul style="list-style-type: none"> <li>• Femoral and other vascular access not possible</li> </ul>	<ul style="list-style-type: none"> <li>• Left ventricular aneurysm or thrombus</li> <li>• Severe pulmonary disease*</li> <li>• Severe left ventricular systolic dysfunction*</li> </ul>	<ul style="list-style-type: none"> <li>• Better control of the positioning of the valve</li> </ul>	<ul style="list-style-type: none"> <li>• More invasive</li> <li>• More myocardial injury</li> <li>• More respiratory complications</li> </ul>
Transaortic (via mini-sternotomy)	<ul style="list-style-type: none"> <li>• Femoral and other vascular access not possible</li> <li>• Viable alternative to transapical</li> </ul>	<ul style="list-style-type: none"> <li>• Complete porcelain aorta (rare)</li> </ul>	<ul style="list-style-type: none"> <li>• Avoids manipulation and suturing of left ventricle apex with potential of causing apical dysfunction</li> </ul>	<ul style="list-style-type: none"> <li>• Requires a non-calcified area on the aorta for access and purse suture</li> </ul>
Other approaches <sup>‡</sup>	<ul style="list-style-type: none"> <li>• Alternative access routes in the context of severe peripheral artery disease</li> </ul>	<ul style="list-style-type: none"> <li>• Unsuitable anatomy or size of the alternative artery</li> </ul>	<ul style="list-style-type: none"> <li>• Provides an alternative to transfemoral that is potentially less invasive than transapical or transaortic</li> </ul>	<ul style="list-style-type: none"> <li>• Depends on route (carotid approach may increase stroke risk; transcaval approach may have bleeding or damage to the aorta that is difficult to control)</li> </ul>

TAVR, transcatheter aortic valve replacement. \*Relative contra-indication. <sup>‡</sup>Left subclavian or axillary artery, carotid artery or transcaval route.

### Improved accuracy of risk prediction for TAVR

Although the STS score and EuroSCORE are reasonably accurate in predicting morbidity and mortality after TAVR, they were developed in patient cohorts of younger individuals with fewer comorbidities undergoing cardiac surgery<sup>261</sup>. With multiple clinical trials and registries collecting detailed data on patients undergoing TAVR, there will be several risk prediction models developed specifically in and for TAVR patients that will improve upon existing ones. These scores will incorporate factors associated with older age (for example, frailty, disability and cognitive impairment) and will be developed to predict quality of life outcomes, not just mortality.

### Increased use of biomarkers

Biomarkers have not been widely used in the management of patients with AS. Natriuretic peptides, such as BNP, are somewhat of an exception, but their role in management decisions has not been clearly defined<sup>3,4</sup>. In the coming years, there will be more specific cut-offs of natriuretic peptide levels to guide management decisions<sup>187</sup>. High sensitivity cardiac troponin will be more routinely integrated into our evaluation of patients with AS<sup>194</sup>. Increasingly, as in non-AS heart failure populations, a multimarker approach will be taken to measure diverse biological pathways in a more integrated manner to gain insight into ventricular health and systemic factors that might affect clinical outcomes and influence management strategies regarding valve replacement and adjunctive therapies<sup>198</sup>.

### Tailored management strategies for AVR

Treatment decisions will become more personalized regarding when, whether and how to carry out valve replacement. Previously, management decisions were mainly conceptualized in terms of the severity of AS and the presence or absence of symptoms. Phenotyping and risk stratification has and will become more

sophisticated, allowing for more nuanced management decisions. The left ventricular response to a given degree of pressure overload, systemic factors, biomarkers, patient symptoms and operative risk will be integrated alongside an assessment of AS severity to influence management strategies regarding valve replacement.

In the near future, the realization of randomized trials might pave the way for new indications for AVR. The trials that should be considered a priority by the cardiology community include early ‘prophylactic’ AVR versus a watchful waiting strategy in asymptomatic patients with severe AS, and TAVR combined with heart failure therapy versus heart failure therapy alone in patients with moderate AS, low LVEF and heart failure symptoms (TABLE 4). Also, the data from ongoing and future trials will help to better individualize the type of AVR according to the baseline risk profile of patients. Results from some recent studies suggest that TAVR might be preferable to surgical AVR in patients with diabetes, chronic obstructive pulmonary disease, pulmonary hypertension, small aortic annulus and low-flow, low-gradient AS<sup>262–266</sup>.

### Interventions after AVR to improve clinical outcomes

Given that AS is conceptualized as a mechanical problem (valve obstruction) in need of a mechanical solution (valve replacement), it is common to view the problem or disease of AS as ‘fixed or solved’ after the valve is replaced, with little attention directed towards strategies and interventions that might improve clinical outcomes in the post-valve replacement period. We anticipate that there will be a growing recognition of factors that impair an optimal clinical outcome in patients with AS after valve replacement, and that interventions will be identified that might improve these outcomes. These might include interventions such as adjunctive medical therapies (for example, anti-fibrotic and anti-hypertrophic agents) to improve left ventricular reverse remodeling and function, or lifestyle interventions to improve outcomes for frail patients undergoing TAVR.

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#### Author contributions

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