

Diametral Dimension of topological vector spaces

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Kolmogorov's diameters

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A very important example : Köthe spaces

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The Idea...

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- Let X, Y be two topological vector spaces (tvs)

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Topological invariant

It is a map τ on the class of tvs (or a subclass of the class of tvs) such that

$$X \cong Y \implies \tau(X) = \tau(Y)$$

or

$$\tau(X) \neq \tau(Y) \implies X \not\cong Y.$$



Topological invariants

Another notion

A topological invariant τ is *complete* if

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Diametral Dimension

- The *diametral dimension* is a topological invariant (on the class of tvs)



Topological invariants

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A topological invariant τ is *complete* if

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Diametral Dimension

- The *diametral dimension* is a topological invariant (on the class of tvs)
- Interest : determining the diametral dimension of S^ν spaces



Topological invariants

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A topological invariant τ is *complete* if

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Diametral Dimension

- The *diametral dimension* is a topological invariant (on the class of tvs)
- Interest : determining the diametral dimension of S^ν spaces
- Every S^ν (not pseudoconvex) has the same diametral dimension !

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Kolmogorov's diameters

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Notation : $\mathcal{L}_n(E) \equiv$ vector subspaces of E with a dimension $\leq n$.



Kolmogorov's diameters

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Definition

The n^{th} Kolmogorov's diameter of U in respect with V is

$$\delta_n(U, V) = \inf \{ \delta > 0 : \exists F \in \mathcal{L}_n(E) \text{ such that } U \subset \delta V + F \}.$$



Kolmogorov's diameters

Some properties !



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Lemmas

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- $T : E \rightarrow F$ linear $\implies \delta_n(T(U), T(V)) \leq \delta_n(U, V)$
- $T : E \rightarrow F$ isomorphism of vector spaces
 $\implies \delta_n(T(U), T(V)) = \delta_n(U, V)$

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Definition

The diametral dimension of E is the set

$$\Delta(E) = \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall V \in \mathcal{V}(E) \exists U \in \mathcal{V}(E) \text{ such that } U \subset V \right. \\ \left. \text{and } \lim_{n \rightarrow \infty} (\xi_n \delta_n(U, V)) = 0 \right\}$$



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Remark : the definition does not depend on the choice of the basis.

Diametral Dimension

Proposition

If $T : E \rightarrow F$ is linear, continuous and open, then $\Delta(E) \subset \Delta(F)$.



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Corollaries

- $\Delta(\prod_{\alpha \in A} E_{\alpha}) \subset \bigcap_{\alpha \in A} \Delta(E_{\alpha})$



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- $\Delta(\prod_{\alpha \in A} E_{\alpha}) \subset \bigcap_{\alpha \in A} \Delta(E_{\alpha})$
- $\Delta(E) \subset \Delta(E/F)$

Theorem

The diametral dimension is a topological invariant on the class of topological vector spaces.



Diametral Dimension

Some properties/examples

- $\dim E < +\infty \implies \Delta(E) = \mathbb{C}^{\mathbb{N}}$



Diametral Dimension

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- $\dim E < +\infty \implies \Delta(E) = \mathbb{C}^{\mathbb{N}}$
- $\Delta(E) \supset c_0 := \{\xi \in \mathbb{C}^{\mathbb{N}_0} : \lim_{n \rightarrow \infty} \xi_n = 0\}$



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- If E is a normed space and if $\dim E = \infty$, then $\Delta(E) = c_0$ (precompact/totally bounded sets, Riesz's theorem)



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Warning !

Diametral dimension is not complete !

Remark

Where is the diametral dimension complete ?



Remark

Where is the diametral dimension complete ?

- The class of power series spaces
- Dragilev's class

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Notation

$l_\infty \equiv$ space of bounded sequences with the norm

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A Banach space $(I, \|\cdot\|_I)$ of complex sequences is *admissible* if

- $\forall \xi \in l_\infty, \eta \in I, \eta\xi \in I$ and $\|\eta\xi\|_I \leq \|\xi\|_{l_\infty} \|\eta\|_I$
- $e_k := (\delta_{k,n})_{n \in \mathbb{N}_0} \in I$ and $\|e_k\|_I = 1$

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Examples

- $l_1 := \{\xi \in \mathbb{C}^{\mathbb{N}_0} : \sum_{n \in \mathbb{N}} |\xi_n| < \infty\}$ with the norm
 $\|\xi\|_{l_1} = \sum_{n \in \mathbb{N}} |\xi_n|$



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- $l_p := \{\xi \in \mathbb{C}^{\mathbb{N}_0} : \sum_{n \in \mathbb{N}} |\xi_n|^p < \infty\}$ with the norm
 $\|\xi\|_p = (\sum_{n \in \mathbb{N}} |\xi_n|^p)^{1/p}$ if $p \geq 1$



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 $\|\xi\|_{l_p} = (\sum_{n \in \mathbb{N}} |\xi_n|^p)^{1/p}$ if $p \geq 1$
- $(l_\infty, \|\cdot\|_{l_\infty})$ and $(c_0, \|\cdot\|_{l_\infty})$



Köthe sets and Köthe spaces

Definition

$A \subset \mathbb{C}^{\mathbb{N}_0}$ is a *Köthe set* if

- $\forall \alpha \in A, n \in \mathbb{N}_0, \alpha_n \geq 0,$
- $\forall n \in \mathbb{N}_0, \exists \alpha \in A$ such that $\alpha_n > 0,$
- $\forall \alpha, \beta \in A, \exists \gamma \in A$ such that $\sup\{\alpha_n, \beta_n\} \leq \gamma_n$



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Definition

Köthe sequence space :

$$\lambda^I(A) := \{\xi \in \mathbb{C}^{\mathbb{N}_0} : \forall \alpha \in A, \alpha\xi \in I\}$$

with the topology defined by the semi-norms $p_\alpha^I : \xi \mapsto \|\alpha\xi\|_I.$



Köthe spaces

Remark

$\lambda^1(A)$ is a Hausdorff complete locally convex space ; it is a Fréchet space when A is countable

Köthe spaces

Remark

$\lambda^l(A)$ is a Hausdorff complete locally convex space ; it is a Fréchet space when A is countable

Examples/applications

- If $O = \{(e^{-n/k})_{n \in \mathbb{N}_0} : k \in \mathbb{N}\}$, then $\mathcal{O}(D(0, 1)) \cong \lambda^1(O)$
- If $O' = \{(e^{nk})_{n \in \mathbb{N}_0} : k \in \mathbb{N}_0\}$, then $\mathcal{O}(\mathbb{C}) \cong \lambda^1(O')$

The idea : Taylor's development $f(z) = \sum_{n=0}^{+\infty} \frac{f^{(n)}(0)}{n!} z^n$



Regular Köthe spaces

A particular case

$\lambda^l(A)$ is *regular* if

- $\forall k, n \in \mathbb{N}_0, a_k(n) > 0$
- $\forall k, n \in \mathbb{N}_0, a_k(n) \leq a_{k+1}(n),$
- $\forall k \in \mathbb{N}_0,$ the sequence $\left(\frac{a_k(n)}{a_{k+1}(n)} \right)_{n \in \mathbb{N}_0}$ is decreasing.

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Then...

Theorem

$\Delta(\lambda^l(A)) =$

$$\left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \forall k \in \mathbb{N} \exists m \in \mathbb{N} \text{ such that } \left(\frac{a_k(n)}{a_{k+m}(n)} \xi_n \right)_{n \in \mathbb{N}_0} \in c_0 \right\}$$

Köthe spaces

Corollaries

After some developments,

- $\Delta(\mathcal{O}(D(0, 1))) = \bigcap_{k \in \mathbb{N}} \{ \xi \in \mathbb{C}^{\mathbb{N}_0} : (\xi_n e^{-n/k})_{n \in \mathbb{N}_0} \in l_\infty \}$
- $\Delta(\mathcal{O}(\mathbb{C})) = \bigcup_{k \in \mathbb{N}_0} \{ \xi \in \mathbb{C}^{\mathbb{N}_0} : (\xi_n e^{-kn})_{n \in \mathbb{N}_0} \in l_\infty \}$



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So $(e^n)_{n \in \mathbb{N}_0} \in \Delta(\mathcal{O}(\mathbb{C})) \setminus \Delta(\mathcal{O}(D(0, 1)))$



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So $(e^n)_{n \in \mathbb{N}_0} \in \Delta(\mathcal{O}(\mathbb{C})) \setminus \Delta(\mathcal{O}(D(0, 1)))$

$\implies \mathcal{O}(D(0, 1)) \not\cong \mathcal{O}(\mathbb{C})!$

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TFAE :

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- $l_\infty \subset \Delta(E)$
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TFAE :

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Theorem

TFAE :

- E is **nuclear**
- $\forall p > 0, ((n+1)^p)_{n \in \mathbb{N}_0} \in \Delta(E)$
- $\exists p > 0$ such that $((n+1)^p)_{n \in \mathbb{N}_0} \in \Delta(E)$



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It can be shown that

$$\begin{aligned} \Delta(S^\nu) &= \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \lim_{n \rightarrow +\infty} (\xi_n (n+1)^{-s}) = 0 \quad \forall s > 0 \right\} \\ &= \left\{ \xi \in \mathbb{C}^{\mathbb{N}_0} : \lim_{n \rightarrow +\infty} (\xi_n (n+1)^{-1/m}) = 0 \quad \forall m \in \mathbb{N}_0 \right\} \end{aligned}$$

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Corollaries

- $l_\infty \subset \Delta(S^\nu) \implies S^\nu$ spaces are Schwartz



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Corollaries

- $l_\infty \subset \Delta(S^\nu) \implies S^\nu$ spaces are Schwartz
- $((n+1)^2)_n \notin \Delta(S^\nu) \implies S^\nu$ spaces are not nuclear



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Question : are S^ν spaces isomorphic ?

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