# Anchors of Morphological Operators and Algebraic Openings

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#### **ABSTRACT**

Opening and closing operators play an important role in the field of mathematical morphology, mainly because of their useful property of idempotence, which is similar to the notion of ideal filter in linear filtering. From a theoretical point of view, the study of openings has focused on the algebraic characterization of the operators themselves. Morphological filters have been studied for more than 30 years; the effects of the first filters (erosions, openings, and so on) are known in depth. In discussing the effects of a filter, it is not only the operator that is studied but also its relationship with the processed function or image and, in the particular case of mathematical morphology, the structuring element. In addition, there are several approaches to this analysis. For example, the analysis can consider the whole function or some subparts of the function, as in Van Droogenbroeck and Buckley (2005), who introduced the notion of morphological anchors. Anchors were defined in the context of morphological openings, as defined by the cascade of an erosion followed by a dilation. The extension to other kinds of openings is not straightforward. Despite the fact that all morphological openings induce the appearance of anchors, some opening operators (like the quantization opening defined in this chapter) might have no anchors. This chapter presents the theory of anchors related to morphological erosions and openings, and establishes some properties for the extended scope of algebraic openings. It is shown under which circumstances anchors exist for algebraic openings and how to locate some anchors; for example, it suffices for an opening to be spatial or shift-invariant to guarantee the existence of anchors. As for morphological openings, the existence of anchors may help clarify some algorithms or lead to new algorithms to compute algebraic openings.

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#### 1. Introduction

Over the years mathematical morphology, a theory initiated by Matheron (1975) and Serra (1982), has grown to a major theory in the field of nonlinear image processing. Tools of mathematical morphology, such as morphological filters, the watershed transform, and connectivity operators, are now widely available in commercial image processing software packages and the theory itself has considerably expanded over the past decade (Najman and Talbot, 2008). This expansion includes new operators, algorithms, methodologies, and concepts that have led mathematical morphology to become part of the mainstream of image analysis and image-processing technologies.

The growth in popularity is due not only to the theoretical work of some pioneers but also to the development of powerful tools for image processing such as granulometries (Matheron, 1975), pattern spectrum analysis-based techniques (Maragos, 1989) that provide insights into shapes, and transforms like the watershed (Beucher and Lantuéjoul, 1979; Vincent and Soille, 1991) or connected operators (Salembier and Serra, 1995) that help to segment an image. All these operators have been studied intensively and tractable algorithms have been found to implement them effectively, that is, in real time on an ordinary desktop computer.

Historically, mathematical morphology is considered a theory that is concerned with the processing of images, using operators based on topological and geometrical properties. According to Heijmans (1994), the first books on mathematical morphology discuss a number of mappings on subsets of the Euclidean plane, which have in common that they are based on set-theoretical operations (union, intersection, complementation), as well as translations. More recently researchers have extended morphological operators to arbitrary complete lattices, a step that has paved the way to more general algebraic frameworks.

The geometrical interpretation of mathematical morphology relates to the use of a *probe* which is a set called *structuring element*. The basic idea in binary morphology is to probe an image with the shape of the structuring element and draw conclusions on how this shape fits or misses the regions of that image. Consequently, there are as many interpretations of an image as structuring elements, although one often falls back to a few subset of structuring elements, such as lines, squares, balls, or hexagons. In geometrically motivated approaches to mathematical morphology, the focus clearly lies on the shape and size of the structuring element.

Algebraic approaches do not refer to geometrical or topological concepts. They concentrate on the properties of operators. Consequently, algebraic approaches embrace larger classes of operators and functions. But in both approaches the goal is to characterize operators to help design solutions useful for image processing applications.

# 1.1. Terminology and Scope

Let  $\mathbb{R}$  and  $\mathbb{Z}$  be the set of all real and integer numbers, respectively. In this chapter, we consider transformations and functions defined on a space  $\mathcal{E}$ , which is the continuous Euclidean space  $\mathbb{R}^n$  or the discrete space  $\mathbb{Z}^n$ , where  $n \geq 1$  is an integer. Elements of  $\mathcal{E}$  are written  $p, x, \ldots$ , while subsets of elements are denoted by upper-case letters  $X, Y, \ldots$  Subsets of  $\mathbb{R}^2$  are also called

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*binary* sets or images because two colors suffice to draw them (elements of *X* are usually drawn in black, and elements that do not belong to *X* in white).

Next we introduce an order on  $\mathscr{P}(\mathscr{E})$ , the power set comprising all subsets of  $\mathscr{E}$ , that results from the usual inclusion notion. X is smaller or equal to Y if and only if  $X \subseteq Y$ . Note that we decide to encompass the case of equality in contrary to strict inclusion  $\subset$ . The power set  $\mathscr{P}(\mathscr{E})$  with the inclusion ordering is a complete lattice (Heijmans, 1994).

This chapter also deals with images. Images are modeled as *functions* (denoted as  $f, g, \ldots$ ), that map a non-empty set E of  $\mathscr E$  into R, where R is a set of binary values  $\{0, 1\}$ , a discrete set of grey-scale values  $\{0, \ldots, 255\}$ , or a closed interval [0, 255], defining, respectively, *binary*, grey-scale or *continuous* images. The ordering relation  $\leq$  is given by " $f \leq g$  if and only if  $f(x) \leq g(x)$  for every  $x \in E$ ." It can be shown that the space  $Fun(\mathscr E, R)$  of all functions and  $\leq$  form a complete lattice, which means among others that every subset of the lattice has an infimum and a supremum.

The frameworks of  $\mathscr{P}(\mathscr{E})$  and  $\subseteq$ , or  $\operatorname{Fun}(\mathscr{E},R)$  and  $\leq$ , are equivalent as long as we deal with complete lattices. Most results can thus be transposed from one framework to the other. In the following we arbitrary decide to restrict functions to be single-valued grey-scale images. Also, for convenience, we use the unique term *operators* to refer to operations that map sets of  $\mathscr{E}$  into  $\mathscr{E}$  or handle  $\operatorname{Fun}(\mathscr{E},R)$ . In addition, we restrict the scope of this chapter to operators that map sets to sets, or functions to functions. This implies that both the input and the output lattices are the same, or equivalently, that there is only one complete lattice under consideration. Operators are denoted by greek letters  $\varepsilon$ ,  $\delta$ ,  $\gamma$ ,  $\psi$ , ...

# 1.1.1. The Notion of Idempotence

Linear filters and operators are common in many engineering fields that process signals. As an analogy, remember that every computer with a sound card contains a hardware module that prefilters the acquired signal before sampling to prevent aliasing. Likewise, digital signals are converted to analog signals by means of a low-pass filter.

The concept of a reference filter called an *ideal filter* is often used to characterize linear filters. Ideal filters have a binary transmittance with only two values: 0 or 1. Either they retain a frequency or they drop it. Ideal filters stabilize the result after a single pass; further applications do not modify the result any more in the spectral domain (nor in the spatial domain!). Unfortunately, it can be shown that because practical linear filters have a finite kernel in the spatial domain, they cannot be ideal. It might be impossible to build ideal filters, but they nevertheless serve as a reference because it is pointless to repeat the filtering process.

The notion of frequency is irrelevant in nonlinear image processing. Nonlinear operators operate in the initial domain, either globally or locally. An important class of nonlinear operators computes rank statistics inside a moving window. For example, the *median operator* that selects the median from a collection of values taken inside a moving local window centered on x and that allocates the median value to  $\psi(f)(x)$  is known to be efficient for the removal of *salt-and-pepper* noise (Figure 1). However, in some cases, the median filter oscillates as shown in Figure 2.

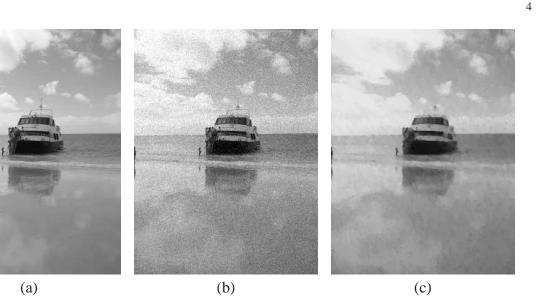


FIGURE 1. Effect of a median filter. (a) Original grey-scale image, (b) original image corrupted by noise, and (c) filtered image.

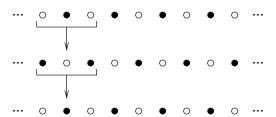


FIGURE 2. Successive applications of a three-pixel wide median filter on a binary image may result in oscillations.

Oscillations may not be common in practice, but they still cast doubts on the significance of the output function. Therefore, the behavior of median operators has been characterized in terms of *root* signals. Technically, a root signal f of an operator, which is sometimes called a *fixed point*, is a function that is invariant to the applications of that operator for each location:  $\forall x \in \mathscr{E}$ ,  $\psi(f)(x) = f(x)$ , where f is the root signal.

The existence of root signals is not restricted to the median operator. Let us consider a simple example of a one-dimensional constant function g(x) = k and a linear filter with an impulse response h(x). The filtered signal r(x) is the convolution of g(x) by h(x):  $r(x) = \int_{-\infty}^{+\infty} g(t-x)h(t)dt = k \int_{-\infty}^{+\infty} h(t)dt = k \mathcal{H}(0)$ , where  $\mathcal{H}(0)$  is the Fourier transform of h(t) taken for f = 0. In the case of an ideal filter,  $\mathcal{H}(0) = 1$  so that r(x) = k = g(x). This illustrates that, up to a constant, root signals for linear operators typically include constant-valued or straight-line signals.

For nonlinear operators, there is a property somewhat similar to that of an ideal filter for linear processing. Mathematical morphology uses a property called *idempotence*.

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**Definition 1.** Consider an operator  $\psi$  on a complete lattice  $(\mathcal{L}, \leq)$ , and let X be an arbitrary element of  $\mathcal{L}$ . An operator  $\psi$  is *idempotent* if and only if

$$(1) \qquad \qquad \psi(\psi(X)) = \psi(X)$$

for any X of  $\mathcal{L}$ . In the following, we use this notation or the operator composition:  $\psi \psi = \psi$ .

In contrast to the case of ideal filters, it is possible to implement idempotent operators. Therefore, the property is part of the design of filters and not just a goal; idempotence is chosen as one of the compulsory property for the operator. This explains why many idempotent operators have been proposed: algebraic filters, morphological openings, attribute openings,...

Idempotence might be one of the requirements in the design of an operator, it does not suffice! For example, an operator  $\psi$  that maps every function to  $g(x) = -\infty$  is idempotent but useless. Hereafter, we present additional properties to complete the algebraic framework and elaborate on the formal definition of openings.

# 1.2. Toward Openings

By definition, a notion of order exists on a complete  $(\mathcal{L}, \leq)$ . The property of increasingness guarantees that an order between objects in the lattice is preserved (remember that we deal only with objects that belong to a unique lattice, that is  $X, \psi(X) \in \mathcal{L}$  and there is only one notion of order  $\leq$ ). That is,

**Definition 2.** The lattice operator  $\psi$  is called *increasing* if  $X \leq Y$  implies  $\psi(X) \leq \psi(Y)$  for every  $X, Y \in \mathcal{L}$ .

Let  $\psi$  be an operator on  $\mathscr{L}$ , and let X be an element of  $\mathscr{L}$  for which  $\psi(X) = X$ . Then X is called *invariant* under  $\psi$  or, alternatively, a *fixed point* of  $\psi$ . The set of all elements invariant under  $\psi$  is denoted by  $\operatorname{Inv}(\psi)$  and is called the *invariance domain* of  $\psi$ . The Tarski's fixpoint theorem specifies that the invariance domain  $\operatorname{Inv}(\psi)$  of an increasing operator  $\psi$  on a complete lattice is nonempty.

Increasingness builds a bridge between ordering relations before and after the operator. But, as X and  $\psi(X)$  are defined on the same lattice, one can also compare X to  $\psi(X)$ , leading us to define additional properties:

**Definition 3.** Let X, Y be two sets (or functions) of a lattice  $(\mathcal{L}, \leq)$ . An operator  $\psi$  on  $\mathcal{L}$  is called

- *extensive* if  $\psi(X) > X$  for every  $X \in \mathcal{L}$ ;
- *anti-extensive* if  $\psi(X) \leq X$  for every  $X \in \mathcal{L}$ .

In more practical terms, increasingness tells us if an order in the source lattice is preserved in the destination lattice, idempotence if the application of the operator stabilizes the results, and extensivity if the result is smaller or larger than its source. Figure 3 shows these remarks in illustrative terms:  $f(x) \le g(x)$  implies  $\gamma(f) \le \gamma(g)$ ,  $\gamma(f(x)) \le f(x)$ , and  $\gamma(g(x)) \le g(x)$ .

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FIGURE 3. Illustrations of increasingness and anti-extensivity. (a) and (b) Original grey-scale image f(x) and after processing with an opening operator  $\gamma$ ; (c) and (d) Similar displays for a whiter image g(x).

When an operator  $\psi$  is both increasing and idempotent, it is called an *algebraic filter*. Regarding the extensivity property, there are two types of algebraic filters: *anti-extensive* or *extensive* algebraic filters are respectively called *algebraic openings* or *algebraic closings*. Openings and closings share the common properties of increasingness and idempotence, but are dual with respect to extensivity. Thanks to this duality, we can limit the scope of this chapter to openings; handling closings brings similar results.

Figure 4 shows the effect of an algebraic opening  $Q_n$  that rounds grey-scale values to a closest inferior multiple of an integer n; this operator is called *quantization* in signal processing. It is





FIGURE 4. Quantization operator. (a) Original grey-scale image, (b) image rounded to the closest inferior multiple of 10, and (c) image rounded to the closest inferior multiple of 50.

increasing, anti-extensive, and idempotent, but it should be noted that if none f(x) is a multiple of n, then  $f(x) \neq \psi(f(x))$  for all  $x \in \mathcal{E}$ . In other words, quantization may produce values that are not present in the original image and thus have questionable statistical significance.

Note that the definition of *order* is a pointwise property. f(x) is compared with g(x) or  $\gamma(fx)$ ) but not compared with the value at a different location (called *pixel* in image analysis). In practice, however, neighboring pixels share some common physical significance that, for example, *rank operators* explore. A rank operator of rank k within a discrete sliding window centered at a given location x is obtained by sorting in ascending order the values falling inside the window and by selecting as output value for x the kth value in the sorted list. Some of the best-known rank operators are the local minimum and maximum operators. In mathematical morphology, these operators are referred to as *erosion* and *dilation*, respectively, and the window itself is termed a *structuring element* or a *structuring set*. There filters are also referred to as *min*- or *max-filters* in the literature. The presence of some interaction between neighboring pixels introduced by rank operators is why their characterization becomes more challenging.

Consider a complete lattice  $(\mathcal{L}, \leq)$ . To elaborate on the notion of neighborhood, we propose the definition of a property called *spatiality*.

**Definition 4.** An operator  $\psi$  on  $(\mathcal{L}, \leq)$  is said to be *spatial* if for every location  $x \in \mathcal{E}$  and for every function f, there exists  $y \in \mathcal{E}$  such that

(2) 
$$\psi(f(x)) = f(y),$$

and at least one y is different from x. The trivial case of x = y, for every  $x \in \mathcal{E}$ , is thus excluded.

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As explained previously, the quantization operator is not spatial because it does not consider the neighborhood of x.

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Assuming that an image is the result of an observation, the smaller the choice of the neighborhood for finding y, the higher the physical correlation between pixels will be. On purpose, there is no notion of distance between x and y in the definition of spatiality, although one hopes that operators with a reasonable physical significance should restrict the search for y to a close neighborhood of x.

Spatiality constrains operators to select values in the neighborhood of a pixel. But the underlying question that is twofold remains: (1) Does an operator drive any input to a root signal (this is called the *convergence property*), and (2) if not, do oscillations propagate? Root signals have been studied with a particular emphasis on median filters (Arce and Gallagher, 1982; Arce and McLoughlin, 1987; Astola et al., 1987; Eberly et al., 1991; Eckhardt, 2003; Gallagher and Wise, 1981).

The convergence property is of no particular interest for idempotent operators, as  $\psi(\psi(f)) = \psi(f)$ , so that the question becomes that of determining the subset of locations  $x \in \mathcal{E}$  such that  $f(x) = \psi(f(x))$ . In different terms, the study of the invariance domain  $\operatorname{Inv}(\psi)$  is a key to a better understanding of  $\psi$ ; indeed, characterizing locations for a function f with respect to  $\psi$  can help implement the operator (as shown in Van Droogenbroeck and Buckley, 2005).

### 1.3. Anchors

To analyze the behavior of some operators, we introduce the concept of *anchors*. We now define this concept, which can be seen as an extension of that of roots. An anchor is essentially a version of the root notion where the domain of definition is reduced to a subset of it.

**Definition 5.** Given a signal f and an operator  $\psi$  on a complete lattice  $(\mathcal{L}, \leq)$ , the pair comprising a location x in the domain of definition of f and the value f(x) is an *anchor* for f with respect to  $\psi$  if

$$(3) \qquad \qquad \psi(f)(x) = f(x).$$

In marketing terms, one would say "The right value at the right place."

The set of anchors is denoted  $A_{\psi}(f)$ . Note that Definition 5 differs from the initial definition provided in Van Droogenbroeck and Buckley (2005) to emphasize the role of both the location x and the value of f(x). We provide an illustration in Figure 5. In this particular case, there is no evidence that anchors should always exist. Take a grey-scale image whose values f(x) are all odd, then  $Q_2(f)$  has no anchor, although f and  $Q_2(f)$  look identical.

The existence of anchors is an open issue. Also it is interesting to determine whether an order between operators implies a similar inclusion order between anchor sets. In general,  $\gamma_1 \leq \gamma_2$  is no guarantee to establish an inclusion of their respective anchor sets. However, drawings (d) and (e) of Figure 5 suggest that  $A_{Q_{50}}(f) \subseteq A_{Q_{10}}(f)$ , which is true in this case because, in addition to  $Q_{50}(f) \leq Q_{10}(f)$ ,  $Q_{50}(f)$  "absorbs"  $Q_{10}(f)$ ; more precisely, it is required that  $Q_{50}(f) = Q_{50}(Q_{10}(f))$  (see Theorem 8).

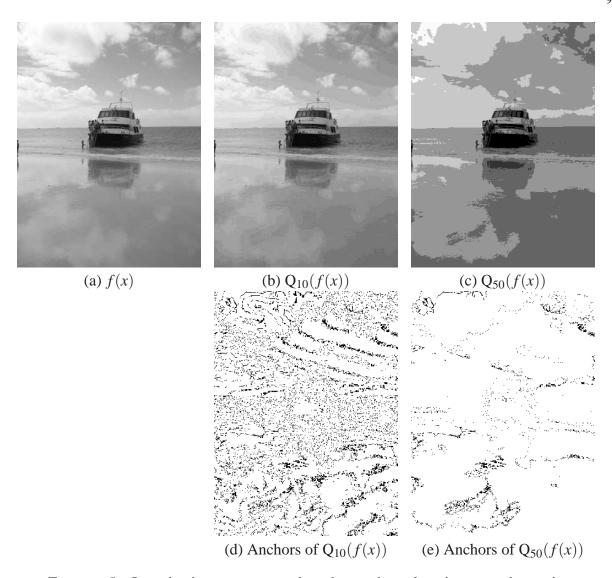


FIGURE 5. Quantization operator and anchors whose locations are drawn in black in (d) and (e). (a) Original image, (b) image rounded to the closest inferior multiple of 10, (c) image rounded to the closest inferior multiple of 50, (d) and (e), respectively, anchors of (b) and (c).

Figure 6 shows anchors of two other common operators: morphological erosions and openings (detailed further in Section 2).

Papers dealing with roots, convergence, or invariance domains focus either on the operator itself or on the entire signal. Anchors characterize a function locally, but they also help in finding algorithms, or interpreting existing algorithms. Van Droogenbroeck and Buckley (2005) presented algorithms applicable to morphological operators based on linear structuring elements and show how they offer an alternative to implementations like the one of van Herk (1992).

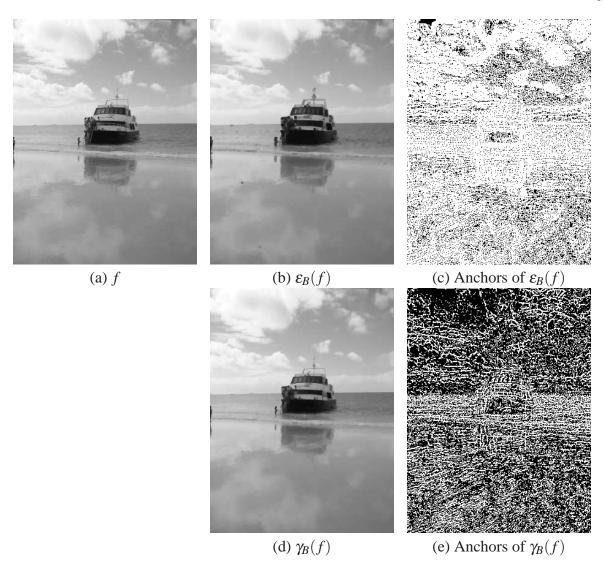


FIGURE 6. Illustration of anchors [marked in black in (c) and (e)]. (a) Original image, (b) an image eroded by a  $3 \times 3$  square structuring element, (c) anchor locations of  $\varepsilon_B(f)$ , (d) an image opened by a  $3 \times 3$  square structuring element, and (e) anchor locations of  $\gamma_B(f)$ .

In this chapter, we use an algebraic framework, with an eye on the geometrical notions, to expose the notion of *anchors*. The remainder of this chapter is organized as follows: Section 2 recalls several definitions and details theoretical results valid for morphological operators; anchors related to morphological operators are called *morphological anchors*. This section rephrases many results presented in Van Droogenbroeck and Buckley (2005). Section 3 extends the notion of anchors to the framework of algebraic operators. In particular, we present the concept of *algebraic anchors* that applies for algebraic openings and closings. The major contribution is the proof that if some operators might have no anchors (remember the case of the quantization

operator  $Q_2$  of an image filled with odd grey-scale values), classes of openings and closings, others than their morphological "brothers," have anchors, too.

#### 2. MORPHOLOGICAL ANCHORS

After a brief reminder on basic morphological operators, we emphasize the role of anchors in the context of erosions and openings by discussing their existence and density. It is shown that anchors are intimately related to morphological openings and closings (their duals), and that the existence of anchors is guaranteed for openings. Furthermore, it is possible to derive properties useful for the implementation of erosions and openings. Section 3 generalizes a few results in the case of algebraic openings.

# 2.1. Set and Function Operators

If  $\mathscr E$  is the continuous Euclidean space  $\mathbb R^n$  or the discrete space  $\mathbb Z^n$ , then the translation of x by b is given by x+b. To translate a given set  $X\subseteq \mathscr E$  by a vector  $b\in \mathscr E$ , it is sufficient to translate all the elements of X by b:  $X_b$  is defined by  $X_b=\{x+b|x\in X\}$ . Due to the commutativity of +,  $X_b$  is equivalent to  $b_X$ , where  $b_X$  is the translate of b by all elements of X.

Let us consider two subsets X and B of  $\mathscr{E}$ . The erosion and dilation of these sets by a set B are respectively defined as

(4) 
$$X \ominus B = \bigcap_{b \in B} X_{-b} = \{ p \in \mathscr{E} | B_p \subseteq X \},$$

(5) 
$$X \oplus B = \bigcup_{b \in B} X_b = \bigcup_{x \in X} B_x = \{x + b \mid x \in X, b \in B\}.$$

For  $X \oplus B$ , X and B are interchangeable, but not for the erosion, where it is required that  $B_p$  be contained within X. Note that there are as many erosions as sets B. As B serves to enlighten some geometrical characteristics of X, it is called a *structuring element* or *structuring set*. Although the window shape might be arbitrary, it is common practice in applied image analysis to use linear, rectangular, or circular structuring elements. If B contains the origin o,

(6) 
$$X \ominus B = \bigcap_{b \in B} X_{-b} = \left(\bigcap_{b \in B \setminus \{o\}} X_{-b}\right) \cap X,$$

which is included in X. Therefore, if  $o \in B$ , the erosion and dilation are, respectively, anti-extensive and extensive. In addition, both operators are increasing but not idempotent.

Because erosions and dilations are, respectively, anti-extensive and extensive (when the structuring element contains the origin), the cascade of an erosion and a dilation suggests itself. This set, denoted by  $X \circ B$ , is called the *opening* of X by B and is defined by

$$(7) X \circ B = (X \ominus B) \oplus B.$$



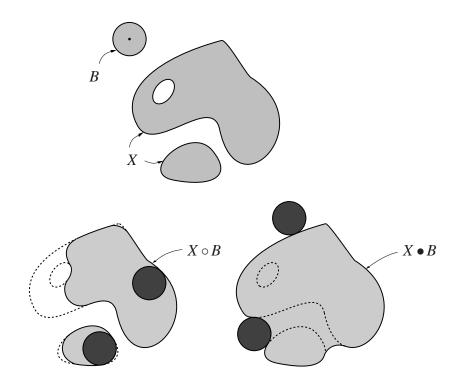


FIGURE 7. Opening and closing with a ball *B*.

Similarly, the *closing* of X by B is the dilation of X followed by the erosion, both with the same structuring element. It is denoted by  $X \bullet B$  and defined by  $X \bullet B = (X \oplus B) \ominus B$ . Dilations and erosions are closely related although not inverse operators. A precise relation between them is expressed by the duality principle (Serra, 1982) that states that

(8) 
$$X \ominus B = (X^c \oplus \check{B})^c \text{ or } X \oplus B = (X^c \ominus \check{B})^c,$$

where the *complement* of X, denoted  $X^c$ , is defined as  $X^c = \{p \in \mathcal{E} | p \notin X\}$ , and the *symmetric* or *transposed* set of  $B \subseteq \mathcal{E}$  is the set  $\check{B}$  defined as  $\check{B} = \{-b | b \in B\}$ . Therefore, all statements concerning erosions and openings have an equivalent form for dilations and closings and vice versa.

When B contains the origin,  $X \ominus B$  is the union of locations p that satisfy  $B_p \subseteq X$ . When a dilation is applied to this set, the resulting set sums  $p_B$ -like contributions, which are equivalent to  $B_p$ . So  $X \circ B$  is the union of  $B_p$  that fits into X:

$$(9) X \circ B = \{B_p | B_p \subseteq X\}.$$

In addition, it can be shown that  $X \circ B$  is identical to  $X \circ B_p$ , so that the opening does not depend on the position of the origin when choosing B. The interpretation of  $X \circ B$  as the union  $\{B_p | B_p \subseteq X\}$  is referred to as the *geometrical interpretation* of the morphological opening. A similar interpretation yields for the closing. The closing is the complementary set of the union of all the translates  $B_p$  contained in  $X^c$ . Figure 7 illustrates an opening and a closing with a ball.

The geometrical interpretation suffices to prove that if  $X \circ B$  is not empty, then there are at least #(B) anchors, where #(B) denotes the *cardinality* or *area* of B. The existence of anchors for  $X \ominus B$  is less trivial; assume that X is a chessboard and  $B = \{p\}$ , where p is located at the distance of one square of the chessboard. In this case,  $X \ominus B = X_p$  and  $X \cap X_p = \emptyset$ ;  $A_{X \ominus B}(X)$  is empty. To the contrary, if  $o \in B$  and  $X \ominus B$  is not empty, then the erosion of X by B has anchors. In the following, we define operators on grey-scale images and then discuss the details of anchors related to erosions and openings.

Previous definitions can be extended to binary and grey-scale images. If f is a function and  $b \in \mathcal{E}$ , then the *spatial translate* of f by b is defined by  $f_b(x) = f(x-b)$ . The spatial translate is also called *horizontal* translate. The *vertical translate*, used later in this chapter, of a function f by a value v is defined by  $f^v(x) = f(x) + v$ . The vertical translate shifts the function values in the grey-scale domain.

The erosion of a function f by a structuring element B is denoted by  $\varepsilon_B(f)(x)$  and is defined as the infimum of the translations of f by the elements -b, where  $b \in B$ 

(10) 
$$\varepsilon_B(f)(x) = \bigwedge_{b \in B} f_{-b}(x) = \bigwedge_{b \in B} f(x+b).$$

Likewise, we define the dilation of f by B,  $\delta_B(f)(x)$ , as

(11) 
$$\delta_B(f)(x) = \bigvee_{b \in B} f_b(x) = \bigvee_{b \in B} f(x-b).$$

Note that we consider so-called flat structuring elements; more general definitions using a non-flat structuring elements exist but they are not considered here.

Just as for sets, the *morphological opening*  $\gamma_B(f)$  and *closing*  $\phi_B(f)$  are defined as compositions of erosion and dilation operators:

(12) 
$$\gamma_B(f) = \delta_B(\varepsilon_B(f)),$$

(13) 
$$\phi_B(f) = \varepsilon_B(\delta_B(f)).$$

Figure 8 shows the effects of several morphological operators on an image.

Again,  $\varepsilon_B(f)$  and  $\delta_B(f)$ , and  $\gamma_B(f)$  and  $\phi_B(f)$  are duals of each other (Serra, 1982), which is interpreted as stating that they process the foreground and the background symmetrically. If, by convention, we choose to represent low values with dark pixels in an image (background) and large values with white pixels (foreground), erosions enlarge dark areas and shrinks the foreground.

From all the previous definitions, it can be seen that erosions, dilations, openings, and closings are *spatial operators*, as defined previously. They use values taken in the neighborhood.

Heijmans (1984) and other authors have shown that set operators can be extended to function operators and hence the entire apparatus of morphology on sets is applicable in the grey-scale case as well. The underlying idea is to slice a function f into a family of increasing sets obtained

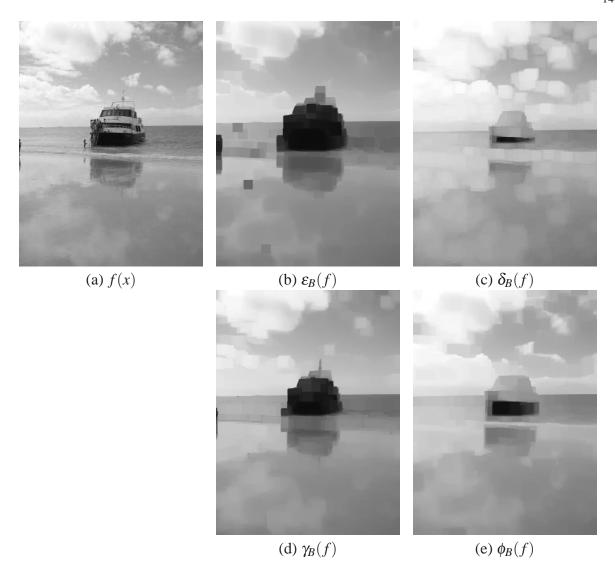


FIGURE 8. Original image (a), erosion (b), dilation (c), opening (d), and closing (e), with a  $15 \times 15$  square.

by thresholding f. Without further details, consider a complete lattice  $\operatorname{Fun}(\mathscr{E},R)$ . We associate a series of threshold sets to f as defined by (Figure 9)

(14) 
$$X(t) = \{x \in \mathcal{E} | f(x) \ge t\}.$$

Note that X(t) is decreasing in t and that these sets obey the continuity condition

(15) 
$$X(t) = \bigcap_{s < t} X(s).$$

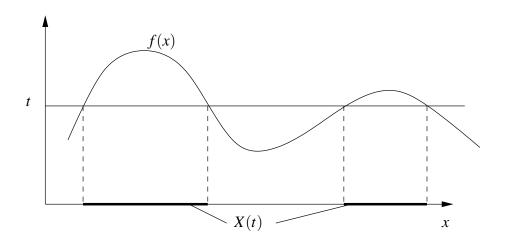


FIGURE 9. The profile of a function f and one of its threshold sets.

In addition, there is a one-to-one correspondence between a function and families of sets X(t). In fact, the function f can be recovered from the series of X(t) by means of  $f(x) = \bigvee\{t \mid x \in X(t)\}$ ; this is the *threshold superposition principle*. One interesting application of the correspondence is the possibility to interpret an opening on f as the union of B that fits in the threshold sets. From an implementation point of view, this leads to alternative definitions for morphological operators. Although morphological openings were defined as the cascade of an erosion followed by a dilation [see Eq. (12)], this does not mean that one must implement an opening according to its definition. Examples of the conclusion are, among others, the two implementations of an opening with a line proposed in Van Droogenbroeck (1994) and Vincent (1994). These implementations scans the image line by line and use threshold sets to compute the opening.

### 2.2. Theory of Morphological Anchors

Let us first consider the simple case of the set opening of X by B. If X is empty,  $X \circ B$  is empty, and there is no anchor. Similarly, if  $X = \mathscr{E}$  and B is finite,  $X \circ B = \mathscr{E}$ , all points are again anchors. Leaving these trivial cases, let us take X containing some elements of  $\mathscr{E}$ . As  $X \circ B \subseteq X$ , if  $X \circ B$  is not empty, all locations of  $X \circ B$  are anchors. Therefore, in the binary case, anchors do always exist for non-empty sets.

For openings, the notion of anchors is linked to that of invariance domain. Remember that the opening of X by B is the union of the translate of B that fit into X. Therefore, the corresponding invariance domain of  $X \circ B$  is given by  $\text{Inv}(X \circ B) = \{Y \oplus B | Y \in \mathscr{P}(\mathscr{E})\}$ . Accordingly, if  $X \circ B$  is not empty, there exists a set Y and, as  $X \circ B \subseteq X$ , the amount of anchors must be larger than #(Y) + #(B) for continuous sets (#(Y) + #(B) - 1) on a digital grid).

If  $\psi$  is an opening by B, then one can derive, from the decomposition of f by threshold sets or equivalently by the geometrical interpretation of an opening, that the lower bound of a function f is an anchor, if the lower bound exists. However, some topological issues arise here. To circumvent the case of functions such as  $f(x) = \frac{1}{x}$  for x > 0 which have no lower bound, we have previously restricted the range of grey-scale values to a finite set (which means that it is

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countable) or a closed interval. Likewise, we must deal with finite structuring elements to be able to count the number of anchors. Both finiteness assumptions are used in the following. Consequently, there is at least one global minimum, and at least one anchor point. That is,

**Theorem 1.** Consider a finite structuring element B, the set of anchors of a morphological opening is always non-empty:

$$(16) A_{\gamma_{B}}(f) \neq \emptyset.$$

We provide an improved formal statement on the number of anchors for openings later.

Note that the position of the origin in B has no influence on the set of anchors of  $\gamma_B(f)$ . This originates from the corresponding property on the operator itself, that is,  $\gamma_B(f) = \gamma_{B_p}(f)$ , for any p (on a infinite domain).

Similar properties do not hold for erosions. In fact, the set of anchors of a morphological erosion may be empty, and the location of the origin plays a significant role; a basic property states that  $X \ominus B_p = (X \ominus B)_{-p}$ . Figure 10 shows two erosions with a same but translated structuring element. Note that the choice of the origin in the middle of B is no guarantee for the number of anchors to be larger.

Again, based on the interpretation of openings in terms of threshold sets, larger structuring elements are less likely to lead to large sets of anchors. Indeed, large structuring elements do not fit into higher threshold sets, so that at higher grey-scale levels there are fewer anchors. Figure 11 shows the evolution of the cardinality of  $A_{\gamma_B}(f)$ , as the size of B increases.

# 2.3. Local Existence of Anchors

Because  $\delta_B(f)$  is defined as  $\bigvee_{b \in B} f(x-b)$ , the dilation is a *spatial* operator. So the supremum (or maximum for real images) is reached at a given location p such that  $\delta_B(f)(x) = f(p)$ , where p = x - b'. But if  $b' \in B$ , then  $p \in \check{B}_x$ ;  $\check{B}_x$  is the symmetric of B translated by x. Up to a translation,  $\check{B}_x = x + \check{B}$  defines the neighborhood where the supremum for x can be found. Intuitively, there are as many anchor candidates for  $\delta_B(f)$  as disjoint sets like  $\check{B}_x$ . Similar arguments lead to a relation valid for erosions. The following proposition gives the respective neighborhoods:

**Proposition 1.** If B is finite and x is any point in the domain of definition of f, then

(17) 
$$\delta_B(f)(x) = f(p)$$

(18) 
$$\varepsilon_B(f)(x) = f(q)$$

for some  $p \in \check{B}_x$ , and some  $q \in B_x$ .

We can combine Eqs. (17) and (18) to find the neighborhoods of openings and closings. From Eq. (12), we have  $\gamma_B(f) = \delta_B(\varepsilon_B(f))$ . Therefore,  $\gamma_B(f)(x) = \varepsilon_B(f)(p)$  with  $p \in \check{B}_x$ . Similarly,  $\varepsilon_B(f)(p) = f(q)$  with  $q \in B_p$ . So we have  $\gamma_B(f)(x) = f(q)$ , and  $q \in (\check{B} \oplus B)_x = (B \oplus \check{B})_x$ . For the closing, the neighborhood is identical. This can be summarized as

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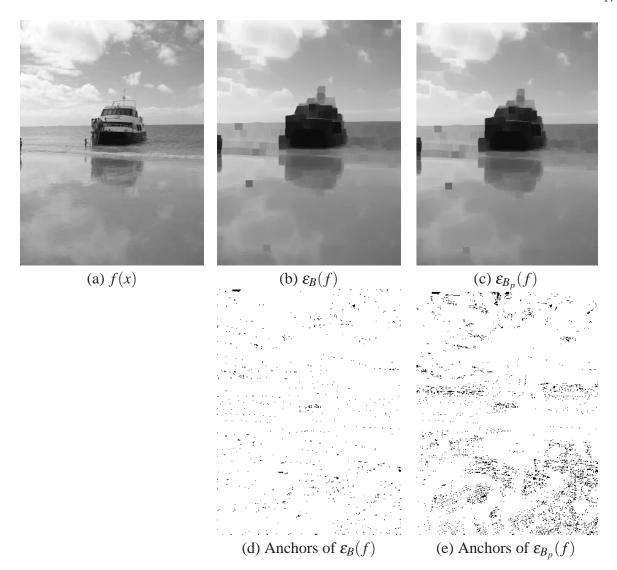


FIGURE 10. Original image (a), erosion by B (b), erosion by  $B_p$  (c), and their anchor sets marked in  $\mathscr{E}$ , respectively, (d) and (e). B is a 11 × 11 centered square and p = (5, 5).

**Proposition 2.** If B is finite and x is any point in the domain of definition of f, then

(19) 
$$\gamma_B(f)(x) = f(p)$$

$$\phi_B(f)(x) = f(q)$$

for some  $p, q \in (B \oplus \check{B})_x$ .

As mentioned previously, the openings and closings are insensitive to the location of the origin of the structuring element. Let us consider  $B_r$  instead of B and compute the corresponding neighborhood. As  $(\check{B}_r) = (\check{B})_{-r}$ , this neighborhood becomes  $(B_r \oplus \check{B}_r)_x = (B \oplus \check{B})_{x+r-r} = (B \oplus \check{B})_x$ 

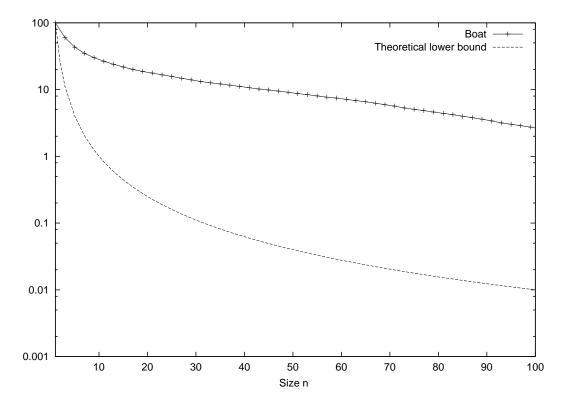


FIGURE 11. Percentage of opening anchors with respect to a size parameter n; B is an  $n \times n$  square structuring element and the percentage is the ratio of the cardinality of  $A_{\gamma_B}(f)$  to the image size. The figure also displays the lower bound established later in the chapter.

 $\check{B}$ )<sub>x</sub>. Also, note that  $B \oplus \check{B}$  always contains the origin, which means that  $x \in (B \oplus \check{B})_x$  in all cases. To the contrary, if B does not contain the origin, the neighborhood of x for a dilation (that is  $\check{B}_x$ , does not contain x, nor does  $B_x$  for the erosion).

Let us now consider that  $o \in B$ . Then the dilation is extensive:  $f(x) \le \delta_B(f)(x)$ . If f is bounded, then there exists  $r \in \mathcal{E}$  such that f(r) is the upper bound of f. As r belongs to its own neighborhood and f(r) is an upper bound,  $\delta_B(f)(r) \le f(r)$  too. This means that (r, f(r)) is an anchor with respect to the dilation:  $\delta_B(f)(r) = f(r)$ . In other words, the (r, f(r)) pair of an upper bound is an anchor for the dilation when the structuring element B contains the origin.

In contrast to the cases of dilations and erosions, the number of anchors for the opening is not limited by the number of lower or upper bounds. To get a better lower bound of the cardinality of anchors, we establish a relationship between erosion anchors and openings. By definition and according to Eq. (18),

(21) 
$$\varepsilon_B(f)(x) = \bigwedge_{b \in B} f(x+b) = \bigwedge_{q \in B_x} f(q).$$

doi:10.1016/S1076-5670(09)00010-X

As *B* is finite, there exists  $q \in B_x$  such that

(22) 
$$\varepsilon_B(f)(x) = f(q).$$

Next we show that (q, f(q)) is an anchor for the opening. As before, note that  $q \in B_x$  implies  $x \in (\check{B})_q$ . Now

(23) 
$$\gamma_B(f)(q) = \bigvee_{r \in (\check{B})_q} \varepsilon_B(f)(r)$$

$$(24) \geq \varepsilon_B(f)(x)$$

$$(25) = f(q)$$

As before, we use the anti-extensivity property of an opening, that is  $\gamma_B(f) \leq f$ . This proves that  $\gamma_B(f)(q) = f(q)$  and therefore (q, f(q)) is an anchor for the opening. The following theorem establishes a formal link between erosion and opening anchors.

**Theorem 2.** If B is finite and x is any location in the domain of definition of f, then

(26) 
$$\varepsilon_B(f)(x) = \gamma_B(f)(p)$$

for some  $p \in B_x$ . Moreover (p, f(p)) is an anchor for  $\gamma_B(f)$ , that is

(27) 
$$\gamma_B(f)(p) = f(p).$$

The density of anchors for the opening is thus related to the size of  $B_x$ . It is also true that for each  $(B \oplus \check{B})_x$ -like neighborhood, there is an anchor for  $\gamma_B(f)$ . To prove this result, remember that

(28) 
$$\gamma_B(f)(x) = \varepsilon_B(f)(p) = f(q)$$

for some  $p \in \check{B}_x$  and  $q \in B_p$ . Next, we want to prove that (q, f(q)) is an anchor.

By definition,  $\gamma_B(f)(q)$  may be written as

(29) 
$$\gamma_{B}(f)(q) = \bigvee_{r \in (\check{B})_{q}} \varepsilon_{B}(f)(r).$$

However  $r \in (\check{B})_q$  implies that  $q \in B_r$ . Then, according to Eq. (28),

(30) 
$$\gamma_B(f)(q) = \bigvee_{r \in (\check{B})_q} \varepsilon_B(f)(r) \ge \varepsilon_B(f)(p)$$

and, as  $\varepsilon_B(f)(p) = f(q)$ ,

(31) 
$$\gamma_B(f)(q) \ge \varepsilon_B(f)(p) = f(q).$$

But openings are anti-extensive, which means that  $\gamma_B(f)(q) \leq f(q)$ . This proves that (q, f(q)) is an opening anchor.

**Theorem 3.** If B is finite and x is any point in the domain of definition of f, then

(32) 
$$\gamma_B(f)(x) = \gamma_B(f)(q) = f(q)$$

for some  $q \in (B \oplus \check{B})_x$ .

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doi:10.1016/S1076-5670(09)00010-X

Theorems 2 and 3 lead to bounds for the number of anchors because they establish the existence of anchors locally. Intuitively, regions with a constant grey-scale value contain more anchor points; in such a neighborhood all points will be anchors. But the number of anchors is also related to the size of the structuring element. Theorem 3 specifies that at least one opening anchor exists for each region of type  $(B \oplus \check{B})_x$ . Surprisingly, it is Theorem 2, which links erosion to opening, that provides the tightest lower bound for the density of opening anchors:

$$\frac{1}{\#(B)}$$

This limit is the minimum proportion of opening anchors contained in an image; it is plotted on Figure 11. It is reachable only if  $\mathscr{E}$  can be tiled by translations of B. Where such tiling is not possible, for example, when B is a disk, this bound is conservative. Note also that the number of opening anchors is expected to decrease when the size of B increases. This phenomenon is illustrated in Figure 12, where opening anchors have been overwritten in black.

## 2.4. Algorithmic Properties of Morphological Anchors

In addition to providing a weak bound for the number of anchors, Theorem 3 has an important practical consequence. It shows that all the information needed to compute  $\gamma_B(f)$  is contained in its opening anchors. In other words, from a theoretical point of view, it is possible to reconstruct  $\gamma_B(f)(x)$  from a subset of  $A_{\gamma_B}(f)$ . The only pending question is how to determine this subset of  $A_{\gamma_B}(f)$ . Should an algorithm be able to detect the location of opening anchors that influence their neighborhood, it would provide the opening for each x immediately. Unfortunately, unless f(x) has been processed previously and information on anchors has been collected, there is no way to locate anchor points. But with an appropriate scanning order and a linear structuring element, it is possible to retain some information about f to locate anchor points effectively. Such an algorithm has been proposed by Van Droogenbroeck and Buckley (2005). Figure 13 shows the computation times of such an algorithm for a very large image and a linear structuring element L whose length varies. For this figure, one image was built by tiling pieces of a natural image, the other was filled randomly to consider the worst case.

An interesting characteristic of this algorithm is that the computation times decrease with the size of the structuring element. To explain this behavior, remember that the number of anchors also decreases with the size of *B*. Because the algorithm is based on anchors, there are fewer anchors to be found. Once an anchor is found, it is efficient in propagating this value in its neighborhood.

We have thus so far worked on the opening, but we can use Theorem 2 and anchors for a different algorithm to compute the erosion. Because the set of erosion anchors may be empty, we cannot rely on erosion anchors to develop an algorithm to compute the erosion. However, it is known (Heijmans, 1994) that the erosion of f is equal to the erosion of f is f is any function f and f. The conclusion is that the computation of erosions should be based on opening anchors rather than on erosion anchors. Computation times of such an algorithm for several erosions are displayed in Figure 14, side by side to that of the opening.

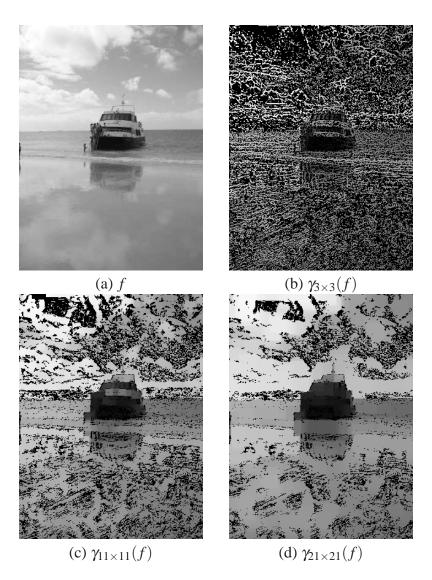


FIGURE 12. Density of opening anchors for increasing sizes of the structuring element. From left to right, and top to bottom: original (a) and openings with a squared structuring element B (of size  $3 \times 3$ ,  $11 \times 11$ , and  $21 \times 21$  respectively).

The algorithm for the erosion is slower for two reasons: Anchors are to be propagated in a smaller neighborhood and the propagation process is more complicated than in the case of the opening. However, this shows that opening anchors are also useful for the computation of erosions.

Note that the relative position of the computation times curves is unusual. Openings are defined as the cascade of an erosion followed by a dilation, so slower computation of openings would be expected. Figure 14 contradicts this belief.

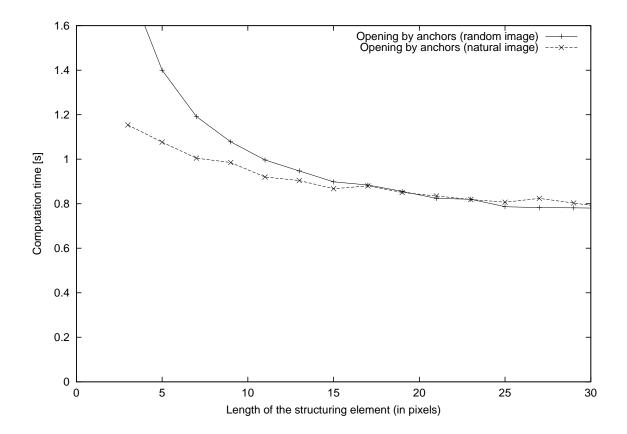


FIGURE 13. Computation times on two images (of identical size).

To close the discussions on morphological anchors, let us examine the impact of the shape of B on the implementation. The shape of B is usually not arbitrary: Typical shapes include lines, rectangles, circles, hexagons, and so on. If B is constrained to contain the origin or to be symmetric, we can derive useful properties for implementations.

Suppose, for example, that (p, f(p)) is an anchor with respect to the erosion  $\varepsilon_B(f)$  and that B contains the origin o. Then the dilation is extensive  $(\delta_B(f) \ge f)$  and therefore

(34) 
$$f(p) = \varepsilon_B(f)(p) \le \delta_B(\varepsilon_B(f))(p) = \gamma_B(f)(p).$$

But openings are anti-extensive  $(\gamma_B(f) \le f)$  so that  $\gamma_B(f)(p) = f(p)$ . In other words, an anchor for  $\varepsilon_B(f)$  is always an anchor for  $\gamma_B(f)$  when B contains the origin as below,

**Theorem 4.** *If*  $o \in B$  *and* (p, f(p)) *is an anchor for the erosion*  $\varepsilon_B(f)$ *, then* 

$$(p, f(p)) \in A_{\gamma_B}(f)$$
.

Another interesting case occurs when B is symmetric (that is when  $B = \check{B}$ ). This covers B being a rectangle, a circle, an hexagon, and so on (many software packages propose only morphological operations with symmetric structuring elements to facilitate handling border effects). Anchors

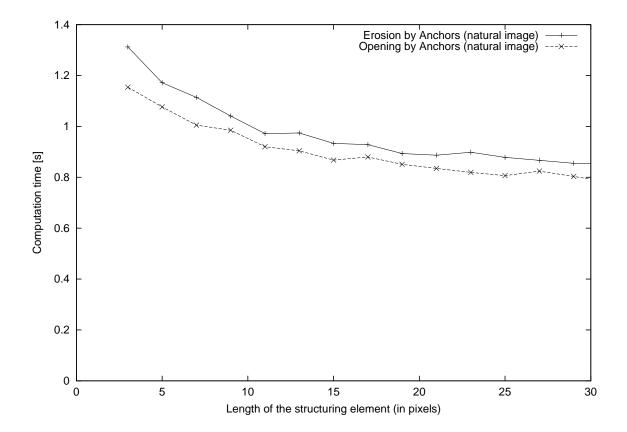


FIGURE 14. Computation times of two algorithms that use opening anchors to compute the erosion and the morphological opening.

of operations with B and  $\check{B}$  then coincide and it is equivalent to scan images in one order or in the reverse order.

# 3. Anchors of Algebraic openings

The existence of anchors has been proven for morphological openings. The question is whether the existence of anchors still holds for other types of openings, or even for any algebraic opening. From a theoretical perspective, an operator is called an *algebraic opening* if it is *increasing*, *anti-extensive*, and *idempotent*. Therefore, algebraic openings include but are not limited to morphological openings. Known algebraic openings are area openings (Vincent, 1992), openings by reconstruction (Salembier and Serra, 1995), attribute openings (Breen and Jones, 1996), and so on. The family of algebraic openings is also extensible, as there exist properties, like the one given hereafter, that can be used to engineer new openings.

**Proposition 3.** If  $\gamma_i$  is an algebraic opening for every  $i \in I$ , then the supremum  $\bigvee_{i \in I} \gamma_i$  is an algebraic opening as well.

Attribute openings are most easily understood in the binary case. Unlike morphological openings, attribute openings preserve the shape of a set X, because they simply test whether or not a connected component satisfies some increasing criterion  $\Gamma$ , called an *attribute*. An example of valid attribute consists of preserving a set X if its area is superior to  $\lambda$  and removing it otherwise. This is, in fact, the surface area opening. More formally, the attribute opening  $\gamma_{\Gamma}$  of a connected set X preserves this set if it satisfies the criterion  $\Gamma$ :

(35) 
$$\gamma_{\Gamma}(X) = \begin{cases} X, & \text{if } X \text{ satisfies } \Gamma, \\ \emptyset, & \text{otherwise.} \end{cases}$$

The definition of attribute openings can be extended to nonconnected sets by considering the union of all their connected components. Since the attribute is increasing, attribute openings can be directly generalized to grey-scale images using the threshold superposition principle. Such openings always have anchors. But do all openings have anchors?

The reason we fail to prove that all openings have anchors is as follows. Let us consider an algebraic opening  $\gamma$ . Since  $\gamma$  is increasing (as it is an opening),  $\gamma$  is upper bounded by the identity operator:  $\gamma \leq I$ . Assume now that  $A_f(\gamma) = \emptyset$ , then  $\gamma < I$ . Remember that  $\gamma$  is also anti-extensive; it follows that  $\gamma \gamma \leq \gamma I$ . Would it instead be here that  $\gamma \gamma < \gamma I$  (this property is not true!), then using the property of idempotence  $\gamma \gamma = \gamma$ , and one would conclude that  $\gamma < \gamma$ , which is impossible and anchors would exist in all the cases. But  $\gamma \gamma \leq \gamma I$  and not  $\gamma \gamma < \gamma I$ , so that we derive that the anti-extensivity itself does not provide a strict order and that it gives some freedom on the operator to allow functions not to have some anchors. The properties of an algebraic opening are not sufficient to guarantee the existence of anchors. We need to introduce additional requirements on an algebraic opening to ensure the existence of anchors.

Openings that explicitly refer to the threshold value can have no anchor. Remember the case of the quantization operator  $Q_2$  applied on an odd image. Obviously, if f(x) = 3,  $Q_2(f)(x) = 2$ ; there is no anchor. Similarly, consider an operator  $\psi(f)(x) = x \wedge f(x)$ . This operator is an opening, but if g(x) = x + 1,  $\psi(g)(x) = x$ ; again, there is no anchor. This time the opening does not refer to threshold levels but explicitly to the location, and not the *relative* location.

Two constraints are considered hereafter. The first constraint, *spatiality*, relates to the usual notion of neighborhood as used in the section on morphological anchors, and the second constraint, *shift-invariance*, relates to the ordering of function values.

**Definition 6.** An operator  $\varphi$  is *shift-invariant* if for every function f, it is equivalent to translate f vertically by v ( $v \in \mathbb{R}$ ) and apply  $\varphi$  or to apply  $\varphi$  on the vertical translate  $f^v$  (see previous definition of a vertical translate). In formal terms, for every function f and every real value v ( $v \in \mathbb{R}$ ):

(36) 
$$\varphi(f^{\nu}(x)) = \varphi(f(x) + \nu) = \varphi(f)(x) + \nu.$$

### 3.1. Spatial and Shift-Invariant Openings

Section 2 showed that the minima of a function automatically provide anchors for every morphological opening. A simple example suffices to show that this property does not necessarily hold

for any opening. Let us reconsider the previous examples and a constant function  $\bar{k}$ , defined as  $\bar{k}(x) = k$  for all  $x \in \mathcal{E}$ . If  $\psi(f) = Q_2(f)$ , then  $\psi(\bar{3}) = \bar{2}$ . In addition, for  $\psi(f)(x) = x \wedge f(x)$ , we have  $\psi(\bar{3}) \neq \bar{3}$ . Therefore, the processing of a constant function by an algebraic opening can produce a nonconstant function or a constant that takes a different value. If entropy is meant here as the cardinality of grey-scale values after processing, then to the contrary of what morphological operators suggest, the entropy of an algebraic opening may increase. Obviously, these situations do not occur for spatial openings.

Morphological openings are a particular case of *spatial openings*, denoted  $\xi$  hereafter. We have proven that the minimum values of a function are anchors with respect to a morphological opening. Let us denote by  $\min_f$ , the minimum of a lower bounded function f, and assume that the minimum is reached for  $p \in \mathscr{E}$ . Because  $\xi$  is an opening,  $\xi(f) \leq f$  for any function f. In particular,  $\xi(f)(p) \leq f(p) = \min_f$ . By definition of spatiality, for every location, including p, there exists a location q such that  $\xi(f)(p) = f(q)$ . But such a value is lower bounded by  $\min_f$ . Therefore,  $\xi(f)(p) \geq \min_f$ , and  $\xi(f)(p) = f(p) = \min_f$ .

**Theorem 5.** Consider a spatial opening  $\xi$ . Then global minima of f provide all anchors for  $\xi$ .

This theorem can also be rephrased in the following terms: Provided a set of grey-scale values of a function processed by an opening is a subset of the original set of grey-scale values, there are anchors. Indirectly, it also proves the existence of anchors for any spatial opening; to some extent, it generalizes Theorem 1.

Let us now consider the shift-invariance property. From a practical point of view, shift-invariance means that functions can handle offsets, or equivalently that offsets have no impact on the results except that the result is shifted by the same offset. This is an acceptable theoretical assumption, but in practice images are defined by a finite set of integer values (typically  $\{0, \ldots, 255\}$ ); handling an offset requires redefining the range of grey-scale values to maintain the full dynamic of values.

Consider a shift-invariant operator  $\varphi$ . Imagine, for a moment, that there is no anchor with respect to  $\varphi$ . Since  $\varphi$  is anti-extensive (as it is an opening),  $\varphi(f)(x) \leq f(x)$  becomes

$$\varphi(f)(x) < f(x)$$

for every  $x \in \mathcal{E}$ . In other words, there exists  $\lambda > 0$  such that

(38) 
$$\varphi(f)(x) + \lambda \le f(x).$$

By increasingness,  $\varphi(\varphi(f) + \lambda) \leq \varphi(f)$ . After some simplifications and using the shift-invariance property,  $\varphi(\varphi(f) + \lambda) = \varphi(\varphi(f)) + \lambda = \varphi(f) + \lambda \leq \varphi(f)$ , which is equivalent to  $\lambda \leq 0$ . But this conclusion is incompatible with our initial statement on  $\lambda$ . Therefore,

**Theorem 6.** Every shift-invariant opening  $\varphi$  has one or more anchors. For every function f,

$$(39) A_{\boldsymbol{\varphi}}(f) \neq \emptyset.$$

A subsequent question is whether the minimum is an anchor, regardless of the type of opening. Let us build a constant function filled with the minimum value of f(x); this function is denoted  $\bar{\tau}_{min}$ . Since an anchor does exist for  $\bar{\tau}_{min}$ , at least some of the values of  $\bar{\tau}_{min}$  are anchors, though not necessarily all of them (see previous discussions for  $\psi(f)(x) = x \wedge f(x)$ ). Through increasingness,  $\bar{\tau}_{min} \leq f$  implies  $\gamma(\bar{\tau}_{min}) \leq \gamma(f)$ , where  $\gamma$  is an algebraic opening. Anti-extensivity implies that  $\gamma(f) \leq f$ . We can conclude that there exists  $p \in \mathscr{E}$  such that  $\gamma(\bar{\tau}_{min})(p) = \bar{\tau}_{min}(p) \leq \gamma(f)(p) \leq f(p)$ . So, if  $f(p) = \tau_{min}$ , then  $\tau_{min} = \gamma(f(p))$ . Therefore,

**Theorem 7.** If the set of anchors with respect to an algebraic opening is always non-empty, then at least one global minimum of a function f is an anchor for that opening.

This theorem applies for morphological, spatial, and shift-invariant openings but in the two first cases, we have proven that *all* minima are anchors. Note, however, that anchors should always exist for this property to be true. Neither the quantization operator  $Q_2$  nor  $\psi(f)(x) = x \wedge f(x)$  meet this requirement.

### 3.2. Granulometries

In practice, one uses openings that filter images with several different degrees of smoothness. For example, one opening is intended to maintain many details; another opening filters the image to obtain a background image. When the openings are ordered, we have a *granulometry*.

**Definition 7.** A *granulometry* on Fun( $\mathscr{E}$ ) is a one-parameter family of openings  $\{\gamma_r | r > 0\}$ , such that

$$(40) \gamma_s \leq \gamma_r, \text{if } s \geq r.$$

If  $\gamma_s \leq \gamma_r$ , then  $\gamma_s \gamma_r \geq \gamma_s \gamma_s = \gamma_s$ . Also,  $\gamma_r \leq I$  implies that  $\gamma_s \gamma_r \leq \gamma_s$ . So that  $\gamma_s \gamma_r = \gamma_s$ . The identity  $\gamma_r \gamma_s = \gamma_s$  is proved analogously. It follows that a family of operators of granulometry also satisfies the semigroup property:

$$(41) \gamma_r \gamma_s = \gamma_s \gamma_r = \gamma_s, \ s \ge r.$$

As a result, anchor sets are ordered like the openings of a granulometry as below,

**Theorem 8.** Anchor sets of a granulometry  $\{\gamma_r | r > 0\}$  on  $Fun(\mathscr{E})$  are ordered according to

$$(42) A_{\gamma_s}(f) \subseteq A_{\gamma_r}(f).$$

There is a similar statement for morphological openings. Suppose B contains A (that is  $A \subseteq B$ ) and  $B \circ A = B$ , then, according to Haralick, Sternberg, and Zhuang (1987),

$$(43) \gamma_B(f) \le \gamma_A(f).$$

For example, *B* is a circle and *A* is a diameter, or *B* is a square and *A* is one side of the square. Note that  $A \subseteq B$  is not sufficient to guarantee that  $\gamma_B(f) \le \gamma_A(f)$ . Applying Theorem 8, we obtain

**Corollary 1.** For any function f, if  $A \subseteq B$ ,  $B \circ A = B$ , and A, B are both finite, then

$$(44) A_{\gamma_{B}}(f) \subseteq A_{\gamma_{A}}(f).$$

This theorem is essential for morphological granulometries. It tells us that if we order a family of morphological openings, anchor sets will be ordered (reversely) as well. In fact, Vincent (1994) developed on algorithm based on the concept of opening trees that is based on this property.

# 4. Conclusions

Anchors are features that characterize an operator and a function. This chapter has discussed the properties of an opening and shown how they related to anchors. First, we have established properties valid for morphological operators. Anchors then depend on the size and shape of the chosen structuring element. For example, it has been proven that anchors do always exist for openings and that global minima are anchors.

The concept of a structuring element is not explicitly present any longer for algebraic openings. It also appears that some algebraic openings have no anchor for some functions. However, with additional constraints on the openings (that is, spatiality or shift-invariance), the framework is sufficient to ensure the existence of anchors for any function f. In addition, it has been proven that the existence of anchors then implies that some global minima are anchors. This is an interesting property that could lead to new algorithms in the future.

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