Development and validation of an accelerometer-based method for quantifying gait events

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Abstract

An original signal processing algorithm is presented to automatically extract, on a stride-by-stride basis, four consecutive fundamental events of walking, heel strike (HS), toe strike (TS), heel-off (HO), and toe-off (TO), from wireless accelerometers applied to the right and left foot. First, the signals recorded from heel and toe three-axis accelerometers are segmented providing heel and toe flat phases. Then, the four gait events are defined from these flat phases. The accelerometer-based event identification was validated in seven healthy volunteers and a total of 247 trials against reference data provided by a force plate, a kinematic 3D analysis system, and video camera. HS, TS, HO, and TO were detected with a temporal accuracy \pm precision of 1.3 ms \pm 7.2 ms, -4.2 ms \pm 10.9 ms, -3.7 ms \pm 14.5 ms, and -1.8 ms \pm 11.8 ms, respectively, with the associated 95% confidence intervals ranging from -6.3 ms to 2.2 ms. It is concluded that the developed accelerometer-based method can accurately and precisely detect HS, TS, HO, and TO, and could thus be used for the ambulatory monitoring of gait features computed from these events when measured concurrently in both feet.

Keywords

Gait, walking, accelerometers, signal processing, detection, gait event, gait phases, gait cycle, heel strike, toe strike, heel-off, toe-off, validation.

1. Introduction

Conventional gait analysis techniques, such as optoelectronic motion capture systems, are often used as gold standard methods thanks to their highly accurate three-dimensional measurements of human movements [1,2]. Nevertheless, these systems are often expensive and can only be used in a controlled laboratory environment, which hinders their widespread use.

Accelerometer-based systems have been proposed as an ambulatory monitoring solution to deal with the gait analysis, e.g., [3–7]. Accelerometers allow continuous, unobtrusive assessment of gait features outside the laboratory environment. They can also be used at home, for long-term continuous assessment, as power requirements are low.

Accurate and precise gait features are useful sources of information in, e.g., pathological studies [8,9] or remote monitoring of elders' daily activities [10,11]. In this context, accelerometer-based approaches have been proposed to extract relevant gait events and gait phases [12]. Several previous gait event detection works using only accelerometers are based on learning methods, e.g., [13–15]. However, these methods strongly depend on training data and the trained model usually resembles a "black box" that clinicians may find difficult to interpret, as pointed out in [16]. Threshold-based methods have been developed to detect temporal gait events [17,18]. However, that methods may not detect some events (e.g., in case of milder acceleration peaks pointing to relevant events while being less than the threshold) or may detect events that did not occur (e.g., in case of an acceleration peak whose magnitude is larger than the threshold and is not related to a relevant event).

Despite the growing popularity of accelerometer-based systems for the quantification of human gait, the validation of the extracted accelerometer-based features against gold standard methods is scarcely reported [19], i.e., in terms of identification of fundamental temporally ordered stride events of walking: heel strike (HS), toe strike (TS), heel-off (HO), and toe-off (TO). The detection of these gait events allows a precise and accurate subdivision of each stride into stance and swing phases, and subsequently to split the stance phase into sub-phases [20,21]. The validation of the extraction of HS and the TO events identified using accelerometers has been performed previously against reference methods, e.g., [5,22,23]. However, there is limited information about the validation of measurement of TS and HO events using only accelerometers. In the study carried out

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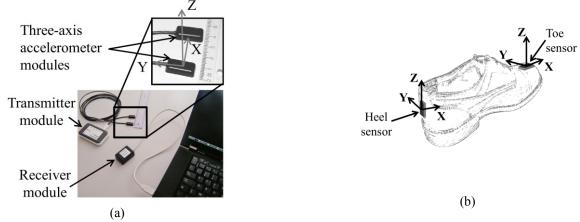


Fig. 1. (a) shows the wireless accelerometer-based hardware system. This system is applied to the left and right foot and is used in the present work with four small three-axis accelerometer modules $(2 \text{ cm} \times 1 \text{ cm} \times 0.5 \text{ cm})$ (two of them are not shown in the picture (a)). (b) shows a schematic illustration of the position of the sensors, i.e., the accelerometer modules and the Codamotion active markers. Two of these sensors are attached to each shoe (i.e., left and right shoe) at the level of the heel and the proximal part of the big toe, respectively.

by Ghoussayni et al. [24], TS and HO were detected using two adjacent force-plates. However, the contact position of the foot regarding the junction between the platforms could have a critical effect on the accuracy of the results. More recently, HO has been identified as the first frame where the derivative of the 3D gyroscopic signal norm rises above an empirical threshold [25]. This requires the numerical differentiation of this norm which may amplify the signal noise. To the best of our knowledge, no purely accelerometer-based approach has been previously presented to extract TS and HO without the need of a critical filtering step.

In this paper, we present an original signal processing algorithm that automatically extracts, on a stride-by-stride basis, four consecutive fundamental events of human walking, denoted HS_{accel} , TS_{accel} , HO_{accel} , and TO_{accel} , from the recordings of a three-axis accelerometer-based wireless hardware system [17]. This system/algorithm is applied to the left and right foot and is used here with four three-axis accelerometers. Two accelerometers are attached to each shoe at the level of the heel and the proximal part of the big toe, respectively. We validate this accelerometer-based method by comparing these gait events with reference data (denoted HS_{ref} , HO_{ref} , TS_{ref} , and TO_{ref}) provided by a force-plate, a kinematic 3D analysis system, and video camera used in various combinations. Throughout, the subscripts *accel* and *ref* refer to our method and to the reference methods, respectively.

2. Accelerometer-based hardware and algorithm

2.1 Accelerometer-based hardware system

The hardware system includes several three-axis accelerometers, a transmitter, and a receiver (Fig. 1(a)). Two accelerometers were tightly attached to the shoes, one at the level of the heel and one at the level of the forefoot of each foot, i.e., right foot and left foot (Fig. 1(b)). The wires connecting accelerometers and transmitter module (positioned at the level of the waist) were tightly strapped around the legs so as to avoid disturbing subject's movements. Acceleration data were recorded at 200 Hz and analyzed using Matlab 7.6.0.

2.2 Developed signal processing algorithm

The main steps of the developed algorithm are described hereafter. Times of occurrence of HS_{accel} , TS_{accel} , HO_{accel} , and TO_{accel} are identified mainly from the *z* axis (Fig. 1(b)).

The gravitational component is first removed from vertical acceleration signals by subtracting, from them, their respective averages over the whole signal. The algorithm then detects time intervals during which feet are on the ground. In this paper, these time intervals refer to "heel flat phases" and "toe flat phases" associated with heel and toe accelerations, respectively. It is assumed that these heel and toe flat phases correspond to

$$a_{ns} \le th_s, \tag{1}$$

where s is either h (for heel) or t (for toe); $a_{ns} = \sqrt{\ddot{x}_s^2 + \ddot{y}_s^2 + \ddot{z}_s^2}$; \ddot{x}_s , \ddot{y}_s and \ddot{z}_s are the accelerometer outputs; $th_h = 0.5 \times \text{std}(a_{nh})/\text{mean}(a_{nh})$ and $th_t = 0.5 \times \text{std}(a_{nt})/\text{mean}(a_{nt})$ are threshold accelerations. This threshold-based detection is just a rough preliminary step toward the final segmentation. Unlike in [17,18], this method is not used directly to detect specific events, but only to isolate time intervals in which the accelerometer is at rest, i.e., the three acceleration signals are close to zero, from time intervals in which the accelerometer is moving. It is thus anticipated that the selection of these thresholds do not affect the quality of the final results. This leads to heel and toe binary functions, i.e., functions equal to 0 in flat phases, and to 1 in non-flat phases (Fig. 2(a) and (b)). To precisely extract the four gait events of interest, the algorithm exploits local information related to flat phase boundaries as follows:

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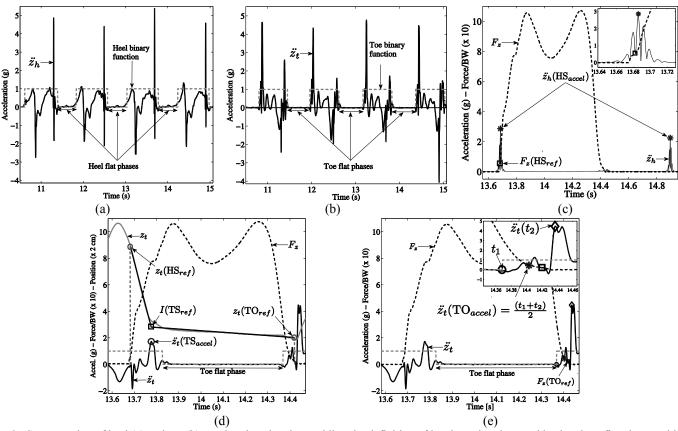


Fig. 2. Segmentation of heel (a) and toe (b) acceleration signals providing the definition of local accelerations and heel and toe flat phases with the associated binary functions. (c) HS_{accel} is detected as the time of occurrence of the maximum value of the magnitude of the filtered version of \ddot{z}_h in the second half of the heel non-flat phase; HS_{ref} is detected using the force-plate as being the first frame where the vertical force raises above 5% of body weight (BW). (d) TS_{accel} corresponds to the time of occurrence of the maximum peak of the raw \ddot{z}_t in the interval whose extremities are HS_{accel} and the lower boundary of the toe flat phase; TS_{ref} is detected in the time interval [HS_{ref} TO_{ref}] as the location of the convex curvature of the vertical toe position signal (obtained by the kinematic system), this detection is performed by the piecewise linear fitting method (Appendix A). (e) TO_{accel} is estimated as the middle of the time interval whose extremities are the upper boundary of the toe flat phase; TO_{ref} is detected using the force-plate as being the force-plate as being the first frame where the vertical toe position signal (obtained by the kinematic system), this detection is performed by the piecewise linear fitting method (Appendix A). (e) TO_{accel} is estimated as the middle of the time interval whose extremities are the upper boundary of the toe flat phase; TO_{ref} is detected using the force-plate as being the first frame where the vertical force drops below 5% of BW.

- 1. HS_{accel} is identified using the magnitude of \ddot{z}_h filtered with a fourth-order zero-lag Butterworth high-pass filter (cutoff frequency=10 Hz). HS_{accel} is detected as the time of occurrence of the maximum value of the magnitude of this filtered \ddot{z}_h in the second half of the heel non-flat phase (Fig. 2(c)). This filtering step was not critical to determine HS_{accel} in a robust way, since HS_{accel} occurs rapidly with a frequency larger than 10 Hz.
- 2. TS_{accel} is detected as the time of occurrence of the maximum peak of the raw \ddot{z}_t in the interval whose extremities are the previously determined HS_{accel} and the lower boundary of the toe flat phase (Fig. 2(d)).
- 3. The main steps to estimate HO_{accel} are as follows (Fig. 3(a)–(f)):
 - The toe binary function is first used to segment the vertical heel acceleration, leading to a signal defined in a subinterval (Fig. 3(a) and (b)).
 - The resulting segmented heel signal is then filtered with a fourth-order zero-lag Butterworth low-pass filter (cutoff frequency=20 Hz) (Fig. 3(c)). This filtering step does not alter the physical significance of the segmented signal. Since this signal corresponds to a slow movement (some milliseconds before and after HO_{accel}), there is no critical peak to be detected that could be removed erroneously in this filtering step.

The segmented acceleration signal, which was previously filtered, is integrated twice in order to calculate its associated position signal in the sub-interval (Fig. 3(d)). The drift related to this double integration is limited since the latter is performed in a small time interval (i.e., the aforementioned sub-interval). In order to estimate locations of convex curvature in the position signal (i.e., the transition regions of this position signal), we use a piecewise linear fitting method with two linear segments that best fit this position signal in the least-square sense (Appendix A). This method is applied twice (Fig. 3(e)). First, in the previous sub-interval (i.e., $[t_1 \ t_2]$), we compute a new upper boundary which refines this sub-interval (i.e., $[t_1 \ t_3]$). Second, in the new refined sub-interval (i.e., $[t_1 \ t_3]$), it is assumed that the time location of convex curvature corresponds to the estimated HO_{accel}.

4. TO_{accel} is estimated as the middle of the time interval whose extremities are the upper boundary of the toe flat phase and the maximum peak of the raw \ddot{z}_t in the first half of the toe non-flat phase (Fig. 2(e)).

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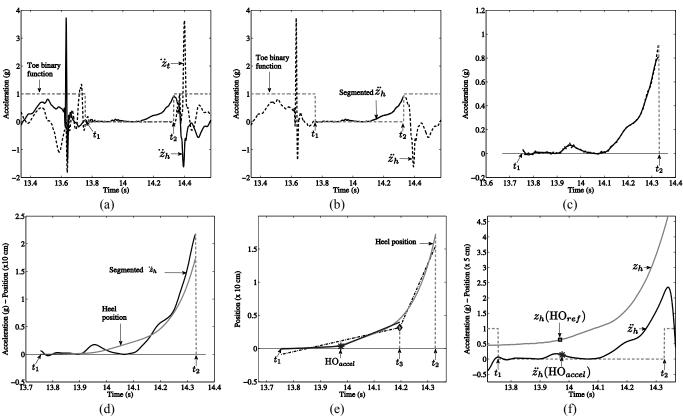


Fig. 3. Main steps to automatically detect and validate HO: (a) and (b) the heel acceleration signal, \ddot{z}_h , is segmented using the toe binary function; (c) the resulting segmented signal is filtered using the fourth-order zero-lag Butterworth low-pass filter with a cutoff frequency of 20 Hz; (d) the corresponding position signal is calculated from the filtered segmented signal; (e) the piecewise linear fitting method (Appendix A) is employed twice to estimate HS_{accel} from the resulting position signal; (f) HS_{accel} is validated against HS_{ref} provided by video camera.

3. Validation method

3.1 Subjects

Seven healthy volunteers without any known gait and lower limb pathology ((mean \pm std. dev.) age = 27 ± 2.6 years; height = 181 ± 7 cm; weight = 78 ± 9 kg) participated in the walking experiments. All of them gave informed consent. Before starting the measurements, subjects took sufficient time to get used to the instrumentation tools and the experimental procedure. During the tests, they were asked to walk on a 12-m long track, at their preferred, self-selected usual speed without paying attention to hitting the force-plate (Sec.3.2) and by looking forward to the walking direction. The duration of a single gait test was 60 s. Subjects wore their own regular shoes. All of the walking tests were performed at LAMH (Fig. 4(*left*)) [26].

3.2 Reference methods

Three reference systems have been used in various combinations to validate HS_{accel} , TS_{accel} , HO_{accel} , and TO_{accel} , i.e., a forceplate (Kistler force-plate; Kistler Instrument Corp.; Amherst, NY, USA), a kinematic 3D analysis system (Codamotion system; Charnwood Dynamics; Rothley, UK) (Fig. 4), and video camera.

The PF is located in the middle of the distance selected for walking tests. A trial is considered successful when one whole foot (either left or right) hits the force-plate, whereas the other foot does not hit this force-plate. The kinematic system is based on active optical technology; it can accurately measure the 3D position of active markers placed in the body locations of interest. In order to record the motion in the neighborhood of the force-plate, each unit (four in total) of the kinematic system is placed, e.g., at 3 m from the force-plate and at 2 m from the track (Fig. 4). The video camera (30 fps) is used to evaluate the time when the heel rises and to decide whether a trial is successful or not. It is placed close to the force-plate such that the pointing direction is approximately perpendicular to the sagittal plan. During each gait test, we collect simultaneous vertical ground reaction data at 1,000 Hz, and kinematic marker position data at 400 Hz, both for heel (calcaneus) and toe (hallux) markers. The heel and toe markers are placed upon the heel and toe accelerometers, respectively.

In order to validate the considered gait events, the synchronization of the acquired accelerations with the force data is performed offline. The method used consists in matching the time of the peak of an initial vertical ground reaction force to the time of the corresponding positive peak of the vertical heel acceleration. This is accomplished when the subject performs an

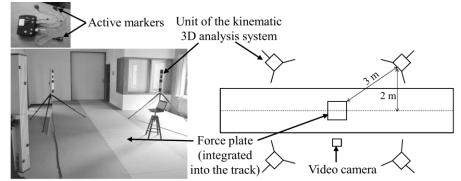


Fig. 4. View of the Laboratory of Human Motion Analysis (LAMH) of the University of Liège (*left*) and schematic illustration of the equipments (*right*) that provided reference data

initial heel strike before starting a gait test. Moreover, the acceleration data are upsampled in order to match the sampling frequency of the force data (1,000 Hz) and to increase the accuracy of the synchronization.

3.3 Reference gait events

 HS_{ref} and TO_{ref} are defined using the force-plate as being the first frame where the vertical force raises above 5% [25] of body weight and drops below this threshold, respectively.

 TS_{ref} is estimated using both the force-plate and the kinematic system as follows (Fig. 2(d)):

• HS_{ref} and TO_{ref} , which were previously determined from the vertical force data (Fig. 2(c) and (e)), are exploited to define the time interval [HS_{ref} TO_{ref}].

• In this interval, we apply the piecewise linear fitting method to the vertical toe position signal, denoted z_t , in order to estimate the location of convex curvature in z_t . It is assumed that the corresponding time is TO_{ref} .

 HO_{ref} is estimated using the video camera. While this is subject to visual judgment, which is by definition subjective in nature [27], it provides a reasonable estimation of the reference HO_{ref} since the heel rising is performed sufficiently slowly to be tracked by the video.

4. Results

The developed accelerometer-based method was successfully employed to automatically extract the HS, TS, HO, and TO from a total of 247 trials performed by seven healthy volunteers. The agreement between the new method and reference methods was investigated using Bland–Altman analysis. In addition, the accuracy and the precision of detection are computed as the mean and the standard deviation of the differences between these gait events, i.e., $HS_{accel} - HS_{ref}$, $TS_{accel} - TS_{ref}$, $HO_{accel} - HO_{ref}$, and $TO_{accel} - TO_{ref}$.

Fig. 5(a)-(d) shows the Bland–Altman plots. The accelerometer-based method tends to detect delayed gait events except for the HS. Nevertheless, the limits of agreement and their associated 95% confidence interval exhibit small variations in the timing of gait events (Table 1). The accuracy and the precision of detection are less than 1 frame (5 ms) and 3 frames (15 ms), respectively. The 95% confidence intervals exhibit errors ranging from -6.3 ms to 2.2 ms.

5. Discussion

The experimental results demonstrate the ability of the system/algorithm to accurately and precisely measure HS, TS, HO, and TO events (within one or three frames) determined during normal gait.

The choice of accelerometer placement (Sec. 3.2) is proven to be relevant. This positioning implied not only minimal discomfort for the subjects during the gait tests, but also acquiring acceleration signals with an important magnitude which improved the accuracy and the precision of gait event detection. This positioning differs from that one proposed in previous studies, e.g., a single accelerometer was fixed close to the L3 vertebra [22], a single inertial measurement unit was positioned over the lower lumbar spine [23], and two uni-axial accelerometers were fixed on the lower leg below the lateral tibial condyle [5].

At the signal processing algorithm level, the acceleration signals were segmented using the threshold values in (1). This threshold-based segmentation is used only to determine a *rough* estimation of aforementioned flat phases (associated with heel and toe sensors) and to define sub-intervals where we extracted gait events. We emphasize that the detection of HS, TS, and TO, is performed without any critical filtering step of the local acceleration signals (i.e., defined in these sub-intervals). To detect HO, the associated local acceleration was low-pass filtered with a cutoff frequency of 20 Hz. This filtering step could be improved by performing band-pass filtering instead of low-pass filtering, thus removing a large source of accelerometer error [28]. Based on experimental observations, the occurrence of HO was not accompanied by critical acceleration peaks that

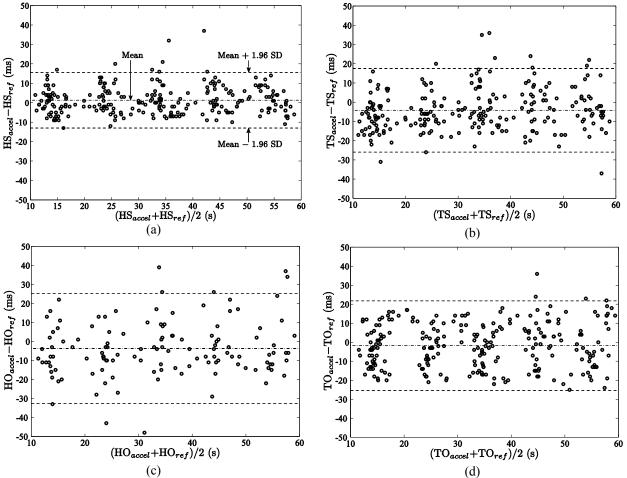


Fig. 5. Bland–Altman plots of (a) the HS, (b) the TS, (c) the HO, and (d) the TO measured by the accelerometer-based system and by the reference systems, with mean (dash-dotted line) ± 1.96 std. dev. limits of agreement (dashed lines) of differences HS_{accel} – HS_{ref}, TS_{accel} – TS_{ref}, HO_{accel} – HO_{ref}, and TO_{accel} – TO_{ref}.

could be removed in this filtering step. Moreover, we extracted HO using an original piecewise linear fitting method that was completely automatic since it only used the associated sub-intervals boundaries as inputs without, e.g., the need of empirical threshold values. The proposed method is also robust with respect to the type of insoles, which can be either quite stiff or quite soft.

The system/algorithm detects accurately and precisely HS and TO allowing for an objective quantification of relevant gait parameters such as stride cycle time, stance duration, swing duration, and step frequency. Combined with an accurate estimation of TS and HO, the computation of the stance sub-phase durations is straightforward, namely: loading response (i.e., TS - HS), foot-flat (i.e., HO - TS), and push-off (i.e., TO - HO). Detection of the same parameters in both feet can provide information about left-right asymmetry of stance, swing and sub-stance phases.

Accelerometer recordings were performed here on young and healthy subjects, thus it was expected that their gait has excellent symmetry and regularity indexes [29]. Consequently, we believe that the present validation method did not need more than one force-plate to take into account the stride-by-stride analysis. This assumption (i.e., the young subjects used have excellent gait symmetry and regularity) along with the capture area (i.e., the area of the force-plate that was used to capture and compare data (Sec. 3.2)) and the assumption of no gender differences, could be considered as limitations of the current paper and represent a potential for further research for optimal testing of the system/algorithm. Because the proposed algorithm extracted accurately and precisely gait events without any need for critical filtering steps, it might be expected to be robust for abnormal foot-ground contact exhibited by pathologic subjects and in the movement of elder subject, where the foot is moving slowly or the contact occurs with the lateral border of the foot. Further studies are needed to investigate these hypotheses. In addition, the proposed validation method, that takes into account a stride-by-stride analysis, will be extended to deal with the continuous and/or intermittent walking and with the gait in Parkinson's disease. In case of home applications, the hardware system has to be improved (e.g., by using shoe-integrated accelerometers [30]) to prevent accelerometers from the risk of detaching and being damaged.

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Table 1

Accuracy (mean of the differences), precision (std. dev. of the differences), limits of agreements, 95% confidence interval (CI) of the differences, and 95% CI of the lower and the upper limits of agreements between the developed accelerometer-based method and the reference methods – the gait events were obtained from a total of 247 trials.

	Accuracy (ms) (precision (ms))	Limits of agreements (ms)	95% CI of the differences (ms)	95% CI of the lower limits (ms)	95% CI of the upper limits (ms)	No. of trials
HS	1.3 (7.2)	[-13.0 15.6]	[0.4 2.2]	[-14.6 - 11.5]	[14.0 17.1]	247
TS	-4.2 (10.9)	[-26.0 17.6]	[-5.6 - 2.8]	[-28.3 - 23.5]	[15.1 20.0]	230
НО	-3.7 (14.5)	[-32.7 25.3]	[-6.3 - 1.2]	[-37.1 - 28.3]	[21.0 30.0]	125
ТО	-1.8 (11.8)	[-25.3 21.8]	[-3.3 - 0.3]	[-28.0 - 23.0]	[19.2 24.3]	247

6. Conclusions and future work

We presented an original signal processing algorithm for automatically extracting, on a stride-by-stride basis, four consecutive fundamental events of the human gait, HS, TS, HO, and TO, from accelerometers positioned on the feet. The developed algorithm extracted HS, TS, and TO in local acceleration signals defined by heel and toe flat phases. This extraction was performed without any need for critical filtering of these local acceleration signals. Thus, the physical significance of these signals was not altered. An original piecewise linear fitting technique automatically identified HO using only the boundaries of the associated local acceleration signals without the need of experimental filtering coefficients. The detection of these four gait events leads to a straightforward computation of relevant gait parameters such as stride cycle, stance, swing, loading response, foot-flat, and push-off. Detection of the same parameters of both shoes can provide information about left-right asymmetry of stance, swing, and sub-stance phases.

We carried out the validation of the accelerometer-based method using reference data provided by a force-plate, a kinematic 3D analysis system, and video camera. This validation was performed by extracting the times of occurrence of HS, TS, HO, and TO from the gait data of seven healthy subjects with a total of 247 trials. The experimental results showed that the proposed method had the advantage to define relevant signal sub-regions (i.e., the aforementioned heel and toe flat phases) in which gait events are locally extracted with high accuracy and precision.

We conclude that this accelerometer-based method is a promising ambulatory monitoring technique that could be used for the assessment of mobility in routine clinical practice. In future research, we will address the validation of this method on Parkinson's patients and we will further extend the algorithm to extract other gait parameters.

Conflict of interest statement

The authors state no conflict of interest.

Ethical Approval

This study was approved by the local ethics committee of the University of Liège, Liège, Belgium (Ref: B70720084196).

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Appendix A

We present the piecewise linear fitting method used to estimate the locations of the convex curvature in the signal (Sec. 2.2 and Sec. 3.3). Let us consider a signal $sig = sig(t_1),...,sig(t_N)$ defined in a time interval $I = t_1,...,t_N$, where N is the total number of samples of sig. This method first computes the coefficients of piecewise linear functions with two linear-segments that best fit sig in the least-square sense (leading to the computation of least-square errors). The minimum of these least-square errors is then determined and the associated piecewise linear function provides linear segments that intersect at the breakpoint $(t_b, sig(t_b))$. This breakpoint is determined as follows:

• For each k = 1,...,N, one computes the coefficients $\alpha_1, \alpha_2, \beta_1$, and β_2 of a piecewise linear function p_k that best fits sig by minimizing

$$Err_{k} = \sum_{i=1}^{N} (sig(t_{i}) - p_{k}(t_{i}))^{2}, \qquad p_{k}(t) = \begin{cases} \alpha_{1}t + \beta_{1}, t = t_{1}, ..., t_{k}, \\ \alpha_{2}t + \beta_{2}, t = t_{k+1}, ..., t_{N}. \end{cases}$$
(2)

(3)

• Finally, one obtains the breakpoint $(t_b, sig(t_b))$ by determining the minimum of the least-square errors, i.e.,

$$Err_b = \min_{k=1,\dots,N} (Err_k).$$

Supplementary materials

Supplementary material associated with this article can be found in the online version, at doi:10.1016/j.medengphy.2015.01.001.

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