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2 Topical review

Palliative sedation: Why we should be more concerned about the risks that patients experience an uncomfortable death

⁸ Q1 Reginald Deschepper^{a,*}, Steven Laureys^b, Said Hachimi Idrissi^c, Jan Poelaert^d, Johan Bilsen^a

9 ^a Department of Public Health, Vrije Universiteit Brussel, Brussel 1090, Belgium

10 ^b Coma Science Group, Cyclotron Research Centre and Neurology Department, University and University Hospital of Liège, Liège 4000, Belgium

11 Cerebral Resuscitation Research Group and the Centre of Neuroscience, Gent 9000, Belgium

14 **1. Introduction**

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Once death is imminent, a major concern of the family mem-15 bers and caregivers is to assure maximal comfort during this termi-16 17 nal phase. This can often be achieved by "conventional" pharmacological drugs such as opiates or other symptom-control-18 19 ling drugs. However, in case of refractory symptoms leading to 20 unbearable suffering such as intolerable pain, dyspnea, and delirium, a more drastic option may be chosen, known as palliative 21 22 sedation (Table 1). In these cases, comfort is sought by reducing the patient's level of consciousness [12,23]. Although palliative 23 sedation is ethically controversial and some studies have ques-24 tioned its efficacy and safety [29], this practice has substantially in-25 26 creased. The incidence of palliative sedation is not easily measured, partly because there are several definitions and alternative terms 27 in use, such as "terminal sedation" and "continuous sedation until 28 death," to describe this practice [32]. However, the available stud-29 30 ies indicate that the practice of palliative sedation is increasing in 31 hospitals, nursing homes, and the home care setting. The overall reported incidences vary now between 7% and 17% of all deaths 32 [2,5]. It is assumed that patients who are sedated according to 33 34 the current standards of care and the guidelines of palliative sedation are unaware of their clinical situation and therefore do not 35 36 experience symptoms of discomfort such as dyspnea, delirium, and other distressing conditions that are common during the ter-37 38 minal phase. However, a critical evaluation based on more recent 39 evidence raises the question of whether the current assessments 40 of suffering and awareness are accurate enough. Our concerns 41 are based on 3 kinds of problems. Firstly, the assessment of com-42 fort in dying patients is challenging; secondly, patients are sometimes mistakenly considered to be unaware; and thirdly, the 43 titration of drugs is difficult. 44

45 **2. Problems with assessment of comfort in dying patients**

The gold standard for detecting distress is patient self-reporting. Several instruments, such as the Visual Analog Scale for Pain, are based on this. However, in the case of palliative sedation, patients are usually unable to communicate whether or not they are still in distress or still (partially) aware of what is happening around them. Some scales have been developed for noncommunicative patients as well, such as the Critical Care Pain Observational Tool [18], the Behavioral Pain Scale [1], and the Richmond Agitation-Sedation Scale [3], but several problems have been reported. A well-documented problem is that these scales cannot detect pain and awareness in all patients; for example, because they depend on inferences made from patients' motor responsiveness [10,33]. Another problem is that these scales have been only partially validated for dying patients and, in most cases, not at all [4,8,31]. In the guidelines on palliative sedation, it is acknowledged that the efficacy and safety of palliative sedation is not sufficiently understood and that the usefulness of these observational scales has not been proven [15,16]. These findings cause even more concern considering the evidence that family members of patients, compared with caregivers, often have different perceptions of the patient's comfort and his/her guality of dying during palliative sedation. While family members tend to overestimate pain, caregivers often underestimate it [22]. Furthermore, assessment discrepancy between nurses and physicians often occurs [6,17].

3. Problems with (un)awareness

In recent years, doubts have risen as to whether patients labeled 71 "unconscious" really are completely insensate and unaware. Stud-72 ies in different types of patients and settings that critically re-73 viewed awareness have consistently reported that persons were, 74 in contrast to what was assumed by the caregivers, not always 75 (completely) unaware. For example, several studies showed that 76 patients diagnosed as being in a vegetative state (now also called 77 "unresponsive wakefulness syndrome") did show some (minimal) 78 clinical signs of conscious awareness in about 40% of the cases [34]. 79 In some cases, the purportedly unconscious patient could even reli-80 ably generate appropriate electroencephalographic responses to 2 81 distinct commands [14], and occasionally was even able to estab-82 lish basic communication with "yes" or "no" answers using func-83 tional magnetic resonance imaging [28]. This proved that some 84 minority of clinically diagnosed unresponsive patients had dis-85 played at least some residual cognitive function and conscious 86

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^{12 &}lt;sup>d</sup> Department of Anesthesiology, Vrije Universiteit Brussel, Brussel 1090, Belgium

 ^{*} Corresponding author. Address: Department of Public Health, Vrije Universiteit Brussel, Laarbeeklaan 103, Brussel 1090, Belgium. Tel.: +32 486 63 25 99.
 E-mail address: rdeschep@vub.ac.be (R. Deschepper).

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Table 1

Core elements in guidelines on palliative sedation.

- Indications for palliative sedation • Refractory symptoms leading to unbearable suffering such as intolerable pain, dyspnea, and delirium [11,12,23,35]
- Types of palliative sedation
 - Degree: mild, intermediate, and deep [15]
 - Continuity: from intermittent to continuous [15]

Ethical principles

- Palliative sedation is normal medical practice and must be clearly distinguished from the termination of life [35]
- Proportionality: the degree of sedation must not be deeper than necessary to relieve suffering [11,12,23,35]
- Palliative sedation will not (usually) hasten death (and that is certainly not the intention) [12.15]
- Administration of drugs
- Titration to the minimum of level of consciousness reduction necessary to render symptoms tolerable [16,23,24] Lack of consensus
- "No good evidence exists to strongly recommend one medication over any other of those commonly used in continuous palliative sedation therapy" [16] 0 o "Midazolam is the drug of first choice" [24]
- Monitoring of palliative sedated patients
- Aspects requiring monitoring: [16]
 - o Relief of suffering
 - o Level of consciousness (depth of sedation)
 - Adverse side effects of sedation
- · Guidelines' evaluations of the usefulness of monitoring scales
 - "There are no scales available to assess the patient's comfort during continue sedation" [24] 0
 - o "Monitoring (observational) scales exist but the usefulness of these scales has not been proven" [16]
 - o "Presently no particular scale can be recommended" [16,24]
- "Scales involving administration of painful stimuli are not acceptable" [16]
- Frequency of monitoring: every 20 minutes until adequate sedation has been reached and then at least once a day [15,16]
- Nurses have the explicit task to observe, measure, and report [24]

87 awareness that even skilled caregivers were not able to recognize 88 [25]. Also, patients with locked-in syndrome may be mistakenly 89 considered unconscious, as may some (rare) patients during gen-90 eral anesthesia [19,26]. In contrast to the setting where surgical 91 or intensive care patients are managed, advanced monitoring 92 equipment is usually lacking in a palliative or home care setting. 93 Palliative sedated patients ultimately die and therefore, patient self-reporting is also missing. 94

95 The above findings show that the "traditional" clinical tools and 96 procedures to assess comfort and awareness in dving noncommu-97 nicative patients have important methodological limitations. It should be noted that the problems with assessments are not to 98 99 be ascribed to lack of competence on the part of the caregivers, 100 but are of a much more fundamental nature: the absence of reliable tools. The developers of guidelines are aware of these limita-101 tions and rightly point out that there is a lack of evidence (Table 1). 102 103 Some guidelines mention that "there are no scales available to as-104 sess the patient's comfort" [24], and the authors of a recent guide-105 line conclude that "presently no particular scale can be 106 recommended" [16]. Sometimes guidelines refer to sedation scales, but point out that these scales are "not intended to measure the ef-107 fect of sedation but to make clear when the sedation is too deep" 108 [24]. The current guidelines for palliative sedation are therefore 109 110 limited to suggesting "a daily visit by the physician" and "continue 111 attention to possible expressions of discomfort (eg, facial expressions, movements, etc.)" [7,11,24]. Not surprisingly, nurses should 112 also play an important role in signaling discomfort in sedated pa-113 tients [24]. 114

4. Problems with the titration of drugs 115

116 Since the aim of palliative sedation is to give optimal comfort but not to hasten death, the principle of proportionality is a pivotal 117 118 aspect of this treatment and hence, the guidelines state that seda-119 tion should be "no deeper than necessary to avoid suffering" 120 [9,11,15,16,23,24]. To meet this principle of proportionality, caregivers should carefully titrate the doses of the drugs so that they 121 are high enough to provide comfort but should not hasten death. 122 123 Studies have shown that palliative sedation does not usually affect

survival time [27]. However, the fact that palliative sedation is con-124 sidered by some to be "slow euthanasia" might lead physicians to 125 be "extra careful" with the use of high doses of sedative medication 126 [13]. Several studies have reported underuse of medicines due to a 127 lack of knowledge, unwarranted beliefs, to avoid the perception of 128 giving "excessive" doses, and even because of fear among caregiv-129 ers of being accused of "killing" the patient [21,30]. In a Dutch 130 study among nurses, the sedation was considered insufficiently 131 effective by 42% of the respondents [7]. 132

5. How to improve assessments of suffering?

Up until now, studies of the efficacy of palliative sedation to re-134 lieve pain and discomfort are based on observational scales or sub-135 jective assessments by caregivers [7,29]. Although some efforts 136 have been made to validate the observation scales, as far as we 137 know, all these attempts are based on the same paradigm, which 138 is that all kinds of distress in all patients can be measured by obser-139 vation of the patient, and that this is the only available method. 140 However, in recent years, functional neuroimaging, such as func-141 tional magnetic resonance imaging, and encephalography have 142 proven to be promising technologies for detecting awareness and 143 pain that cannot be observed or detected by "traditional" methods 144 [20,28]. Although these technologies also have their limitations 145 and should not be regarded as a perfect surrogate for self-report, 146 they provide valuable objective and quantifiable indicators of 147 awareness and pain in noncommunicative patients [20,28]. Strik-148 ingly, they have not yet been used to check whether the current 149 assessments of noncommunicative patients are reliable. It is 150 remarkable that, given the increasing incidence of palliative seda-151 tion, there is so little concern about the risks that patients may experience an uncomfortable dying phase in which they are unable to signal their suffering. An assessment tool that would allow clinicians to more accurately determine the appropriate doses of medications would also encourage more vigorous symptom management in the dying.

Paradoxically, the inability to report distress might also be aggravated or even blocked by the use of drugs that might abolish potential further communication and even facial expressions [9].

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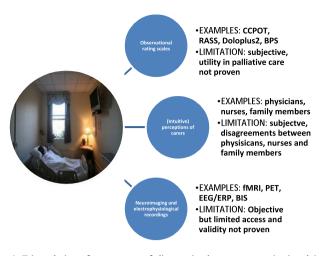


Fig. 1. Triangulation of assessment of distress in the noncommunicative dying patient. CCPOT, Critical Care Pain Observational Tool; RASS, Richmond Agitation-Sedation Scale; BPS, Behavioral Pain Scale; fMRI, functional magnetic resonance imaging; PET, positron emission tomography; ERP, event-related potential; EEG, electroencephalography; BIS, bispectral index.

161 Hence, some patients might have subjective phenomenological 162 awareness or suffering with very limited, fluctuating or absent 163 behavioral motor signs of distress [33]. The fact that neuroimaging or electrophysiology recordings have not been used so far to vali-164 165 date the assessment tools for distress in noncommunicative patients, even when doubts about these tools have arisen, may be 166 related to the reluctance in palliative and end-of-life care to bother 167 patients with high-tech equipment, as in most cases, patients have 168 already experienced a long treatment period. 169

170 Dying uncommunicative patients are a vulnerable population 171 and therefore, we should do everything possible to assure them a 172 comfortable death free of pain and distress. We therefore urgently need a triangulation of methods in which existing observational 173 scales, subjective assessments of caregivers and family, and neuro-174 175 imaging and/or electrophysiological techniques are combined (Fig. 1). The latter are noninvasive procedures that should not bur-176 den too much the patient and his/her family. Due to the complexity 177 178 and the intensity, this integrated mixed method is intended for research and not for everyday clinical assessments. It can be used for 179 180 the validation of existing clinical tools for the assessment of distress in palliative sedated patients. Each of the 3 methods has its 181 potentials and limitations, but combined they can be used to 182 183 achieve the best possible assessments.

184 **Conflict of interest statement**

185 The authors have no conflicts of interest to disclose.

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