

Multiscale Computational Modeling of Deformation Mechanics and Intergranular Fracture in Nanocrystalline Copper

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Abstract

This study presents the development and validation of a two-scale numerical method aimed at predicting the mechanical behavior and the inter-granular fracture of nanocrystalline (NC) metals under deformation. The material description is based on two constitutive elements, the grains (or bulk crystals) and the grain-boundaries (GBs), both having their behavior determined atomistically using the quasicontinuum (QC) method by simulating the plastic deformation of $[1\bar{1}0]$ tilt crystalline interfaces undergoing simple shear, tension and nano-indentation. Unlike our previous work [V. Péron-Lührens et al., JMPS, 2013] however, the GB thickness is here calibrated in the model, providing more accurate insight into the GB widths according to the interface misorientation angle. In this contribution, the new two-scale model is also validated against fully-atomistic NC simulations tests for two low-angle and high-angle textures and two grain sizes. A simplified strategy aimed at predicting the mechanical behavior of more general textures without the need to run more QC simulations is also proposed, demonstrating significant reduction in computational cost compared to full atomistic simulations. Finally, by studying the response of dogbone samples made of NC copper, we show in this paper that such a two-scale model is able to quantitatively capture the differences in mechanical behavior of NC metals as a function of the texture and grain size, as well as to accurately predict the processes of inter-granular fracture for different GB character distributions. This two-scale method is found to be an effective alternative to other atomistic methods for the prediction of plasticity and fracture in NC materials with a substantial number of 2-D grains such as columnar-grained thin films for micro-scale electro-mechanical devices.

Keywords: Nanocrystalline metals, Finite element model, Quasicontinuum method, two-scale model, Grain-boundary

1. Introduction

Nanocrystalline (NC) materials are known to possess remarkable physical and mechanical properties such as ultrahigh strength compared with their coarse-grained counterparts [1, 2, 3, 4, 5, 6, 7, 8]. At this length scale, the plastic deformation is considered to change from intragranular to intergranular by a mechanism in which the grain boundary (GB) character distribution (GBCD) is promoted and controls the NC mechanical behavior [9]. This transition from intragranular to intergranular or GB-mediated plasticity is assumed to control the ductility and fracture behavior of NC materials. However, understanding the evolution of fracture in NC materials is at its infancy experimentally, whereas a failure model at the atomic level is still unavailable. This work aims to develop an atomistically-informed multiscale model for the quantitative prediction of the fracture behavior in NC solids.

Molecular dynamics (MD) simulations have already revealed unusual mechanisms at low temperatures, such as GB sliding and intragranular slip involving dislocation emissions and absorptions at GBs [3, 10, 11, 12, 13, 14], but suffer from the requirement to consider the dynamics of all atoms, thus imposing drastic limitations on the size of the simulated sample. On the other hand, continuum models, which do not suffer from such limitation, have been mainly limited to the description of grain size dependency [15], strain localization [16, 17] and failure processes [15, 18]. It appears however that none of these models can predict the plastic deformation of relatively large NC structures while retaining sufficient precision to account for the mechanisms involved at the nanoscale.

In a recent work [19], an original numerical multiscale approach was proposed to gain predictive understanding of the mechanical behavior of NC metals as a function of their GBCD. This model assumes that GBs are embedded in a continuum matrix and incorporate full GB elasto-plastic constitutive laws determined by atomistic simulations, thereby paving the way to simulate and characterize intergranular fracture in NC materials without the need to fully model all GBs atomistically. In this two-scale framework, the material description was based on the mechanical behavior of two constitutive elements, namely, bulk crystals (or grains) and GBs. The constitutive laws for these two constitutive elements were calibrated atomistically using the quasicontinuum (QC) method [20, 21]. An explicit FCC crystal plasticity constitutive model [22] was used for the grains. This formulation improves the original implicit formulation of the forest dislocation hardening model proposed in Ref. [23] and enables large scale computations. The crystal plasticity model was previously characterized using nanoindentation QC simulations [19]. GBs were treated as surfaces of

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30 discontinuities with a finite thickness embedded in the continuum. In Ref. [19], parameters of the GBs
31 constitutive model, including plastic and damage responses, were calibrated using the QC method by means
32 of tensile and shear tests, following Ref. [24, 25], so as to account for both GB sliding and GB opening
33 modes. However, in that work, the thickness of the GBs was arbitrarily taken as 1 nm [19]. The model is
34 improved here by calibrating the GB thickness parameter, validated against full atomistic results, and tested
35 for intergranular fracture predictions.

36 Indeed, the objective of the present work is to demonstrate the ability of this two-scale model in pre-
37 dicting the fracture of NC metals for different textures and grain sizes. To this end, we first validate the
38 purely continuum model by comparing it with fully-atomistic QC simulations for two NCs with two different
39 textures, namely high-angle (HA) and low-angle (LA), and two different mean grain sizes. It is found that
40 both models predict the same failure evolution in the GB networks for each texture and grain size. Once the
41 validation step is over, an adequate fitting of the HABs calibration parameters according to their misorien-
42 tation is proposed. This fitting step allows for the simulation of larger NC with a HA texture consisting of
43 a substantial number of grains without having to run all HABs QC simulations. As an illustration, two NC
44 dogbones, consisting of 103 grains and 251 GBs and presenting the same HA texture with two different mean
45 grain sizes are subjected to tensile loading. These simulations highlight the ability of the two-scale model to
46 predict the intergranular fracture of larger NCs than those conventionally encountered when dealing with
47 pure atomistic simulations, while saving computational time.

48 The paper is organized as follows. Section 2 presents the details of the two-scale model and the consti-
49 tutive laws used for grains and GBs. Section 3 is devoted to the QC calibration; GBs QC simulations and
50 nanoindentation tests are presented with a particular focus on the GB width effect. Section 3 also presents
51 the QC results fitting process designed to facilitate HA-type texture simulations. In Section 4, the full cali-
52 brated two-scale continuum model is compared to the fully-atomistic QC model for validation. Finally, this
53 methodology is applied in Section 5 to HA-type NC dogbones simulations.

54 **2. The two-scale model**

55 *2.1. Constitutive framework*

56 In this section, the continuum framework with embedded GBs is summarized, following the study in Ref.
57 [9]. The main equations for the bulk material are also presented, based on Ref. [22, 23, 26]. The reader is
58 invited to refer to Ref. [19] for more details.

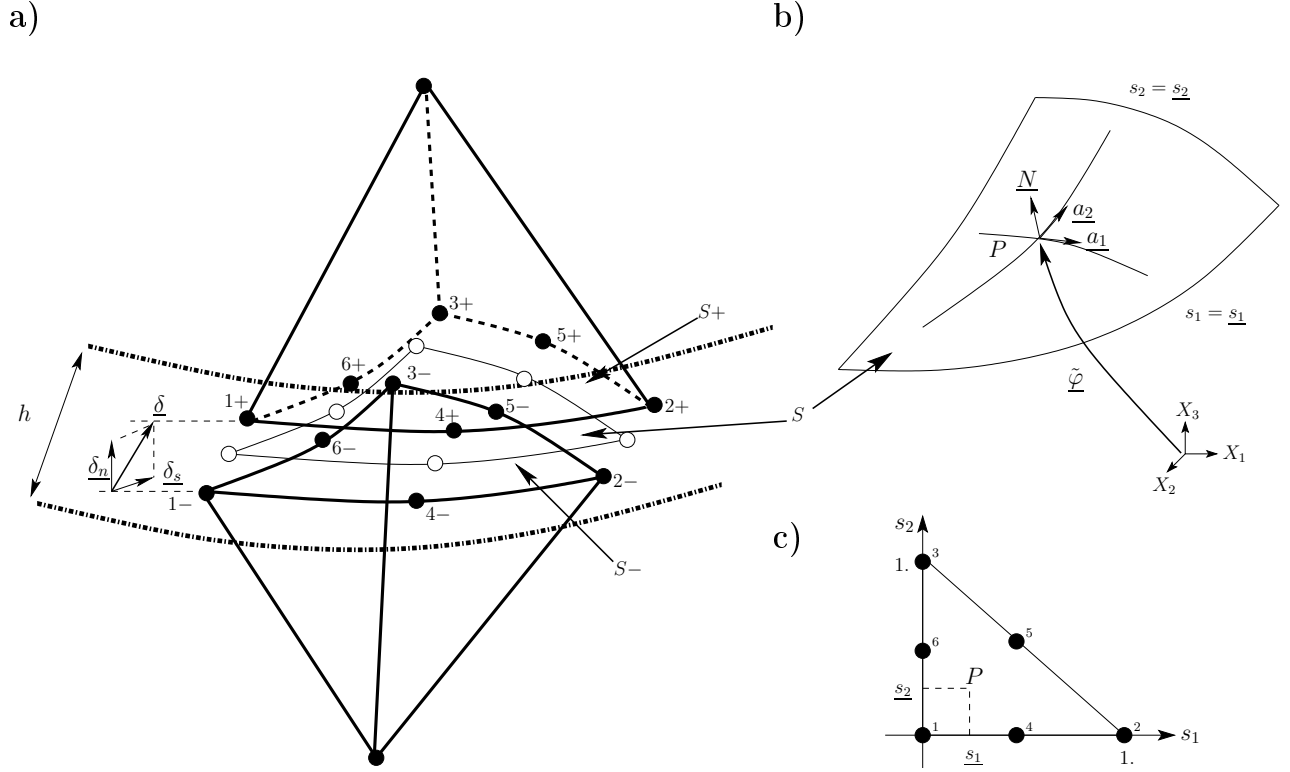


FIGURE 1: a) Schematics of a GB element. Two tetrahedra belonging to two adjacent crystals separated by an interface element at the GB: $S+$ and $S-$ are respectively the facets corresponding to the tetrahedra on the positive and negative sides, as defined by the positive surface normal \underline{N} , and S is the midsurface. The displacement jump $\underline{\delta}$, its opening δ_n and sliding δ_s components, as well as the GB thickness h are also indicated. b) Deformed midsurface S and its associated curvilinear coordinate system as well as the representation of the mean deformation mapping $\underline{\tilde{\varphi}}$. c) Standard element configuration and natural coordinate system.

2.1.1. Grain-boundary constitutive model

The kinematics of the deformation mapping of a GB was developed by considering the GB as a surface discontinuity embedded in the finite element discretization, and was based on the relative motion of the two surfaces $S+$ and $S-$, corresponding to the facets of the tetrahedra on the positive and negative sides, respectively, as shown in Figure 1a. To this end, the framework presented in Ref. [27] is used. The local stress state is described by the Cauchy stress tensor $\underline{\underline{\sigma}}$ whereas local information about the material deformation is conveyed by the deformation gradient field $\underline{\underline{\epsilon}}$. The material models required to evaluate $\underline{\underline{\sigma}}$ in the bulk as well as the surface traction $\underline{\underline{t}}$ at the GBs are defined below. The mean deformation mapping is defined as set in Ref. [27]

$$\underline{\tilde{\varphi}} = \frac{1}{2}(\underline{\varphi}^+ + \underline{\varphi}^-) \quad (1)$$

In Equation (1), $\underline{\tilde{\varphi}}$ is the deformation of the midsurface S , and $\underline{\varphi}^+$ and $\underline{\varphi}^-$ are the deformation mappings of the surfaces $S+$ and $S-$, respectively. By using Equation (1) we recover the original deformation mapping

70 on both sides of the GB

$$\underline{\varphi}^\pm = \underline{\tilde{\varphi}} \pm \frac{1}{2}(\underline{\varphi}^+ - \underline{\varphi}^-) = \underline{\tilde{\varphi}} \pm \frac{1}{2}\underline{\delta} \quad (2)$$

71 where

$$\underline{\delta} = \llbracket \underline{\varphi} \rrbracket = \underline{\varphi}^+ - \underline{\varphi}^- \quad (3)$$

72 In Equation (3), $\underline{\delta}$ is the displacement jump at the GB which can be also defined as $\llbracket \underline{\varphi} \rrbracket$ the difference
 73 between the displacements of the surfaces S^+ and S^- , see Figure 1a. $S \equiv \underline{\tilde{\varphi}}(S_0)$ thus defines the deformed
 74 GB and we can obtain directly the initial surface normal \underline{N} from the parametrization $\underline{\tilde{\varphi}} = \underline{\tilde{\varphi}}(s_\alpha)$ of S , where
 75 $\alpha = 1$ or 2 and where the coordinates (s_1, s_2) are the natural coordinates of each of the surface elements in
 76 a standard configuration, see Figures 1b and c. Indeed, using the covariant basis vectors ($\underline{\mathbf{a}}_\alpha = \underline{\tilde{\varphi}}_{,s_\alpha}$) one
 77 has

$$\underline{N} = \frac{\underline{\mathbf{a}}_1 \times \underline{\mathbf{a}}_2}{\|\underline{\mathbf{a}}_1 \times \underline{\mathbf{a}}_2\|} \quad (4)$$

78 The displacement jumps can be decomposed into a GB opening vector and a sliding vector as follows

$$\underline{\delta}_n = (\underline{\delta} \cdot \underline{N})\underline{N} = (\underline{N} \otimes \underline{N}) \cdot \underline{\delta} \quad (5)$$

79

$$\underline{\delta}_s = \underline{\delta} - \underline{\delta}_n = (\underline{I} - \underline{N} \otimes \underline{N}) \cdot \underline{\delta} \quad (6)$$

80 It is assumed that this kinematics imposes a constant deformation gradient across the thickness h of the
 81 GB. This assumption is justified by the low number of atoms within the GB. This gradient of deformation
 82 can be expressed in the local orthonormal reference frame

$$(\underline{N}_1, \underline{N}_2, \underline{N}_3) = ((\underline{\mathbf{a}}_1/|\underline{\mathbf{a}}_1|), (\underline{N} \times \underline{\mathbf{a}}_1/|\underline{N} \times \underline{\mathbf{a}}_1|), \underline{N}) \quad (7)$$

83 as

$$\underline{\underline{\epsilon}} = \underbrace{\frac{\underline{\delta}_n \cdot \underline{N}_3}{h} \underline{N}_3 \otimes \underline{N}_3}_{\underline{\underline{\epsilon}}_n} + \underbrace{\frac{\underline{\delta}_s \cdot \underline{N}_1}{h} \frac{1}{2}(\underline{N}_1 \otimes \underline{N}_3 + \underline{N}_3 \otimes \underline{N}_1) + \frac{\underline{\delta}_s \cdot \underline{N}_2}{h} \frac{1}{2}(\underline{N}_2 \otimes \underline{N}_3 + \underline{N}_3 \otimes \underline{N}_2)}_{\underline{\underline{\epsilon}}_s} \quad (8)$$

84 From Equation (8), $\underline{\underline{\epsilon}}$ is the sum of two quantities; a normal opening part $\underline{\underline{\epsilon}}_n$ and a sliding part $\underline{\underline{\epsilon}}_s$. In [19],
 85 h , naturally defining a characteristic length scale of GBs in the model, was set to 1 nm following past works
 86 [28, 29]. However, assigning a fixed value to h does not account for the different thicknesses between each

87 GB type (HAB or LAB) and their impacts on the NC mechanical behavior. Consequently, h is here treated
 88 as a parameter obtained from the calibration process. The traction is eventually expressed as

$$\bar{\underline{t}} = h \underline{\underline{\sigma}} : \frac{\partial \underline{\underline{\epsilon}}}{\partial \underline{\underline{\delta}}} = \underline{\underline{\sigma}} \cdot \underline{\underline{N}}_3 \quad (9)$$

89 Here, only the sliding component undergoes plastic deformations. In fact, when running GB opening simu-
 90 lations with QC, only an elastic behavior, without plasticity, was observed until decohesion progressively
 91 occurs [19]. This most likely arises from the perfect nature of the GB simulated with QC. Thus using a
 92 damage law appears to be the best way to approximate the opening behavior of GBs. Therefore a damage
 93 parameter D is included in the GB opening mechanical behavior. The elasto-plastic model described in Ref.
 94 [9] is used to compute the sliding part $\underline{\underline{\sigma}}^{sl}$ of the effective stress tensor and is characterized by the yield
 95 stress tensor σ_p with

$$\sigma_p = \sigma_0 \left(1 + \frac{\bar{\epsilon}_p}{\epsilon_0}\right) \quad (10)$$

96 where $\bar{\epsilon}_p$ is the equivalent plastic strain, σ_0 is the initial yield stress, and ϵ_0 is the reference plastic strain.
 97 The damage parameter D is evaluated from the normal opening $\underline{\underline{\delta}}_n \cdot \underline{\underline{N}}$. While this opening remains relatively
 98 small, the opening stress $\underline{\underline{\sigma}}^{op}$ remains smaller in norm than the critical stress σ_c and $D = 0$. Once σ_c is
 99 reached, D increases in an irreversible way, and eventually reaches 1 for a critical opening δ_c . Finally the
 100 stress tensor is directly computed from

$$\underline{\underline{\sigma}} = (1 - D)(\underline{\underline{\sigma}}^{sl} + \underline{\underline{\sigma}}^{op}) \quad (11)$$

101

102 2.1.2. Grain constitutive model

103 We adopt the explicit formulation described in Ref. [22] for FCC polycrystalline plasticity. This formula-
 104 tion somehow improves the original implicit formulation of the forest dislocation hardening model proposed
 105 in Ref. [23] by facilitating large scale computations. A summary of this formulation can be found elsewhere
 106 [26] and we provide here the main equations of the model in order to highlight the relevant parameters
 107 calibrated with the QC method by nanoindentation tests.

108 In this framework the following power-law is used to describe the shear rate deformation of each slip

109 system α

$$\dot{\gamma}^\alpha = \begin{cases} \dot{\gamma}_0 \left[\left(\frac{\tau^\alpha}{g^\alpha} \right)^{\frac{1}{m}} - 1 \right], & \text{if } \tau^\alpha \geq 0 \\ 0, & \text{otherwise} \end{cases} \quad (12)$$

110 where $\dot{\gamma}_0$ is the reference shear strain rate, m the strain-rate sensitivity exponent, and where g^α and τ^α are
 111 the critical resolved shear stress (CRSS) and the resolved shear stress on slip system α , respectively. Based
 112 on statistical mechanics [30], the evolution of the flow stresses in the case of multiple slip systems is found
 113 to be governed by a diagonal hardening law

$$\dot{g}^\alpha = \sum_{\beta} h^{\alpha\beta} \dot{\gamma}^\beta \quad (13)$$

114 where $h^{\alpha\beta}$ are the diagonal hardening moduli.

115 Table 1 provides the constitutive model parameters used in our simulations for pure copper [22]. The
 116 remaining parameter, g_0 , is the initial value for g^α , and depends on the grain diameter d and on the texture
 117 type (HA or LA) considered. This key value is calibrated from nanoindentation QC simulations.

Parameter	Value	Parameter	Value
C_{11}	168.4 GPa	$\dot{\gamma}_0$	10 s^{-1}
C_{44}	75.4 GPa	m	0.005
C_{12}	121.4 GPa	g_0	$f(d, \text{texture})$

TABLE 1: Constitutive model parameters for pure copper.

118 3. Calibration with the QC method

119 In this section we summarize the method used to calibrate atomistically the two-scale model aimed at
 120 simulating the NCs for two different textures or GBCDs (HA and LA). To this end, both the remaining bulk
 121 parameter (g_0) for different grain sizes and the GB parameters (σ_0 , G , σ_c , δ_c , h) have to be calibrated for
 122 different misorientations. We also provide the influence of the GB widths on the behavior of the representative
 123 volume element (RVE) studied. Ultimately, we expose the QC results fitting process for larger HA-type
 124 texture NC simulations.

125 The QC method allows for the prediction of the equilibrium configuration of a system of atoms by
 126 energy minimization, given externally imposed forces or displacements. However, all atoms are not explicitly
 127 represented and regions of small deformation gradients are treated as continuum media by use of the finite
 128 element method. This method thus enables the modeling of large-scale atomistic systems without loss of

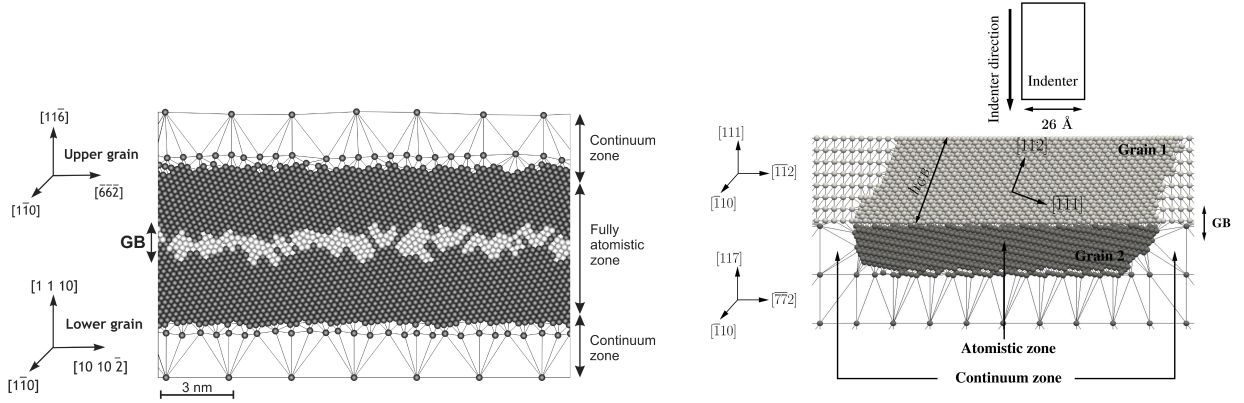


FIGURE 2: a) QC sliding/opening model of one HAB. b) QC nanoindentation model for one HAB with a pseudo grain size set to $h_{GB} = 6.56$ nm.

129 accuracy in the atomistic areas while being faster than classical molecular simulations. This method was
 130 used in Ref. [19] to calibrate GB and grain mechanical behaviors.

131 At the nanoscale, the GB constitutive parameters were provided by simulating the GBs as done in Ref.
 132 [24, 25] where 2-D bicrystals were subjected to shear and tensile loading conditions in order to characterize the
 133 sliding and the opening/decohesion behavior, respectively, see Figure 2a. With the GB shearing simulations,
 134 the maximum shear strength σ_0 and the shear modulus G were extracted from the evolution of the shear
 135 stress as a function of the applied shear strain. With regards to the GB decohesion behavior, the opening
 136 critical stress σ_c as well as the critical opening δ_c were calibrated from stress-strain curves.

137 The model of nanoindentation exposed in Ref. [21, 31] was used to simulate the interaction of partial
 138 dislocation motions with GBs belonging to both HAB or LAB types. Misorientations lower than 9° were
 139 considered in this work as being LABs so as to correspond to all the available LABs definitions in the
 140 literature [32, 33, 34]. We emphasize that all GBs present the same $[1\bar{1}0]$ tilt axis. In order to take into
 141 account the grain sizes, we proposed in [19] to depart from the approach presented in Ref. [21, 31] by
 142 varying the distance h_{GB} (pseudo grain size) separating the indented surface from the GB, see Figure 2b.
 143 For each simulation, the CRSS was extracted to enable the calibration of g_0 which is the initial value for g^α
 144 in the forest dislocation hardening model presented above. Using this calibration model enabled to capture
 145 the CRSS of slip systems α not only according to the GB nature (HAB or LAB) but also according to
 146 the grain size. Further information on the computational techniques used for grain and GBs calibrations is
 147 available in Ref. [19].

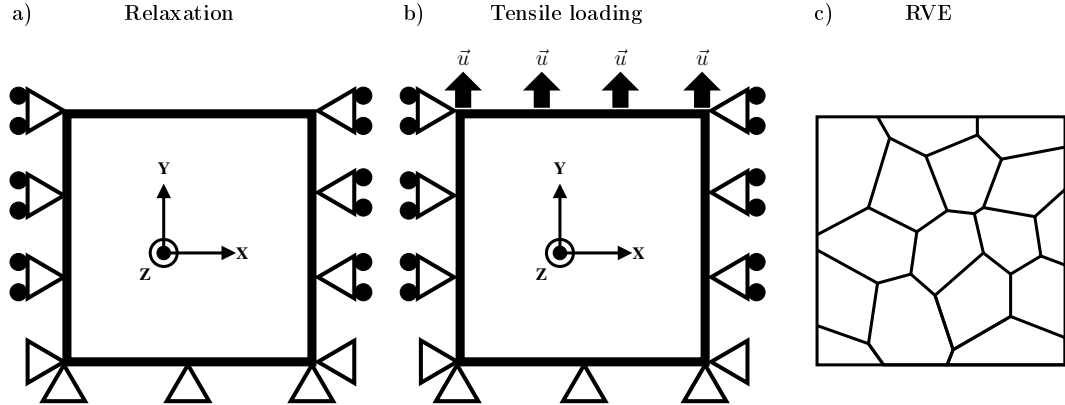


FIGURE 3: NC boundary conditions and RVE. a) Loading conditions, used for both models. b) Relaxation step, only for fully-atomistic model. c) RVE consisting of 16 grains.

148 3.1. Effect of the GB width

149 The two-scale model was tested with different GB widths (h) for both textures (LA and HA), and two
 150 grain sizes (3.28 and 6.56 nm) on a RVE consisting of 16 grains and 34 GBs. The boundary conditions used
 151 for these tensile tests and the RVE are presented in Figure 3. It is found that the RVE mechanical behavior
 152 highly depends on the GBs widths. For example, Figure 4 shows the stress-strain curves resulting from GB
 153 widths h varying between 0.4 and 1 nm for all GBs. In the specific case of the LA texture and a mean grain
 154 size of 6.56 nm, h is found to affect the elastic behavior, the limit of elasticity, and the maximal stress that
 155 can be sustained before failure. Also, we assert here that, for all textures and grain sizes, decreasing the GB
 156 thickness increases the overall stiffness. Consequently, particular attention should be given to the calibration
 157 of the GB width in order to properly simulate the mechanical behavior of NC materials.

158 Unlike the work presented in Ref. [19] where h was arbitrarily set to 1 nm, the models are here calibrated
 159 to include each GB width using the QC method. The centrosymmetry parameter p , see Ref. [35], is used to
 160 detect the crystallographic defects present in the GBs. We identify h when the GB relaxation step required
 161 to obtain the best GB energy configuration with QC is over. The threshold chosen for this study is set to
 162 $p = 0.1$ so that every atom with a p -value lower than this threshold is considered as having a perfect FCC
 163 crystal stacking. The determination of h was done by considering only 80% of the bicrystal interface as
 164 this was done for the calculation of the GB energy in Ref. [24], thus ensuring that atoms near free surfaces
 165 presenting higher p -values were not counted as part of the h calculation. h was taken as the distance, or the
 166 width of the strip of material between the furthest atom from the GB interface of the upper grain and the
 167 one of the lower grain. In the LAB cases, the interface is generally considered as an array of dislocations,

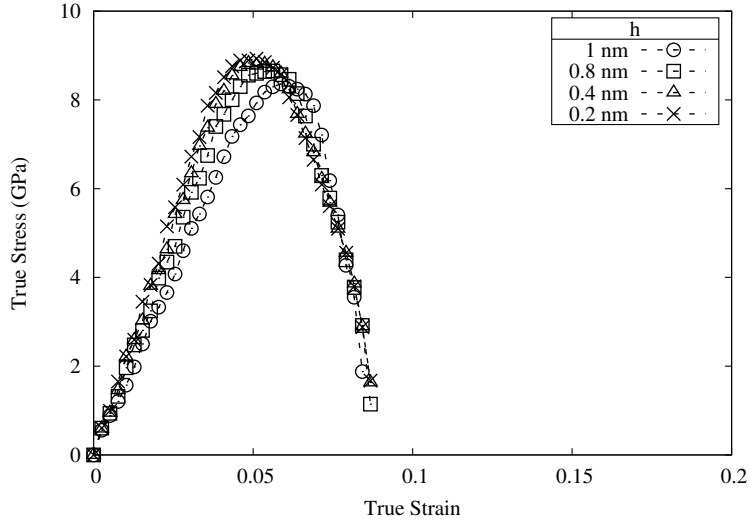


FIGURE 4: Two-scale model strain-stress curves from tensile tests on the RVE for different GB widths ranging from 0.4 to 1 nm for the LA texture and grain size equal to 6.56 nm.

168 involving less atoms in the GB structuring than for HABs for which the reorganization is far more random.
 169 One can argue that a more accurate determination of the GB width may be based on the number of atoms
 170 involved in the reorganization, but this would not fit with the h dimension of the model. By using this
 171 method, HABs are found to be wider than LABs, see Figure 5. The obtained GB width is plotted in Figure
 172 6 as a function of the GB misorientation. It is found that HAB widths spread from 12.612 to 16.645 Å,
 173 while LAB widths never exceed 11.29 Å and always remain above 6.791 Å for the specific misorientations
 174 considered.

175 With a view to facilitating the GB width calibration, we also wanted to assess whether assigning a unique
 176 value to h for all the GBs of a given texture would have consequences on the predictions of the mechanical
 177 behavior. To this end, tensile loads are applied to the same RVE, see Figure 3. The stress-strain curves are
 178 presented in Figure 7. In the HA case and for both grain sizes, no significant discrepancy is observed when
 179 h is set to 1.5 nm. Conversely, the LA texture behaves differently when h is set to 0.8 nm for all LABs.
 180 The elastic behavior and the limit of elasticity are the same for both grain sizes but the strain-to-failure is
 181 subjected to variations when the grain size is 6.56 nm. The deformed configurations are presented in Figure
 182 8. For the HA texture, full GB width calibration or setting h to 1.5 nm has no impact on the evolution of
 183 the crack propagation, and this results in similar strain-to-failures whatever the calibration method is. The
 184 discrepancies that were observed for the LA texture concerning the strain-to-failures echo the different paths
 185 being taken by the crack when considering both calibration methods. From this, it is clear that averaging

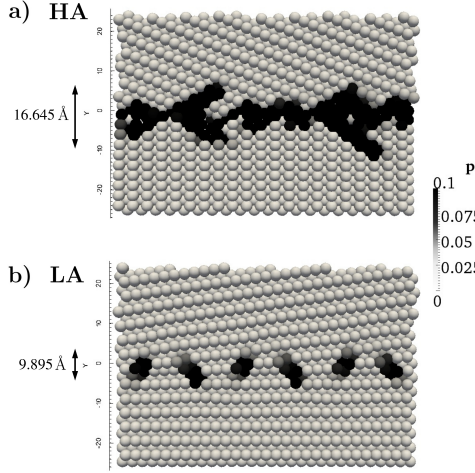


FIGURE 5: GB width of two representative GB types where p is the centrosymmetry parameter. The same scale for p is used to highlight the width difference. a) HA. b) LA.

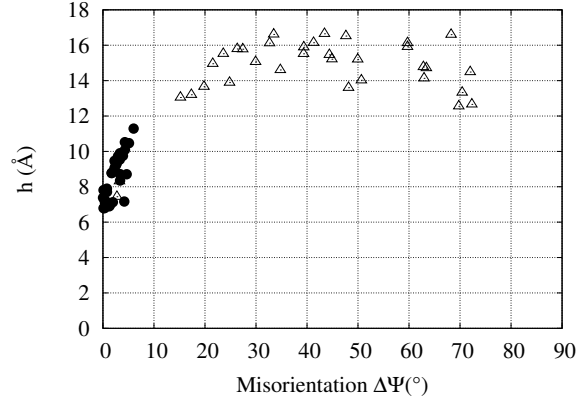


FIGURE 6: GB width h vs. misorientation $\Delta\Psi$. Triangles symbolise the widths of GBs in the HA texture while the filled circles correspond to the widths of GBs in the LA texture. Two triangles are present amongst LA width values because the HA texture consists also of 2 LABs.

186 GB width is possible for HABs but not for LABs which are more sensitive to h .

187 3.2. QC fitting process

188 The GB simulations presented in Ref. [19] allow for the identification of overall trends in the GB me-
 189 chanical properties depending on the degree of GB misorientation, focus on the GB shear modulus G , the
 190 GB yield stress σ_0 , the GB strain-to-failure δ_c and the GB critical stress σ_c . We can also identify trends
 191 for h from the results presented in Figure 6. These trends are quite different for each GB type, HA or LA.
 192 Within the HA range, it is possible to extract trends from the QC results according to the GB misorientation
 193 while results variations are far too important within the LA range, making accurate mechanical behavior
 194 predictions of LABs unlikely and consequently in need of individual GB simulations. In order to achieve
 195 reproducible results and avoid duplicating work, we have chosen to fit the HABs results for which trends are
 196 clearly identified. This fitting process paves the way for more complex HA textures when a large number of
 197 grains is present, as it will be the case in the next Section. Fitted curves for all the parameters are presented
 198 in Figure 9 and the corresponding equations are reported in Table 2. With regards to the intragranular plas-
 199 ticity and in order to bring together all the parameters needed for larger RVEs simulations, we reproduce
 200 here in Figure 9(f) the initial CRSS g_0 of FCC slip systems as a function of the pseudo grain size h_{GB} as
 201 extracted from nanoindentation tests [19].

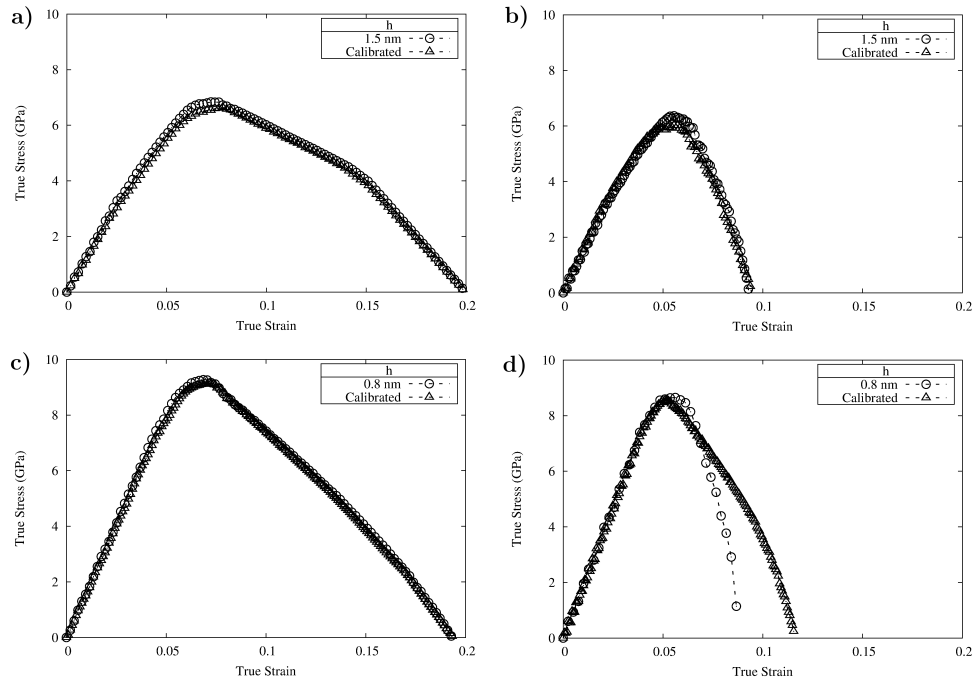


FIGURE 7: Homogeneous width calibration *vs.* full GB width calibration for both grain textures and sizes: a) HA texture, 3.28 nm; b) HA texture, 6.56 nm; c) LA texture, 3.28 nm; d) LA texture, 6.56 nm.

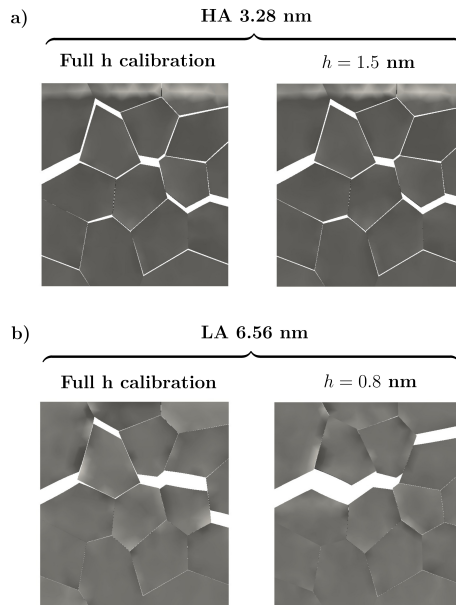


FIGURE 8: Influence of h on the intergranular crack propagation. a) HA texture with a grain size equal 3.28 nm. b) LA texture with a grain size equal to 6.56 nm.

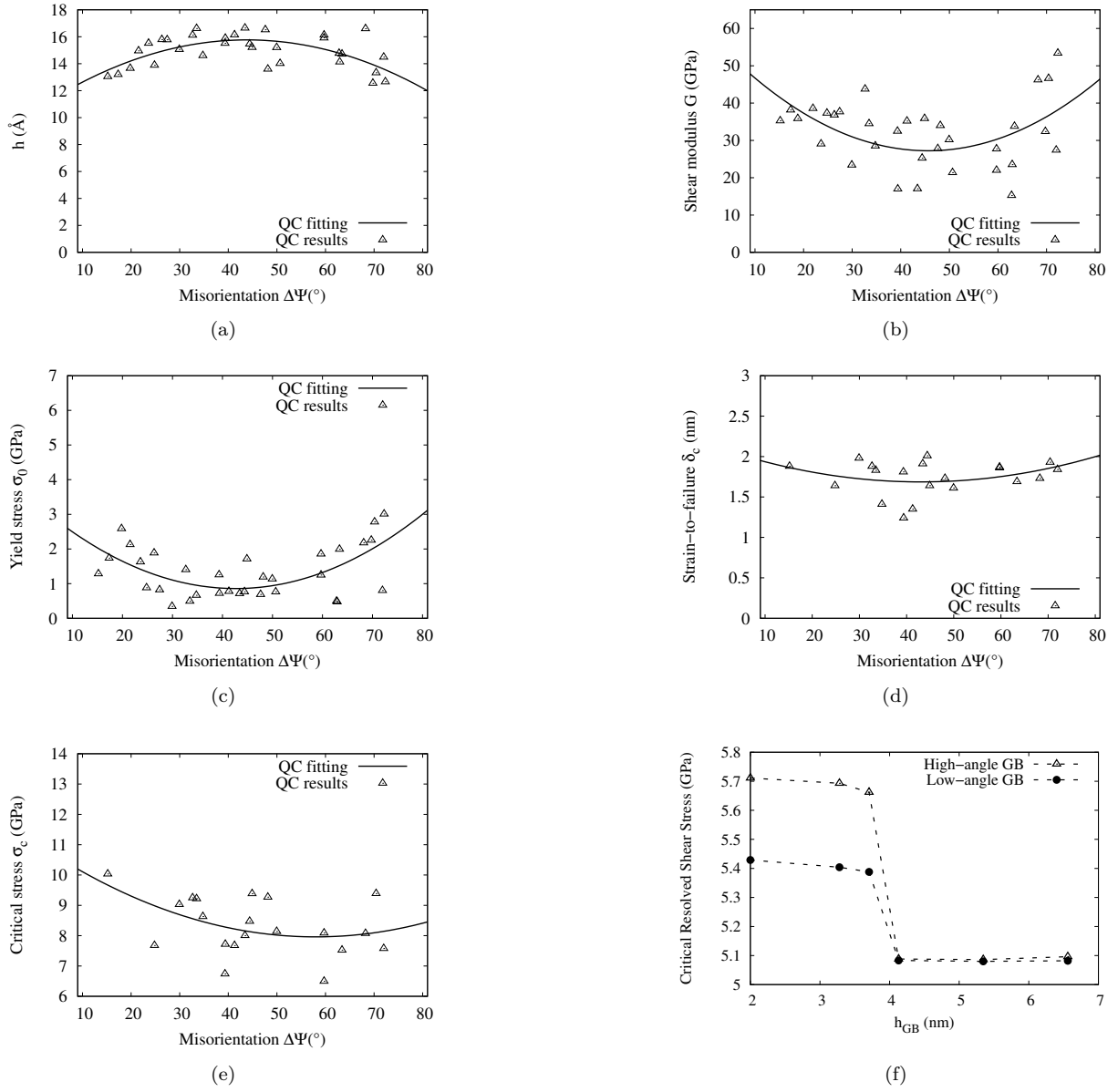


FIGURE 9: Fitting of GB parameters: a) h , b) G , c) σ_0 , d) δ_c , e) σ_c . f) CRSS (g_0) evolution with h_{GB} for HA and LA GBs.

	a	b	c
h (Å)	-0.00273431	0.239748	10.5098
G (GPa)	0.0153508	-1.40075	59.1799
σ_0 (GPa)	0.00153539	-0.130957	3.65036
δ_c (nm)	0.000228623	-0.0196728	2.10974
σ_c (GPa)	0.000931509	-0.108071	11.0947

TABLE 2: Fitting values of a, b and c in the polynomial equation $a\Delta\Psi^2 + b\Delta\Psi + c$ for all GB parameters.

202 4. Two-scale model *vs.* fully-atomistic model

203 In this Section, we present the fully-atomistic model that we will compare with the fully calibrated
204 two-scale model of Section 3.

205 4.1. Fully-atomistic model

206 The two-scale continuum model fully calibrated for NC copper is compared with the fully-atomistic ones
207 simulated with the QC method. To this end, the fully-atomistic QC model is set for both textures, HA and
208 LA, and the two mean grain sizes 3.28 and 6.56 nm. All simulations consisted of 16 grains, where dimensions
209 depend on the mean grain size: $117 \text{ \AA} \times 117 \text{ \AA} \times 2.55619 \text{ \AA}$ and $233 \text{ \AA} \times 233 \text{ \AA} \times 2.55619 \text{ \AA}$ for a grain
210 size of 3.28 nm and 6.56 nm, respectively.

211 The polycrystalline structure was constructed as follows. The GBCD was first created using a Voronoï
212 diagram construction. All atoms were added using the Bravais lattice vectors starting from the 16 reference
213 atoms. A common tilt axis along the $[1\bar{1}0]$ direction was assigned to each grain and in-plane misorientations
214 were set according to the texture type. Periodic boundary conditions were applied along the out-of-plane
215 direction in the entire model. Consequently, this work focuses on 2-D columnar-grained microstructures,
216 and as such, plastic deformation may be different to those that could be observed for 3-D polycrystalline
217 structures whereas this assertion is valid for all QC simulations presented in this study, the results presented
218 here can be extended to 3-D and are expected to be qualitatively similar in the plane-strain state.

219 The total energy was minimized using the conjuguate gradient method until the addition of out-of-
220 balance forces was found to be lower than $10^{-3} \text{ eV} \cdot \text{\AA}^{-1}$. All structures were relaxed under zero pressure to
221 obtain the lowest state of energy. During this relaxation step, all atoms at the bottom of the sample were
222 fixed in all directions while those on the left and the right sides were fixed in the X - and Z -directions,
223 see Figure 3a. These strong boundary conditions were set up so as to avoid the complete crystallographic
224 reorganization of NCs, especially in the case of the LA type where GBs can disappear after relaxation. Atoms
225 at the top of the samples were then subjected to tensile loadings, see Figure 3b, by means of incremental

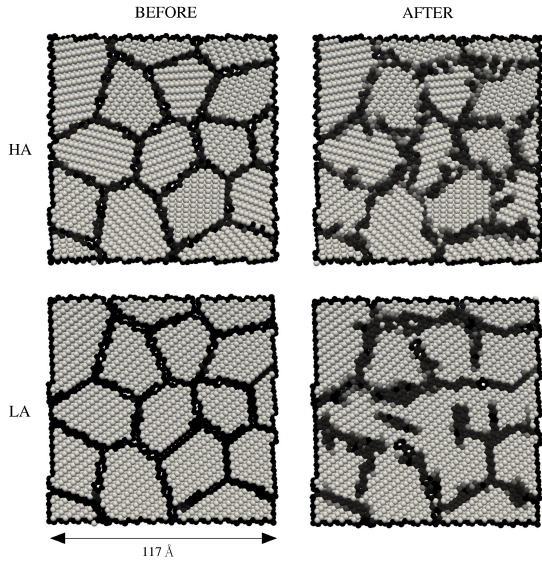


FIGURE 10: Snapshots for both HA and LA textures for a mean grain size of 3.28 nm, before and after the relaxation step.

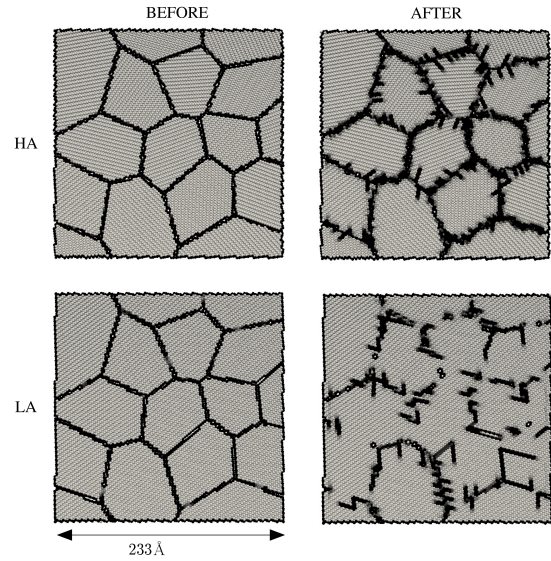


FIGURE 11: Snapshots for both HA and LA textures for a mean grain size of 6.56 nm, before and after the relaxation step.

226 displacements of 0.25 \AA in the Y-direction until the sample reached a deformation of 20%. Between each
 227 loading step a new energy minimization was performed. Moreover, the centrosymmetry parameter p [35]
 228 was computed at each loading step to allow for the detection of planar defects during deformation. Both
 229 HA and LA textures, with mean grain sizes equal to 3.28 and 6.56 nm, are presented with this p value in
 230 Figures 10 and 11, respectively. Although the relaxation step implies a slight distortion in the grain shape,
 231 it appears that GBCDs retain overall their HA or LA character.

232 It is worth noting that the QC method was originally conceived to model atomistic systems without
 233 explicitly treating every atom in the problem by judiciously eliminating unnecessary degrees of freedom. In
 234 doing so, the QC method reduces calculations time while keeping an atomistic description where needed.
 235 In this work, this aspect of QC was not used for the fully-atomistic simulations as the small size of the
 236 grains require all the atoms to be modelled. Therefore, no degree of freedom was eliminated which implies
 237 a significant slowdown of QC.

238 4.2. Results

239 For both models, the yield stresses were determined as being the stresses at which the residual plastic
 240 strain reaches 0.2%. Figure 12 shows the simulated stress-strain curves of both models NC simulations for
 241 both HA and LA textures and for both grain sizes with the same loading conditions.

242 In the QC models, with both textures, the simulation cells initially deform elastically until reaching a
243 critical level of stress. In the HA texture case, yield stresses reach 8.49 GPa and 8.79 GPa for a mean grain
244 size of 3.28 nm and 6.56 nm, respectively, while 9.78 GPa and 9.89 GPa are reached in the LA cases, for a
245 mean grain size of 3.28 nm and 6.56 nm, respectively. For each texture, it appears that the smaller the grain
246 size the sooner the yield point, corresponding to the material softening when the grain size decreases, and
247 thus showing the ability of the fully-atomistic QC model to capture the reverse Hall-Petch (RHP) effect.

248 Similar observations can be made when considering the two-scale model results, where textures with
249 small grains deviate faster from the elastic regime in agreement with Ref. [9]. For a grain size of 6.56 nm,
250 yield stresses in HAB and LAB textures are 5.55 GPa and 8.11 GPa, respectively, and decrease to 4.26
251 GPa and 7.93 GPa for a grain size of 3.28 nm. It is worth noting that these yield stresses are higher than
252 those observed in a recent work [19] for which boundary conditions were less constrained. Additionally, yield
253 stresses in the HAB textures are always lower than in the LAB ones which is true for both models.

254 When comparing stress-strain curves obtained with both models, a good agreement is achieved quali-
255 tatively for different textures and grain sizes. However, the two-scale model is associated in each case with
256 yield stresses lower than those observed in the fully-atomistic model, due to the role of triple junctions, i.e.
257 the junction between three grains, which are not taken into account in the two-scale model. These triple
258 junctions, absent in the GB decohesion calibration of the two-scale model, seem to be responsible for a larger
259 GB stiffness by reducing the GB motion freedom. Therefore, our two-scale model may underestimate the
260 GB stiffness in opening, and thus underestimate the global stiffness of the NC model. This hypothesis is
261 also supported by the decrease of the NC stiffnesses in both textures when the grain size decreases from
262 6.56 nm to 3.28 nm in the two-scale model, see Figures 12a and c. Indeed, the effect of the absence of the
263 triple junction calibration in the two-scale model seems even more important when the grains are smaller.
264 In that case, the GBs lengths involved are smaller which implies a higher proportion of triple junctions in
265 the NC and consequently, an apparently softer NC material.

266 Deformed configurations for both models are presented in Figure 13 at the yield point and at $\epsilon = 10\%$.
267 At yield point, remarkably, a very good agreement is observed in all cases and the crack initiation occurs at
268 the same GBs if we compare both models. For 10% deformation, the GB networks responsible for the failure
269 of the RVEs remain closely connected. We therefore demonstrate here that the two-scale model makes it
270 possible to predict accurately the weakest links and crack initiation loci of these GB networks.

271 Regarding the calculation time, clear benefits are found when using the two-scale model. For instance,
272 the computation time required to fully calibrate the two-scale model of a complete HA texture for an average

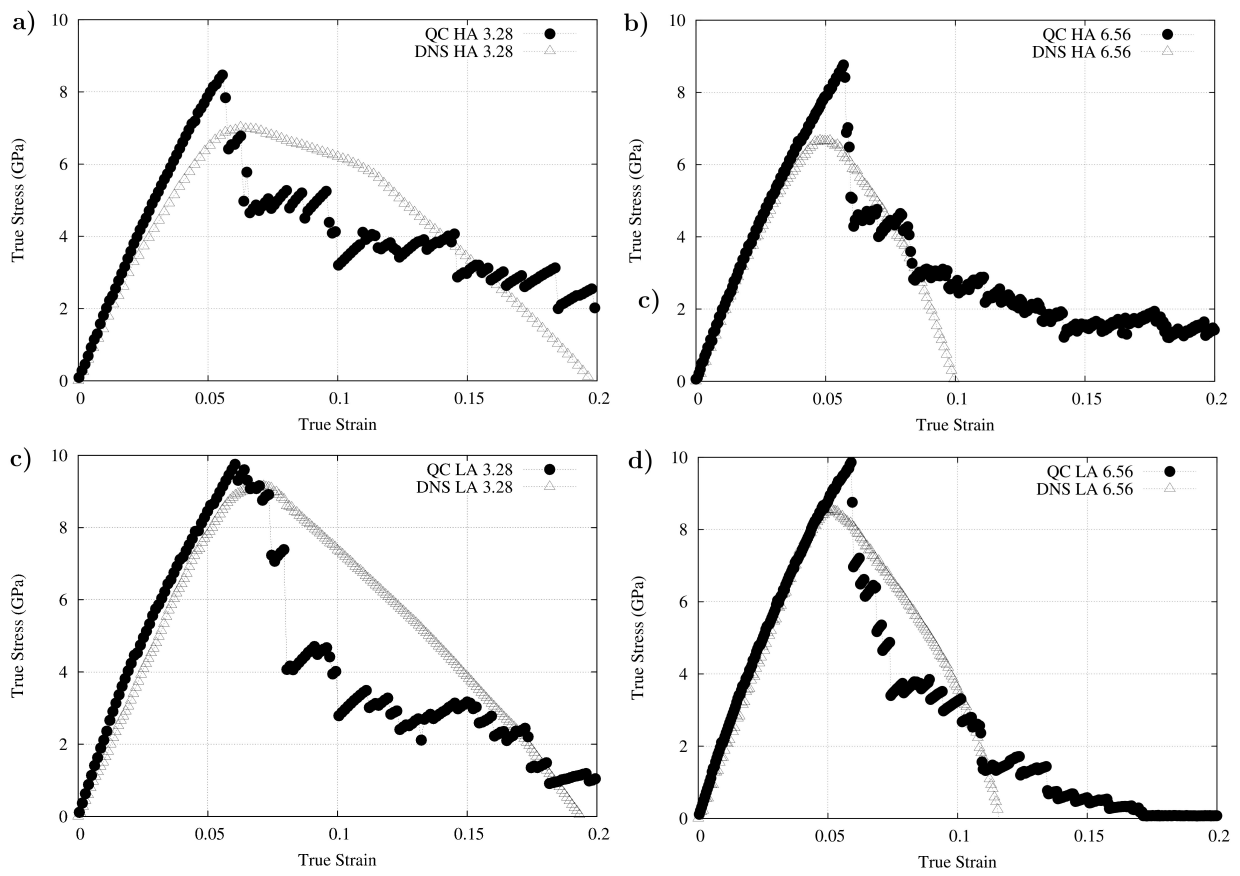


FIGURE 12: Comparison of the stress-strain curves of both models. a) HA texture for a 3.28 nm mean grain size. b) HA texture, 6.56 nm. c) LA texture, 3.28 nm. d) LA texture, 6.56 nm.

273 grain size of 6.56 nm is about 89 hours with a 3.33 GHz CPU, to which must be added the time required
274 to apply tensile load on this RVE (7451 tetrahedral elements), i.e. 15 hours and 30 minutes, totalling 104
275 hours and 30 minutes. On the other hand, the fully-atomistic QC model requires about 95 hours with the
276 same device to compute the same texture. For this specific RVE size, both methods are roughly equivalent
277 but the interest of using such a two-scale method appears obvious for larger structures as in next Section,
278 when studying different loading with the same structure, or when using Figure 9 in the HA case, instead of
279 repeating all the bicrystal simulations.

280 5. Dogbone study

281 5.1. Dogbone description

282 To illustrate the efficiency of the two-scale method when dealing with a large number of grains, tensile
283 tests were performed on two large dogbones presenting mean grain sizes of 3.28 nm (“A”) and 6.56 nm
284 (“B”). The two structures consisted of 103 grains and 251 GBs. The misorientation distribution is presented
285 in Figure 14. For this texture, only one GB belonged to the LA type and all the other ones belonged to the
286 HA type, thus giving a proportion of 99.6% for the HABs in the texture. Due to the difficulty of fitting the
287 LAB features, the QC calibration was performed for this misorientation. However, the mechanical responses
288 of all the HABs were calibrated using the fitting parameters in Figure 9. Both meshes contained 2,201 nodes
289 for 8,934 tetrahedral and 5,458 nodes for 21,162 tetrahedral elements, respectively. Dimensions and tensile
290 boundary conditions are presented in Figure 15.

291 5.2. Dogbone results

292 The stress-strain curves achieved for the tensile tests are presented in Figure 16. According to these
293 curves, we see that if we consider the whole deformation of each dogbone, the elastic part takes a large
294 place in the case B (larger grains) whereas the plastic part is more pronounced in the case A (smaller
295 grains). Moreover, A appears to be less rigid than B. As a result, B fails for smaller strain than A. These
296 last assertions confirm the ability of the model to capture the RHP effect. Snapshots presented in Figure
297 17 represent the deformed configurations of both dogbones A and B for three different steps: step 1 is
298 taken during elastic deformation, step 2 is taken in the plastic part of the deformation and finally, step 3
299 corresponds to the maximum strength experienced by the dogbones. We have chosen to show within the
300 grains $g - g_0$, where g_0 and g are the initial and current CRSS, respectively, as intragranular plasticity is
301 known to be absent when this variable is equal to zero. GB sliding is significantly more important in case A

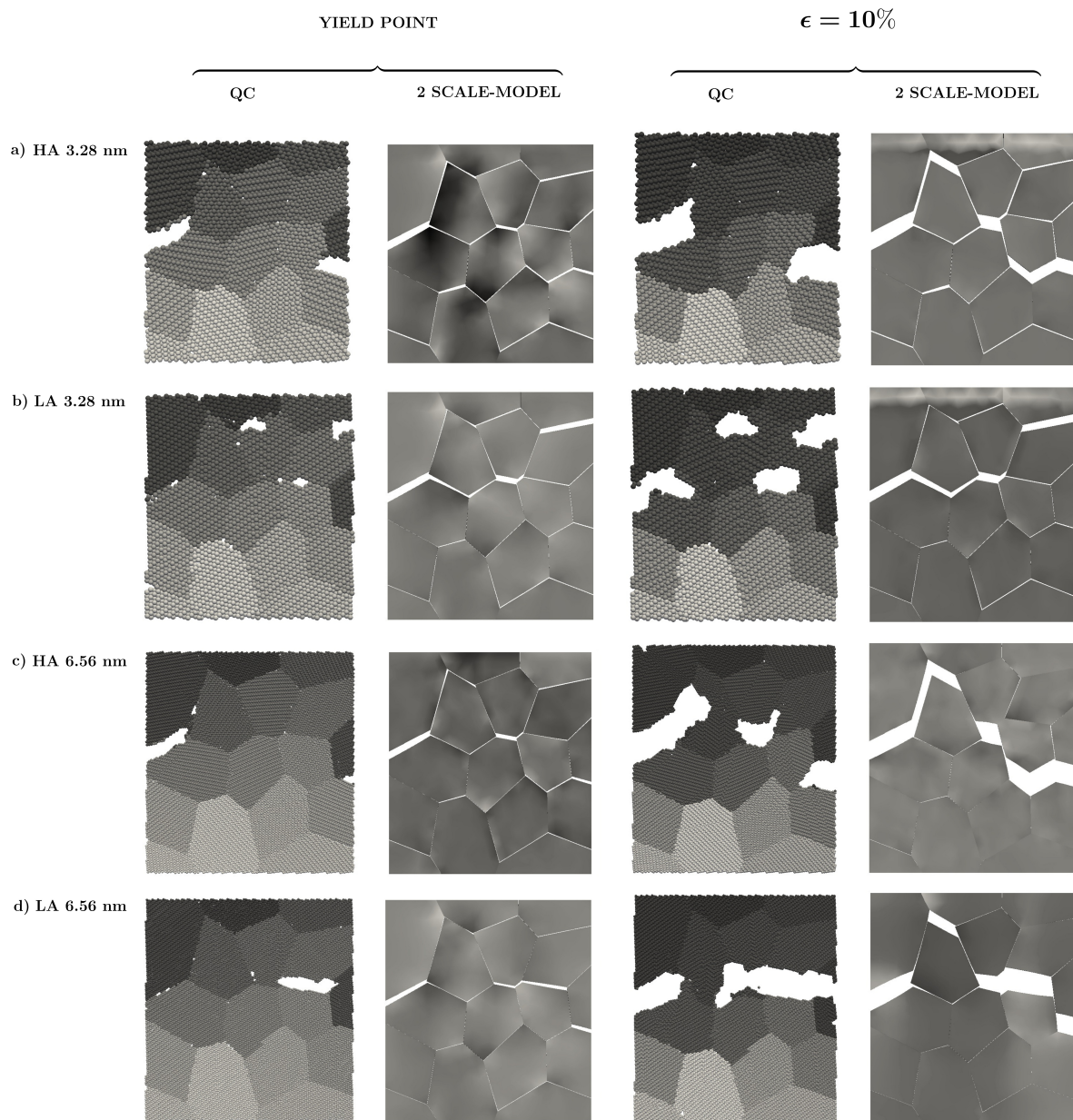


FIGURE 13: Deformed configurations for both models at yield point and for a $\epsilon = 10\%$ deformation.

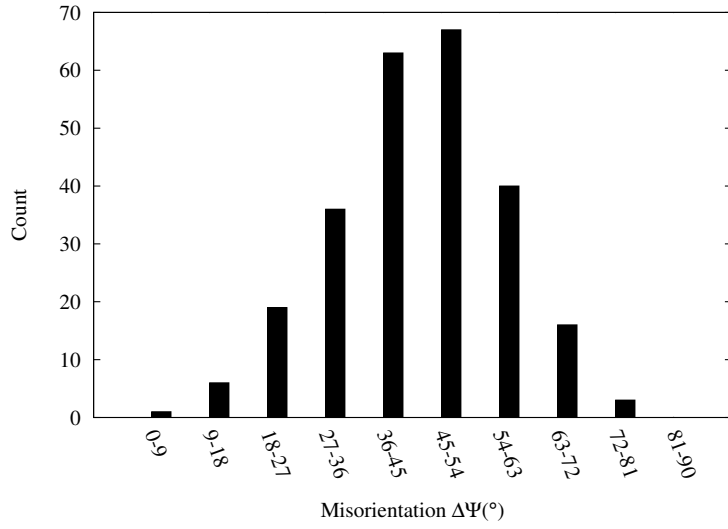


FIGURE 14: Misorientations distribution of the dogbone.

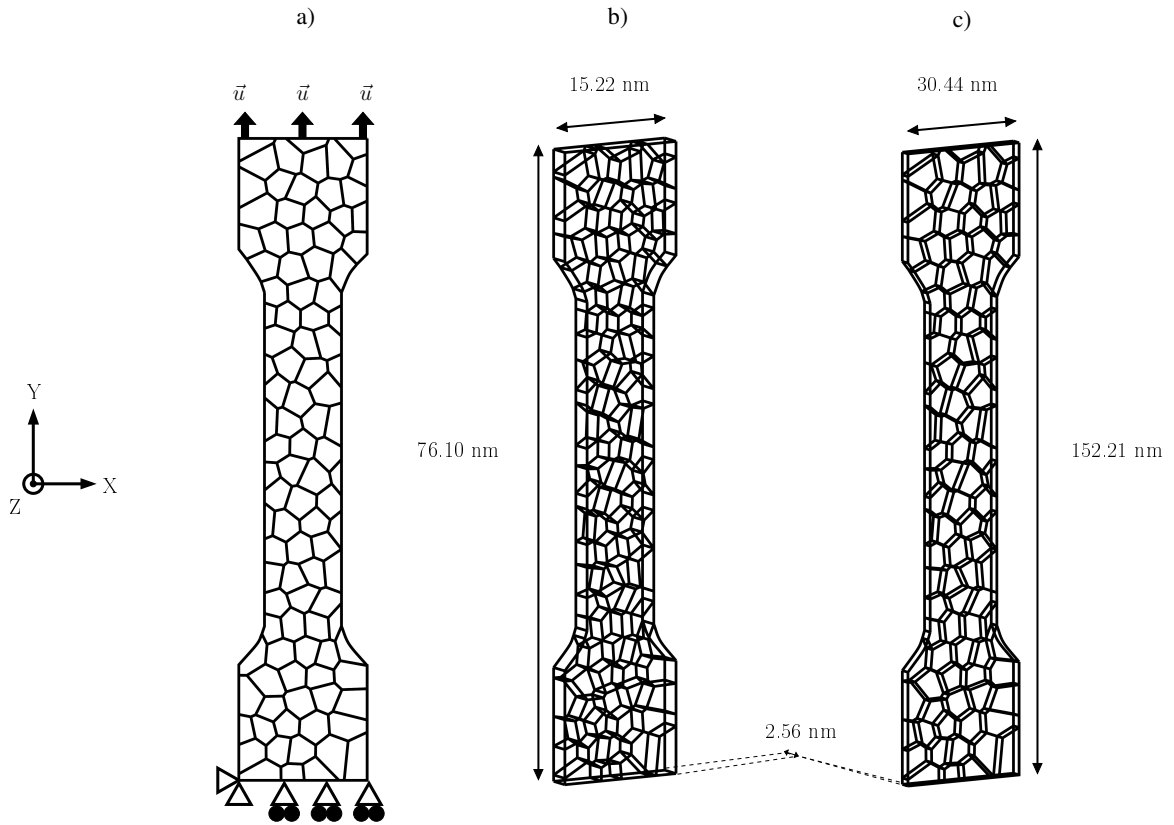


FIGURE 15: Boundary conditions and dogbone dimensions. a) Tensile loading boundary conditions. Nodes are fixed in the Z directions. Dimensions of dogbones with a grain size set to b) 3.28 nm and c) 6.56 nm.

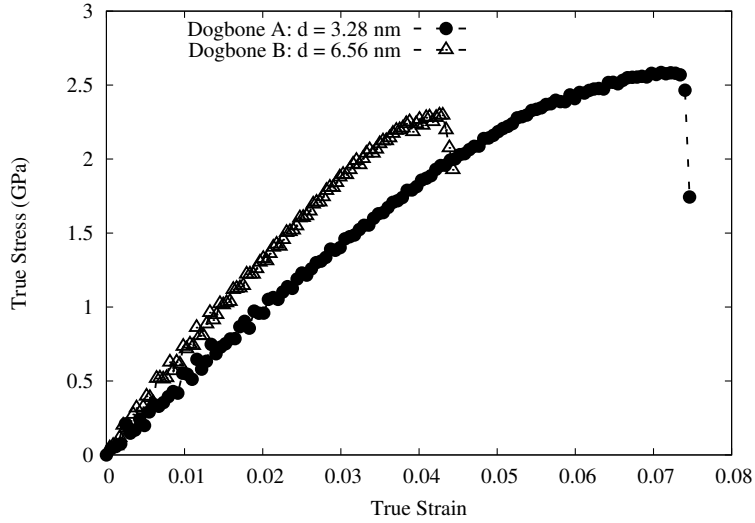


FIGURE 16: Stress-strain curves results for the dogbones A (smaller grains) and B (larger grains).

302 as shown in step 3, coupled to the high proportion of intergranular plasticity in the deformation process of
 303 A. In contrast, comparing A step 2 and B step 3 shows that even for a lower strain in B (4.26% versus 5.01%
 304 for A) $g - g_0$ is overall higher in B. In fact, while intragranular plasticity seems to be more homogeneous
 305 in grains of A, many black spots on B indicate a more pronounced intragranular activity. This highlights
 306 the higher intragranular plasticity occurring in B. In summary, the two-scale model confirms that the failure
 307 mechanism in NC dogbones is more predominantly intragranular for large grain sizes and intergranular at
 308 smaller grain sizes.

309 6. Conclusion

310 In this work, a two-scale model atomistically-informed at the lowest scale has been proposed. The cali-
 311 bration of the GBs and bulk crystals was done by means of GB sliding and opening; and nanoindentation
 312 QC simulations, respectively, enabling the creation of a climate of competition between intergranular and
 313 intragranular plasticity.

314 The observation of the relaxed $[1\bar{1}0]$ tilt GBs resulting from QC simulations allowed for the obtention of
 315 the GB width distribution as a function of the GB misorientation. It is concluded that HABs are wider than
 316 LABs. Moreover, the simulations of RVEs consisting of 16 grains exhibited an almost identical behavior
 317 at the polycrystalline level when using average width of 1.5 nm for all HABs. In turn, LABs were found
 318 to be more sensitive to width calibration and still require to be calibrated individually in a polycrystalline

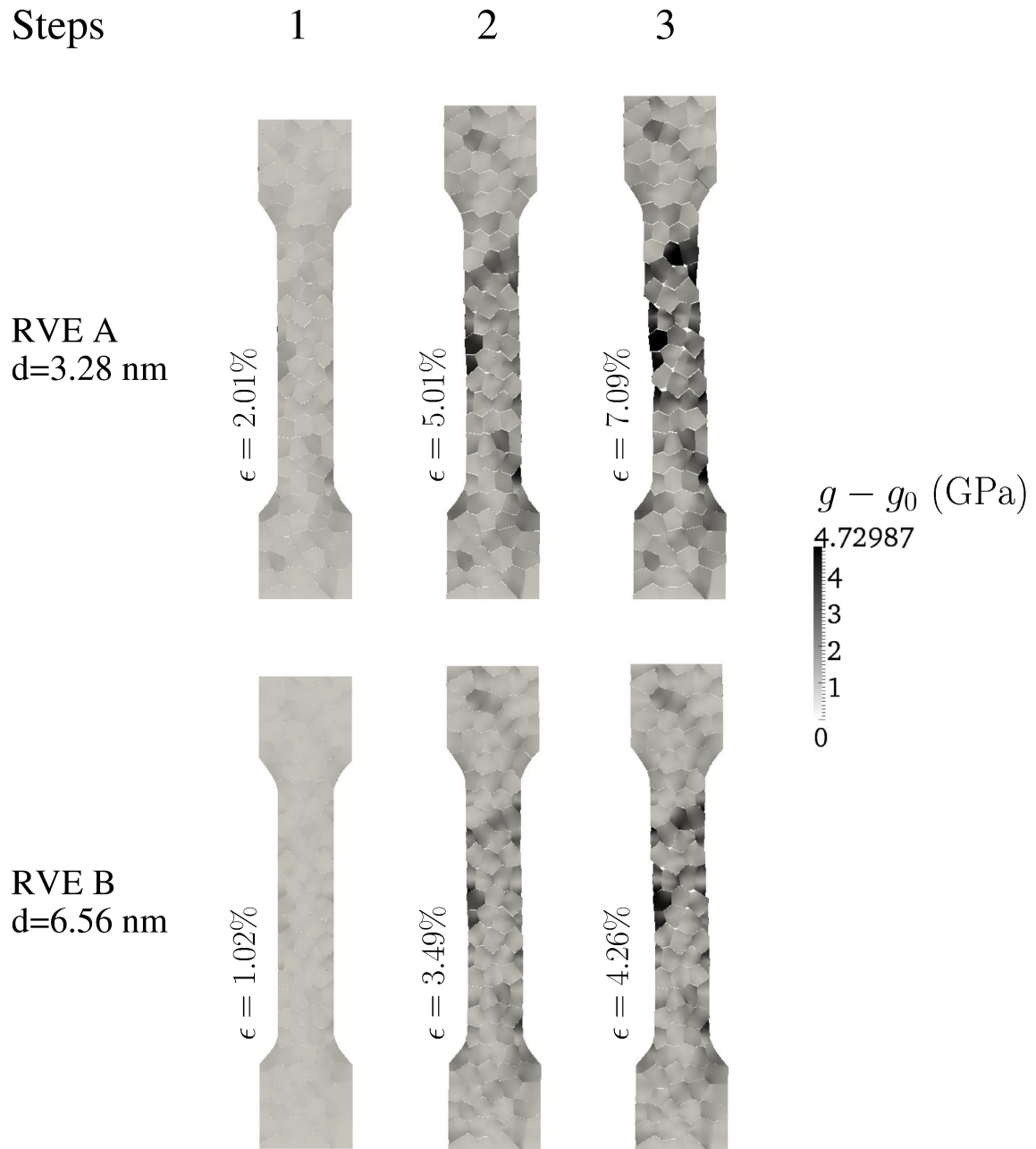


FIGURE 17: Deformed configurations of dogbones A and B. Three steps of deformation are considered: step 1 is elastic, step 2 is plastic and step 3 is at maximum strength.

319 aggregate.

320 Built up on previous work [19], mechanical properties evolution equations as a function of GB misorien-
321 tation have been proposed for HABs, thus avoiding future QC simulations and significantly improving the
322 calculation time of future continuum simulations.

323 The prediction of the evolution of the crack propagation in both two-scale and fully-atomistic models is
324 similar. However, yield stresses measured with the two-scale model are found systematically smaller than in
325 QC simulations. This discrepancy is believed to be due to the absence of triple junctions considerations when
326 calibrating the GB opening with QC, thus underestimating the GB critical stresses σ_c . The smaller the grain
327 size, the larger the proportion of triple junctions, and the softer the structures predicted by two-scale model.
328 These triple junctions [36, 37] are also already known to play a major role in the propagation of intergranular
329 cracks and are recognized as being able to nucleate, block, deviate or transmit them [38]. Besides, special
330 mechanisms such as GB migration can be responsible for the growth of a new grain during straining [39] at
331 triple junctions. Concentrations of stresses at the triple junctions observed in the two-scale model cause the
332 appearance of intragranular plasticity, surely increased, but that does not allow to reflect the specific nano
333 mechanisms involved in this area. Thus and as for the GBs, the particular mechanisms taking place in the
334 triple junctions may also be considered by adding special elements calibrated with atomistic simulations in
335 order to improve the model.

336 It must finally be emphasized that the two-scale model stresses remain overvalued compared to dynamics
337 simulations as noticed in Ref. [19]. This discrepancy arises from the 2-D nature of the QC method and from
338 the fact that no thermally activated processes are accounted for in the simulations.

339 The results are also overvalued compared to experiments where nanoscale voids are present and using
340 the void-induced stress model recently presented in Ref. [40] would improve the mechanical calibration of
341 GBs.

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