

1 **Improving effect of metal and oxide nanoparticles encapsulated in porous silica on**
2 **fermentative biohydrogen production by *Clostridium butyricum***

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12 **Abstract**

13 This paper investigated the enhancement effect of nanometre-sized metallic (Pd, Ag and Cu)
14 or metallic oxide (Fe_xO_y) nanoparticles on fermentative hydrogen production from glucose by
15 a *Clostridium butyricum* strain. These nanoparticles (NP) of about 2-3 nm were encapsulated
16 in porous silica (SiO₂) and were added at very low concentration (10⁻⁶ mol·L⁻¹) in batch
17 hydrogen production test. The cultures containing iron oxide NP produced 38% more
18 hydrogen with a higher maximum H₂ production rate (HPR) of 58% than those without NP or
19 with silica particles only. The iron oxide NP were used in a 2.5 L sequencing-batch reactor
20 and showed no significant effect on the yields (established at 2.2 mol_{hydrogen}·mol_{glucose}⁻¹) but an
21 improvement of the HPR (+ 113%, reaching a maximum HPR of 86 mL_{hydrogen}·L⁻¹·h⁻¹). These
22 results suggest an improvement of the electron transfers through some combinations between
23 enzymatic activity and inorganic materials.

24 *Keywords: biohydrogen; dark fermentation; Clostridium butyricum; encapsulated*
25 *nanoparticles; sol-gel process.*

26 **1. Introduction**

27 In the upcoming years, the population living on our planet will increase and they will have to
28 be provided with enough energy, materials and food. Currently, our society is based on the
29 utilization of fossil fuels as a primary energetic source leading the world to environmental,
30 human health and macro-economic issues (Zidansek *et al.*, 2009). The development of
31 alternative and green energy sources is therefore regarded as a major answer aiming to lower
32 the impact of the human industrial activity on the earth. In this context, it is believed that
33 hydrogen will be used extensively in the future as an energetic vector to achieve a less
34 polluting and economically more advantageous society than the current fossil fuel-based
35 economy (Marban & Vales-Solis, 2007). Indeed, its reaction with oxygen, which produces
36 energy and only water as a side-product, can be performed in electrochemical or combustion
37 processes without any generation of greenhouse gases. However, currently, hydrogen is
38 almost exclusively produced from traditional non-renewable fossil fuels in intensive chemical
39 processes, running at elevated pressures and temperatures and releasing CO₂ in the
40 atmosphere (Holladay *et al.*, 2009).

41 The green hydrogen produced by microorganisms provides alternative routes for renewable
42 energy production (Kothari *et al.*, 2012). Among the several microorganisms that can convert
43 various carbohydrate sources in hydrogen and metabolites in solution, the anaerobic
44 fermentative bacteria have been studied during the past few years (Davila-Vazquez *et al.*,
45 2008; Hallenbeck, 2009). In these microorganisms, the electrons resulting from the oxidation
46 of the substrate are transferred to protons in order to form molecular hydrogen through the
47 action of enzymes called hydrogenases. Among the anaerobic bacteria producing hydrogen,

48 *Clostridium* strains are frequently characterised in highly efficient sludge for hydrogen
49 production in mesophilic range of temperatures (Sagnak et al., 2010; Wang & Wan, 2009a).
50 To date, the anaerobic biohydrogen process is still experimented at laboratory or small pilot
51 scale only (Das, 2009). To make the process viable, improvements of the bioactivity of
52 hydrogen-producing microorganisms as well as high substrate conversion yields are needed to
53 meet economic requirements. Key factors for optimal hydrogen production such as pH,
54 temperature, strain selection, microorganisms cell density, concentration of substrate and
55 metabolites have been well studied to improve the kinetics and the yields (Davila-Vazquez et
56 al., 2008; Wang & Wan, 2009a). However, further efforts and new routes have to be found to
57 use these microorganisms more efficiently in a stable process.

58 Recently, the nanoscience has been involved in number of usual products and processes since
59 the nanomaterials bring new chemical and physical properties. Indeed, due to their size
60 between 1 and 100 nm, the nanomaterials exhibit a very large specific surface area and
61 quantum effects start to predominate (Dinesh *et al.*, 2012). The interest in the biological field
62 is still increasing with practical application in many different domains since nanoparticles
63 (NP) have recently showed interactions with microorganisms even at very low concentration.
64 On the one hand, some NP exhibit antimicrobial activity by close contact with the
65 microorganisms leading to membrane disruption, also raising environmental concerns about
66 their dissemination in the nature (Neal, 2008). On the other hand, some microorganisms may
67 take advantages of NP especially in anaerobic environment, by transferring more efficiently
68 electrons to acceptors. Intra- or extra-cellular NP may be produced by the reduction of metal
69 ions for the biosynthesis of nanomaterials with different chemical composition or
70 morphologies (Korbekandi *et al.*, 2009). Electron transfer can also occur through membrane
71 *c*-type cytochromes or nanowires to electron acceptors such as polluting chemical compounds
72 (for soil remediation applications (Jagadevan *et al.*, 2012)), electrodes (for current generation

73 in microbial fuel cells (Lovley, 2008)) or through interspecies electron transfer (Kato *et al.*,
74 2012). In all these application fields, the NP have recently shown some advantages through
75 their capacity to react rapidly with the electron donors leading therefore to kinetic
76 improvement and, through their action as biocatalysts, to the enhancements of the
77 microorganisms activity (Xu *et al.*, 2012).

78 In a previous work, only gold NP at very low concentration (10^{-8} mol·L⁻¹) were tested to
79 observe effects on the biohydrogen production. An enhancement of the performances of about
80 56% was achieved (Zhang & Shen, 2007). The authors concluded that gold NP would operate
81 as “electron sinks” due to their affinity for electrons, which allows to further reduce protons to
82 hydrogen. They acted in parallel on hydrogenases that naturally achieve this reaction in the
83 metabolism of the cell. Other metal are known to interact with microorganisms in
84 environmental conditions. Ag and Cu are often cited as metal having interaction with the
85 bacteria for their antimicrobial activity (Bagchi *et al.*, 2012; Sotiriou & Pratsinis, 2010). Pd is
86 a metal involved usually for its strong interactions with molecular hydrogen in chemical
87 processes (Klavskyuk *et al.*, 2011). Finally, iron is known to be an important element as a
88 cofactor for hydrogenases or for its role in environmental processes (Grieger *et al.*, 2010; Lee
89 *et al.*, 2001; Xu *et al.*, 2012).

90 In this work, the effect of nanoparticles (NP) of about 2-3 nm of three metals (Pd, Ag, Cu)
91 and one iron (Fe) oxide was investigated with pure *Clostridium butyricum* cultures. These NP
92 were encapsulated in a porous silica (SiO₂) matrix. The SiO₂ matrix without NP was also
93 tested in the same conditions. To synthesize the catalyst (NP + SiO₂ = catalyst), a one-step
94 sol-gel process was applied to obtain NP finely dispersed in the porosity of a silica matrix
95 (Heinrichs *et al.*, 2008; Lambert *et al.*, 2004). In such catalysts, in order to reach active sites,
96 reactants must first diffuse through large pores located between aggregates of SiO₂ particles
97 and then through smaller pores between those elementary particles inside the aggregates.

98 Finally, they diffuse through micropores inside the silica particles. It was shown that there are
99 no limitations of mass transfer at each of the three levels (Heinrichs *et al.*, 2001).

100 These NP were experimented in Biochemical Hydrogen Potential (BHP) tests. The most
101 efficient conditions were further investigated in a stirred 2.3 L Anaerobic Sequenced-Batch
102 Reactor (AnSBR). The production of hydrogen and metabolites was monitored in the cultures
103 and the Gompertz model was applied on the volumetric production curves.

104 **2. Material and methods**

105 **2.1. Microorganism and culture medium**

106 The strain used as hydrogen-producing microorganism was *Clostridium butyricum*
107 CWBI1009 (denoted *C. butyricum*) and was previously isolated and identified by the authors
108 (Masset *et al.*, 2010). It was conserved by sterile monthly transfer of 1 mL from previous pure
109 culture in a hermetically sealed 25 mL tubes containing “MDT” medium and incubated at
110 30°C. The MDT culture medium contained, per litre of deionized water: glucose monohydrate
111 (5 g), casein peptone (5 g), yeast extract (0.5 g), Na₂HPO₄ (5.1 g), KH₂PO₄ (1.2 g),
112 MgSO₄·7H₂O (0.5 g) and L-cysteine hydrochloride (0.5 g). The MDT culture medium was
113 used in biochemical hydrogen potential (BHP) batch serum bottles test and in 2.5L stirred
114 tank reactor driven in anaerobic sequenced-batch mode (AnSBR).

115 For the preparation of fresh inoculum, the transfer in new MDT tubes was repeated twice a
116 week before being used in the culture vessel. Purity tests were performed by spreading 100
117 µL of culture on sterile PCA (Plate Count Agar) Petri dishes before incubation at 30°C for 24
118 to 48 hours. The PCA medium contained glucose monohydrate (1 g), casein peptone (5 g),
119 yeast extract (2.5 g) and agar (15 g) per litre of deionized water. The absence of bacterial
120 growth after incubation for 48 h incubation confirmed the absence of any facultative
121 anaerobic contaminants.

2.2. Preparation and characterization of encapsulated nanoparticles

Four metallic salts (Pd, Ag, Cu and Fe) have been used for preparing the nanoparticles (NP). To encapsulate these NP inside a porous silica matrix, the cogelation method was used as described by Lambert *et al.* (Lambert *et al.*, 2004) and by Heinrichs *et al.* (Heinrichs, 2008). The samples are denoted Pd/SiO₂, Ag/SiO₂, Cu/SiO₂ and Fe/SiO₂ cogel (Table 1). The cogelation method allows doping an inorganic matrix with cations, in one step at the molecular scale. The process is based on the simultaneous hydrolysis and condensation of two alkoxy silanes: an SiO₂ network-forming reagent such as tetraethoxysilane (TEOS, Si(OC₂H₅)₄) and an alkoxy silane-functionalized ligand of the type (RO)₃Si-X-L, in which the ligand L, able to form a complex -L_nM with a cation of a metal M (M = Pd, Ag, Cu, Fe etc.), is connected to the hydrolysable alkoxide group (RO)₃Si- via an inert and hydrolytically stable spacer X. The concomitant hydrolysis and condensation of such molecules, *i.e.* their cogelation, results in materials in which the catalytic metal cation is anchored to the silica matrix.

In Table 1, a second Fe/SiO₂ sample, called Fe/SiO₂ dissol, is presented. This sample was prepared by the dissolution method (Heinrichs, 2008), which consists of dissolving the iron salt in the initial homogenous solution of silica gel precursor. Moreover, the porous silica matrix without NP, denoted SiO₂, was also synthesized by the sol-gel process (Lambert, 2004) to check if SiO₂ plays a significant role for the biohydrogen production.

All these samples were calcined under air (550°C for Fe/SiO₂ dissol and Fe/SiO₂ cogel, 400°C for the other samples) to remove organic moieties. After the calcination step, Pd/SiO₂, Ag/SiO₂ and Cu/SiO₂ were reduced under H₂ to obtain metallic NP (Lambert, 2004).

The samples were characterized (textural analysis, electron microscopy, X-ray diffraction) by using the methods described by (Lambert *et al.*, 2004) and (Heinrichs *et al.*, 2008). For the clarity of this work, NP is defined as metallic or metallic oxide nanoparticles highly

147 dispersed inside the silica matrix, whereas catalyst is used to define the combination between
148 NP and silica.

149 Concentrated suspensions in water of these samples were prepared in 50 mL bottles by finely
150 pounding (at micrometre-size) and weighting some catalysts. Based on the mass suspended in
151 the bottles, the metallic mass loading in the catalyst and the metal atomic weight (Table 1), a
152 defined volume of homogenized suspension was transferred in the culture medium prior to
153 sterilization in order to reach a final concentration of $10^{-6} \text{ mol}_{\text{metal}} \cdot \text{L}^{-1}$. Therefore, all the tests
154 had the same NP concentration, but the total mass of catalyst (*i.e.* NP + SiO₂) differed from
155 one test to the others, because the metallic mass loading differs between the investigated
156 catalysts. An equivalent mass of SiO₂ was added in the corresponding test without NP.

157 **2.3. Fermentation set-up**

158 The BHP tests were carried out in 270 mL bottles with 200 mL of MDT medium adjusted at
159 pH 7.6 with NaOH 5N as formerly described by (Hamilton *et al.*, 2010). Suspended catalysts
160 were added in the bottles to reach the wished metallic concentration prior to sterilization. The
161 bottles were inoculated with 3 mL of inoculum before being capped tightly with a sterile
162 rubber septum, flushed with sterile nitrogen and incubated at a temperature of 30 °C. The data
163 for the BHP test are representative results of independent experiments run in triplicates.

164 The experiments in AnSBR were done in a 2.5 L laboratory-scale tank bioreactor (Biolafite
165 manufacture) composed of a double envelope and of a stainless steel lid equipped with a butyl
166 septum, a pH probe (Mettler Toledo), shaft with blades, 0.20 µm gas filters (Midisart,
167 Sartorius) and different tubes for gas inlet, gas outlet, medium removal or addition. The
168 reactor contained 2.5 L of MDT medium, except L-cysteine and glucose in order to prevent
169 Maillard reactions, before being sterilized. After cooling down, 1 L of media was removed
170 and the L-cysteine and the glucose, sterilized separately in solution of respectively 25 and 500

171 mL, were added sterilely in the reactor under nitrogen gas to reach MDT concentration.
172 Inoculum (500 mL) cultured in 1 L bottle was then added in the bioreactor. Automatic
173 addition of sterile KOH 3 N was used to control the pH, the temperature maintained at 30°C
174 and the bioreactor was stirred at 100 rpm. Sequenced-batch operations were carried out by
175 removing 40% (1 L) of the wasted liquid media under nitrogen overpressure. The reactor was
176 refilled up to 2.5 L with sterile MDT medium containing 12.5 g of glucose (*i.e.* in order to
177 obtain a concentration of 5 g·L⁻¹ in the bioreactor).

178 **2.4. Monitoring and analytical methods**

179 The biogas produced in the BHP tests was collected daily during 96 hours by sterile syringe
180 and needle pierced through the butyl septum. Injections of the collected biogas in a 9N KOH
181 measurement system for CO₂ sequestration allowed the determination of hydrogen content
182 and volumetric hydrogen production by gas balance as already described by the authors
183 (Hiligsmann *et al.*, 2011).

184 The 2.5L AnSBR was connected to a flow meter (TG05/5, Ritter) for continuous
185 measurement of the biogas produced. The composition of the biogas was measured (or
186 confirmed for the BHP tests) by a gas chromatography system (HP 8950 SeriesII) equipped
187 with TCD detector, using nitrogen or helium as carrier gas (respectively for hydrogen and for
188 nitrogen/methane/carbon dioxide detection) as fully described elsewhere (Hamilton *et al.*,
189 2010). Furthermore, the Gompertz model with the adjustment of three parameters (lag phase
190 duration, maximum hydrogen production rate and maximum total hydrogen produced) was
191 applied to the volumetric hydrogen production data following the method described by (Wang
192 & Wan, 2009b).

193 The liquid culture samples were centrifuged at 13000 rpm for 3 min and the supernatants were
194 filtered through a 0.2 µm cellulose acetate filter (Sartorius Minisart). The glucose, lactate,

195 formate, acetate, propionate, ethanol and butyrate were analyzed using a HPLC (Agilent
196 1100) equipped with a differential refraction index detector as described formerly by (Masset
197 *et al.*, 2010). The concentrations measured in the culture medium were used to evaluate the
198 carbon mass balance (MB) of glucose conversion in the soluble metabolites using the method
199 of calculation reported by the authors (Hilgsmann *et al.*, 2011).

200 **3. Results and discussion**

201 **3.1. Effect of encapsulated nanoparticles in BHP tests**

202 **3.1.1. Biological Hydrogen Potential**

203 The production of hydrogen in *Clostridium butyricum* cultures supplemented with various
204 encapsulated NP was investigated in BHP test (batch serum bottles of 200mL of liquid
205 volume) (Hilgsmann *et al.*, 2011; Lin *et al.*, 2007). Four different elements were tested in
206 triplicates series of bottles. The NP of 2-3 nm of diameter were encapsulated in a porous silica
207 structure (Heinrichs *et al.*, 2008; Lambert *et al.*, 2004). The encapsulation of the NP in the
208 silica matrix limits the risk of agglomeration of the active site. The NP concentration was
209 adjusted at 10^{-6} mol·L⁻¹ in the culture medium (Table 1). As a reference for the BHP test, a
210 series was done without any catalyst supplementation (MDT medium only). Moreover, a
211 negative control with porous SiO₂ particles without NP was carried out in order to assess the
212 effect of the metallic (Pd, Ag or Cu) or metallic oxide (Fe_xO_y) active site on the production of
213 hydrogen.

214 The volume of biogas was measured every 24 hours during four days after the inoculation.
215 The daily volumetric hydrogen production, determined according to the description of the
216 BHP tests in the section 2.3, was reported on the Figure 1. The hydrogen production profiles
217 and total production were in line with previous BHP test with the *C. butyricum* strain
218 (Hilgsmann *et al.*, 2011). A sigmoid profile was observed, indicating a lag phase followed by

219 exponential growth and simultaneous production of hydrogen. Indeed, when growing, the
220 release of soluble metabolites in the medium decreased the pH down to inhibiting level. It
221 resulted in lower hydrogen production after three days, near the end of the culture.

222 None of the NP tested showed an inhibiting effect since the production of hydrogen was
223 similar or higher than in the reference BHP test (without NP and/or without SiO₂). Indeed, it
224 is known that silver and copper behave as antimicrobial elements since they destruct the
225 membrane cells by close contact between the microorganism and the NP (Bagchi et al., 2012;
226 Sotiriou & Pratsinis, 2010). However, antimicrobial activity would not be effective in our
227 experimentations because NP are encapsulated inside the porous silica matrix (Neal, 2008).
228 By contrast, the addition of iron and copper induced a significant increase of the total
229 hydrogen produced and different profiles of the cumulative curves in comparison with the
230 reference BHP test (Table 2 and Figure 1). Both series with Fe/SiO₂ (synthesised by
231 dissolution or cogelation method) and Cu/SiO₂ catalysts reached respectively 120 ± 5 and 104
232 ± 8 mL_{H₂}, whereas the reference culture without catalyst produced 87 ± 7 mL_{H₂}. The highest
233 performances for H₂ production were recorded with iron oxide NP suggesting the existence of
234 interactions between NP and the bacteria and resulting in a faster hydrogen production.
235 Furthermore, since the sole SiO₂ material (without NP) did not show any significant effect, it
236 can be concluded that only the central active site (*i.e.* the metal or metal oxide NP) would play
237 a role in the improvement of the hydrogen production.

238 **3.1.2. Hydrogen production rates and yields**

239 The Gompertz model was applied to the cumulative H₂ production curves. The resulting
240 coefficients are reported in the Table 2. It confirms that the maximum cumulative H₂
241 productions were achieved for the iron oxide NP, *i.e.* 34% higher than in the reference BHP
242 test. Moreover, the maximum hydrogen production rates (HPR) were 58 % higher with the

243 Fe/SiO₂ catalysts than the $1.97 \pm 0.2 \text{ mL}\cdot\text{h}^{-1}$ recorded without catalyst addition. These results
244 suggest that the NP have a higher influence on the kinetic of H₂ production than on the total
245 volumetric production from glucose. By contrast, no significant influence was observed for
246 the lag phase that varies between 7 and 14 hours for all the tests.

247 In addition, no significant difference was evidenced for the hydrogen production yields, based
248 on the total hydrogen production and the substrate consumption (Table 2), *i.e.* respectively
249 1.08 ± 0.06 , 0.96 ± 0.02 and $0.92 \pm 0.04 \text{ mol}_{\text{hydrogen}}/\text{mol}_{\text{glucose}}$ for the cultures with Fe/SiO₂
250 catalyst, with the sole SiO₂ and without both of them. These yields are relatively low
251 comparing to the literature (Lin et al., 2007; Wang & Wan, 2009a) since the pH was not
252 controlled (decreasing from the initial pH set at 7.6 down to 4.7 ± 0.1 at the end of the
253 experiments). Therefore, these experimentation conditions lead to large fluctuations in the
254 metabolic activity and to a short time of culture at optimal pH for H₂ production (Hiligsmann
255 *et al.*, 2011; Khanal *et al.*, 2004). It should be mentioned that, in anaerobic sequenced-batch
256 reactors (AnSBR) with the pH set at optimal value of 5.2, the same strain of *C. butyricum*
257 may produce hydrogen more efficiently reaching maximum yields of $2.3 \text{ mol}_{\text{hydrogen}}/\text{mol}_{\text{glucose}}$
258 (Masset *et al.*, 2010).

259 In our BHP tests, the gain of hydrogen production recorded with some catalysts was partially
260 due to higher glucose consumption in these conditions than in the reference test. For instance
261 with iron oxide NP, the yields were slightly increased by 12%, that is half of the increase in
262 volumetric H₂ production. This is probably due to a different evolution of pH in these cultures
263 since the production of hydrogen is a way for the bacteria to limit the pH decrease by the
264 reduction of acidic proton into molecular hydrogen (Das, 2009). Therefore, the more the
265 bacteria are producing hydrogen to limit the drop of pH, the more they can consume glucose
266 for growth. The results about HPLC analysis of liquid samples (Figure 2) confirm that more
267 glucose was consumed for the production of hydrogen with Fe/SiO₂ catalysts. Therefore, the

268 gain of hydrogen production was at least partially due to the increase of glucose consumption.
269 Meanwhile, the yields were slightly increased of 12%, half of the increase performed for the
270 volume of H₂.

271 **3.1.3. Soluble metabolites distribution**

272 Metabolites analysis (Figure 2) can be related to the low yields generally achieved in our BHP
273 experiments since formate, lactate and ethanol were found in solution and are not related to
274 metabolic pathways linked with the production of hydrogen. However, butyrate and acetate
275 were respectively the second and the third major metabolites produced. According to
276 literature, they are related with stoichiometric hydrogen yields of respectively 2 and 4
277 mol_{hydrogen}/mol_{glucose}. The metabolites profiles are in accordance with previous studies of *C.*
278 *butyricum* cultured in batch experiment without pH control (Hilgsmann *et al.*, 2011; Lin *et*
279 *al.*, 2007). The carbon mass balance (Figure 2) showed that the glucose was mostly converted
280 in butyrate (around 40%), then in formate and lactate (respectively around 15 and 13.5%). In
281 order to improve the production of hydrogen and direct the metabolism of the microorganisms
282 toward the butyrate and acetate production, the pH should be controlled in continuous or
283 semi-continuous fermentation.

284 The introduction of the NP in the media did not modify the metabolites profile. More glucose
285 was consumed with Fe/SiO₂, Cu/SiO₂ and SiO₂ samples and less lactate was produced than in
286 the reference triplicate. The same observation can be made for the carbon mass balance
287 (Figure 2B), with a lower conversion of glucose in formate and lactate *i.e.* respectively 14 and
288 10% with Fe/SiO₂ catalyts. The changes in the metabolites profiles and carbon mass balance
289 are of the same order than for the yields *i.e.* between 10 and 15%. These changes, taking into
290 account the standard deviations obtained with the triplicates, should not be considered as

291 significant. Therefore, it can't be concluded that the NP lead to changes in the metabolic
292 pathways followed by the bacteria but rather on the production rate of hydrogen.

293 All the results recorded for the BHP tests underline that interactions may exist between the
294 bacteria and the iron oxide NP and may have an effect on the production of biohydrogen.
295 However, in these experiments, since the pH evolved along the culture, it is hard to establish
296 if the NP influenced the fermentation pathway (H_2 yields and metabolites) or only the kinetic
297 for hydrogen production. Therefore, Fe/SiO₂ catalysts were used in an AnSBR in order to
298 observe their effect on H_2 production in pH-controlled conditions.

299 **3.2. Effect of iron oxide nanoparticles in AnSBR**

300 **3.2.1. Hydrogen production in the sequences without NP**

301 An AnSBR was run over 14 sequences during 38 days in a 2.5 L tank reactor at a controlled
302 pH of 5.2 ± 0.1 (*i.e.* the optimal pH for hydrogen production from glucose by *C. butyricum*
303 (Masset *et al.*, 2010)). The sequenced-batch operations were carried out by sterile removal of
304 the used medium (40% of working volume *i.e.* 1 L) and sterile addition of fresh media to
305 reach $5\text{g}\cdot\text{L}^{-1}$ of glucose. The first batch culture (F0) was followed by 6 sequences operated in
306 classical conditions *i.e.* without NP (from F1 to F6). It allowed the establishment of stationary
307 conditions for both H_2 production and soluble metabolites concentration. The effect of
308 Fe/SiO₂ (dissol and cogel) catalyst was investigated in the further sequences with addition of
309 iron NP at a concentration equal to $10^{-6}\text{mol}_{\text{Fe}}\cdot\text{L}^{-1}$ at the beginning of the sequence F7 and F9.
310 The cumulated 15 sequences produced a total of 47.85 L of hydrogen in 39 days and between
311 3 and 3.3 L for each sequence. The hydrogen concentration in the biogas was measured at
312 $54.8 \pm 2.8\%$. Since the first batch sequence (F0) was started without pH control (pH
313 decreasing from 7.6 to 5.2 and then regulated), it produced only 1.7 L of hydrogen. This
314 illustrates the importance of the pH regulation and the fundamental difference in experimental

315 conditions between the BHP tests and the sequences in AnSBR with pH control. By
316 controlling the pH, the hydrogen production yields were improved from 1.2 to 2.2 ± 0.1
317 $\text{mol}_{\text{hydrogen}}/\text{mol}_{\text{glucose}}$ respectively for F0 and the following sequences (Figure 3). These
318 performances are consistent with the results reported in similar conditions by (Masset *et al.*,
319 2010) However, the F0 sequence was important to promote bacteria growth (at a higher pH
320 than 5.2) from only 0.5 L of inoculum added whereas the next sequences began with 1.5L of
321 rich biomass culture medium (60% of the bioreactor medium from the former sequences).

322 In the successive sequences without NP, the yields did not show any significant variation. By
323 contrast, the mean HPR showed an evolution. The mean HPR is estimated as the ratio
324 between the volume of hydrogen produced and the total time of hydrogen production during
325 the sequence. It should be noted that they were not calculated for F8, F11 and F14 since the
326 elapsed production time for these sequences was overestimated. Figure 3 shows that it
327 reached $65.8 \text{ mL}_{\text{H}_2} \cdot \text{h}^{-1}$ for F1 and then decreased until F3. Indeed, considering the metabolites
328 profiles on Figure 4, the stationary conditions were reached at F3 after an increase of butyrate
329 and acetate concentrations, whereas unfavourable metabolites as formate, lactate and, in a
330 lower extent, ethanol decreased below $7 \text{ mmol} \cdot \text{L}^{-1}$. A similar evolution of the metabolites
331 profiles has already been discussed elsewhere and showed comparable metabolites
332 distribution and concentrations (Masset *et al.*, 2010). Moreover, from F0 to F2, the total
333 concentration of the metabolites in the culture medium was still at a low level that allowed the
334 hydrogen production to occur rapidly without apparent inhibition. On the contrary, in
335 stationary conditions without NP (from F3 to F7), the high concentration of butyrate and
336 acetate seems to influence the hydrogen production that stabilized at a mean HPR of $44.7 \pm$
337 $0.8 \text{ mL}_{\text{H}_2} \cdot \text{h}^{-1}$ (Khanal *et al.*, 2004; Wang & Wan, 2009a).

338 The carbon mass balance confirms that during sequences from F1 to F6 no significant
339 changes occurred in the metabolic pathways in the sequenced-batch mode (Table 3). The

340 variations of metabolites concentration are then rather a consequence of the removal/addition
341 operation than a change in the metabolic activity of the strain. Therefore, the batch sequence
342 F0 and the two following sequences at controlled pH (F1 and F2) have to be considered as
343 transition sequences. In the sequences F3 to F6, a stable production of hydrogen was observed
344 without NP. These steady conditions enabled to test the effect of NP.

345 **3.2.2. Hydrogen production in the sequences with NP addition**

346 At the beginning of sequence F7 and F9, Fe/SiO₂ catalyst was added, each time at a final NP
347 concentration of 10⁻⁶ mol·L⁻¹. The results show that the addition of iron oxide NP improved
348 the mean HPR, from 44.7 ± 0.8 to 61.8 ± 3.9 mL_{H₂}·h⁻¹, whereas the yields remained at 2.2 ±
349 0.1 mol_{hydrogen}/mol_{glucose}. (Figure 3). Therefore, the addition of NP would have a kinetic effect
350 rather than a metabolic effect on the production of hydrogen. It played a role on the rate of
351 hydrogen formation rather than on the metabolites pathways followed by the bacteria, as
352 already underlined in the BHP tests. These higher HPR were similar in the sequences from the
353 sequences F7 and F9 to F14 though the NP concentration decreased progressively due to
354 addition/removal of culture medium at the beginning of each sequences without NP addition.
355 Indeed it is considered that with the successive dilutions of the medium the concentration of
356 NP was ten times lower in F14 than in F9.

357 A Gompertz modelling on the production of hydrogen was performed for each sequence with
358 at least three volumetric data points (Figure 6). It confirmed the former observation about the
359 mean HPR and that the effect of NP on the HPR did not decreased with the successive
360 dilutions after F9. The maximum HPR reached a stable value after F3 in relation with the
361 stabilization of the AnSBR. The mean values without NP from F3 to F6 reached a maximum
362 HPR of 98.9 ± 9.6 mL_{H₂}·h⁻¹. The increase of the rate brought by the addition of NP is
363 confirmed with a maximum HPR from F7 to F14 of 214.5 ± 33.9 mL_{H₂}·h⁻¹. By contrast, the

364 maximum volume of hydrogen estimated by the Gompertz model slightly decreased from
365 3.35 ± 0.11 to 3.15 ± 0.22 L_{H2} per sequence.

366 The metabolic profiles and carbon mass balance did not show any significant differences
367 between the sequences without and with NP (Table 3). In both case, butyrate and acetate were
368 the major metabolites, respectively at mean values of 10.2 and 18.3 mmol·L⁻¹ produced
369 during each sequence. The other metabolites found at lower concentration were lactate,
370 formate and ethanol, at respectively 0.5, 1.8 and 0.4 mmol·L⁻¹. These values are in accordance
371 with previous studies in similar conditions (Masset *et al.*, 2010). At optimal pH conditions,
372 the metabolic pathway was clearly oriented toward the production of hydrogen allowing
373 higher yields. This is shown with more than 42% of the carbon consumed converted in
374 butyrate and 13% in acetate.

375 Regarding the mechanisms promoted by the NP, it can be suggested that they were not
376 metabolised by the bacteria but played a role of active catalytic site involved in the production
377 of hydrogen. It is known that the hydrogen-producing bacteria need iron as a cofactor for
378 hydrogenases synthesis (Chong *et al.*, 2009; Karadag & Puhakka, 2010; Lee *et al.*, 2001).
379 These authors evaluated the minimal iron requirement for the bacterial growth at 10⁻⁵ mol·L⁻¹,
380 but showed that the production of hydrogen could be enhanced by 5 to 10 times by increasing
381 the concentration by a hundred to a thousand time. In comparison, the amount of iron added
382 in our experimentations in the NP form is about 10-fold lower and decreases after successive
383 sequences. On the one hand, a very low amount of iron was added with the NP and diluted
384 with successive sequences. On the other hand, external iron ions were also contained as trace
385 elements in the compounds contained in the culture medium. The iron concentration is
386 estimated at 2·10⁻⁵ mol·L⁻¹ (Abelovska *et al.*, 2007). Therefore the total amount of iron oxide
387 NP added at F9 represent only 7% of the iron source available in the nutrients. Furthermore, it
388 is more likely that the bacteria would consume the free ions from the medium before trying to

389 use the stable iron oxide NP encapsulated inside the porous silica matrix. Moreover, it has
390 been shown that free iron supplemented in the media had a clear effect on the rates rather than
391 on the yields as it was observed in this study (Hamilton *et al.*, 2010).

392 **3.3. General discussion**

393 The effects of zero-valent gold NP were demonstrated on fermentative bioH₂ production by
394 (Zhang & Shen, 2007). They showed that the H₂ production increases with the decrease of NP
395 size. The concentration of gold NP used by these authors (10⁻⁸ mol·L⁻¹) was a hundred fold
396 lower than the concentration used in this work. In comparison with this work, 9 sequenced-
397 batch operations after F9 should be achieved to reach the same concentration. Furthermore,
398 the nature of NP in the Fe/SiO₂ catalysts used in our study has been determined as mainly
399 non-reduced iron oxide Fe₂O₃ (Heinrichs *et al.*, 2008). Therefore, considering the low
400 concentration of NP and their ferric oxide state, it is suggested that the enhancement of the
401 production of hydrogen with Fe/SiO₂ catalysts is related to a catalytic activity working in
402 parallel with the enzymes involved in electron transfer as the hydrogenases, *c*-cytochromes
403 or/and with extracellular electron mediators. Indeed, the immobilized iron oxide active sites
404 could be used by the bacteria for oxidation/reduction chemical reaction (considering the redox
405 couple Fe²⁺/Fe³⁺) to help the bacteria transferring faster its electrons without consuming or
406 metabolising the iron as when it is added to the medium in a dissolved form. However,
407 considering the small size of the iron oxide NP (around 3 nm), the surface effect is greatly
408 enhanced and may improve the ability of the NP to react with the electrons transported by
409 mediators and to transfer them efficiently to electron acceptors or eventually protons.

410 *C. butyricum* is able transfer electrons out of the cell. Indeed, it is known to have *c*-type
411 cytochromes on the outer cell surface (Park *et al.*, 2001). In addition, interactions and electron
412 transfers between *Clostridium* bacteria strains and metallic elements have already been

413 supposed in microbial fuel cells (MFC) where clostridia strains have often been isolated and
414 identified (Lovley, 2008; Park *et al.*, 2001). Therefore the NP would act as a chemical catalyst
415 and may add efficiency in the biochemical hydrogen production process usually mediated by
416 the sole enzymes in the cells for the production of hydrogen through the reduction of protons.

417 Furthermore, the hypothesised mechanism also suggests an efficient diffusion of mediating-
418 molecules in the porous structure of the encapsulating-silica. A similar diffusion process was
419 demonstrated in the catalyst characterization by the authors (Heinrichs *et al.*, 2008; Lambert
420 *et al.*, 2004). Indeed, the pore size ranges from two to several hundred nanometres. Therefore
421 the pores would connect the outer medium of the silica matrix to the central iron oxide active
422 site. It reinforces the assumption of mediated processes since the bacteria should not come
423 directly in contact with the iron NP. Therefore it should use a redox intermediate to transport
424 the electron from the cell surface to the active metallic oxide surface.

425 The precise role of iron oxide NP, their mechanism of action and their potential influence on
426 the enzymatic activity will have to be investigated and confirmed in further work and will
427 focus on links with hydrogenases activity and electron transfer mechanisms. The hypothesis
428 of partial NP dissolution in the liquid medium has not been ruled out and attention should be
429 brought to this subject in future studies. Indeed, if partial dissolution would occur, its
430 proportion should be measured. However, in the experiments carried out here no lowering
431 effect was observed with successive sequences in the AnSBR . This work also opens the way
432 to researches about combined catalytic and biological treatment for the bioremediation of soil
433 pollution with substances such as aromatic or chlorinated compounds, since mechanism of
434 electron transfer are highly important in such processes.

435 **4. Conclusions**

436 This study leads to a successful improvement of the biohydrogen production process by *C.*
437 *butyricum* combined with encapsulated iron oxide NP added at very low amount (10^{-6} mol·L⁻¹).
438 Interactions with NP have been assumed since an enhancement of the HPR has been
439 achieved. The production rates were improved by 38 and 113% in the batch or AnSBR mode
440 respectively. By contrast, no significant change in the metabolic pathways was observed,
441 regarding the H₂ yields and soluble metabolites distribution. The addition of the NP would
442 improve the hydrogen production made by the bacteria through catalytic mechanism involving
443 extra-cellular mediated-molecules.

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- 550

551 **Figure captions**

552 Figure 1: Cumulative volume of hydrogen production by the pure *C. butyricum* in BHP tests
553 with $10^{-6} \text{ mol}\cdot\text{L}^{-1}$ of encapsulated metallic NP. The volumes of hydrogen are calculated at
554 atmospheric pressure and 30°C . The standard deviation bars are calculated on the triplicate
555 experiments made for each condition.

556 Figure 2: Investigation of hydrogen production by the pure *C. butyricum* in BHP tests with
557 metallic NP. (A) Metabolites analysis ($\text{mmol}\cdot\text{L}^{-1}$) at the end of the fermentation (96h). (B)
558 Carbon mass balance (%). The standard deviation bars are calculated on the triplicate
559 experiments made for each condition.

560 Figure 3: Yields and mean hydrogen production rates in 2.5 L AnSBR with *C. butyricum* and
561 investigation on the effect of the addition of metallic NP. The Fe/SiO₂ NP were added at the
562 sequence 7 and 9. HPR produced calculated at atmospheric pressure and 30°C .

563 Figure 4: Evolution of the metabolites concentration during the investigation on the effect of
564 Fe/SiO₂ NP added at the sequence 7 and 9 in the 2.5 L AnSBR on the production of hydrogen
565 by *C. butyricum*.

566 Figure 5: Application of the Gompertz model on the hydrogen production in the 2.5 L AnSBR
567 with Fe/SiO₂ NP. (A) Gompertz coefficients adjusted for each sequence with at least three
568 values measured and (B) mean Gompertz coefficients for the sequences without NP (F3 to
569 F6) and with NP (F7 to F14).

570

571 Table 1: Characteristics of the catalyst samples: preparation method, metal loading in catalyst;
572 catalyst mass and volume of suspension used in the MDT medium to reach the final metallic
573 concentration of $10^{-6} \text{ mol}_{\text{metal}}\cdot\text{L}^{-1}$

574 Table 2: Hydrogen yields and Gompertz coefficient adjusted on the profiles of volumetric
575 hydrogen production curves for the BHP tests with NP. Standard deviations are calculated on
576 the triplicates experiments made for each condition. All the R² for the Gompertz model were
577 higher than 0.999.

578 Table 3: Comparison of the metabolites and CO₂ mass balance of the successive sequences
579 without and with Fe/SiO₂ NP in the 2.5 L AnSBR. The NP were added at the sequences F7
580 and F9. Negative values correspond to a consumption of the metabolite.

581 Table 1: Metal loading in catalyst; catalyst mass and volume of suspension used in the MDT medium to reach the final metallic concentration of
 582 $10^{-6} \text{ mol}_{\text{metal}} \cdot \text{L}^{-1}$

NP denotation	Reference sample	Reduction of the sample	Metallic mass content	Mass of catalyst added in 50mL of water for concentrated suspension preparation (g)	Volume of concentrated NP suspension added in 1 L of MDT medium (mL)
SiO ₂	X3 (Lambert et al., 2004)	No	-	0.2034	1.25
Pd/SiO ₂	Pd3.1 (Lambert et al., 2004)	Yes	3.12%	0.0645	2.5
Ag/SiO ₂	Ag1.5 (Lambert et al., 2004)	Yes	1.54%	0.0506	6.25
Fe/SiO ₂ dissol	Fe/SiO ₂ -D (Heinrichs et al., 2008)	No	1.65%	0.1354	1.25
Fe/SiO ₂ cogel	Fe/SiO ₂ -C(E) (Heinrichs et al., 2008)	No	1.65%	0.1354	1.25
Cu/SiO ₂	Cu0.1 (Lambert et al., 2004)	Yes	0.12%	0.2059	12.5

583

584 Table 2: Hydrogen yields and Gompertz coefficient adjusted on the profiles of volumetric hydrogen production curves for the BHP tests with NP.
 585 Standard deviations are calculated on the triplicates experiments made for each condition. All the R² for the Gompertz model were higher than
 586 0.999.

	Yields (mol _{H2} ·mol _{glucose} ⁻¹)	Gompertz model		
		Lag phase duration (h)	Maximum H ₂ production rate (mL _{H2} ·h ⁻¹)	Total hydrogen production (mL _{H2})
Reference	0.92 ± 0.08	11.2 ± 1.6	1.97 ± 0.24	86.7 ± 7
Pd/SiO ₂	0.97 ± 0.09	11.5 ± 0.8	2.33 ± 0.22	95.8 ± 8.5
Ag/SiO ₂	0.97 ± 0.02	11.8 ± 1.8	2.21 ± 0.17	93.8 ± 2.8
Fe/SiO ₂ dissol	1.08 ± 0.06	11.6 ± 4.2	3.49 ± 0.31	119.4 ± 5.8
Fe/SiO ₂ cogel	1.05 ± 0.01	9.1 ± 1.9	2.85 ± 0.15	113.3 ± 1.3
Cu/SiO ₂	1.01 ± 0.08	8.3 ± 2.1	2.4 ± 0.6	103.9 ± 7.9
SiO ₂	0.96 ± 0.02	12.8 ± 3.1	2.13 ± 0.7	97.3 ± 2.7

587
 588

589 Table 3: Comparison of the metabolites and CO₂ mass balance of the successive sequences without and with Fe/SiO₂ NP in the 2.5 L AnSBR.
 590 The NP were added at the sequences F7 and F9. Negative values correspond to a consumption of the metabolite.

	Carbon converted from glucose (%)					
	Lactate	Formate	Acetate	Ethanol	Butyrate	CO ₂
F1	-3.9	-0.8	13.4	1.1	55.7	26.2
F2	0	0.2	14	0.5	49.2	24.9
F3	0	0.2	14.3	0.5	51.2	25
F4	0	1.1	13.1	0.5	48.8	23.6
F5	0	0.6	13	0.42	47.7	25.6
F6	1.2	1.5	14.7	1.4	48.6	25.9
F7	2.1	1.4	14.2	1	43.9	24.7
F8	1.9	1.5	14	1.5	46.5	26.7
F9	1	0.5	14.4	2.2	44.8	24.1
F10	2.4	0.9	13.8	1.6	42.7	24.1
F11	1.5	2.4	14.1	1.5	42.9	23.8
F12	4	1.5	13.6	0.1	42.5	23.8
F13	2.8	4.0	14.5	1.1	45.7	23.9
F14	2.6	2.8	14.6	2.6	42.8	24.3
Mean value without NP (F3 – F6)	0.3 ± 0.6	0.8 ± 0.6	13.8 ± 0.8	0.5 ± 0.1	49.1 ± 1.5	25 ± 1
Mean value with NP (F7 – F14)	2.7 ± 1	2.7 ± 1	14.2 ± 0.5	1.3 ± 1	43.5 ± 1.5	23.9 ± 0.2

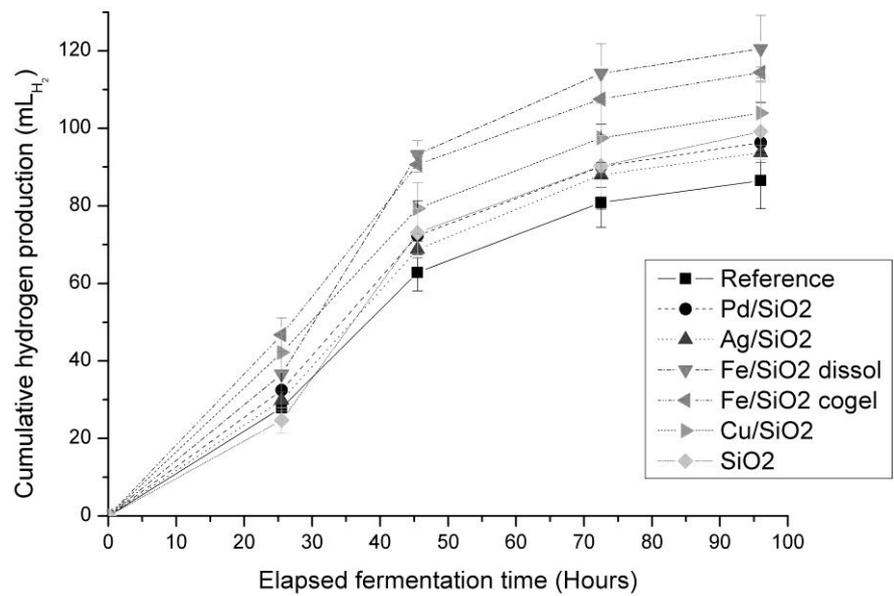


Figure 1: Investigation of hydrogen production by the pure *C. butyricum* in BHP tests with 10^{-6} mol·L⁻¹ of encapsulated metallic NP. The volumes of hydrogen are calculated at atmospheric pressure and 30°C. The standard deviation bars are calculated on the triplicate experiments made for each condition.

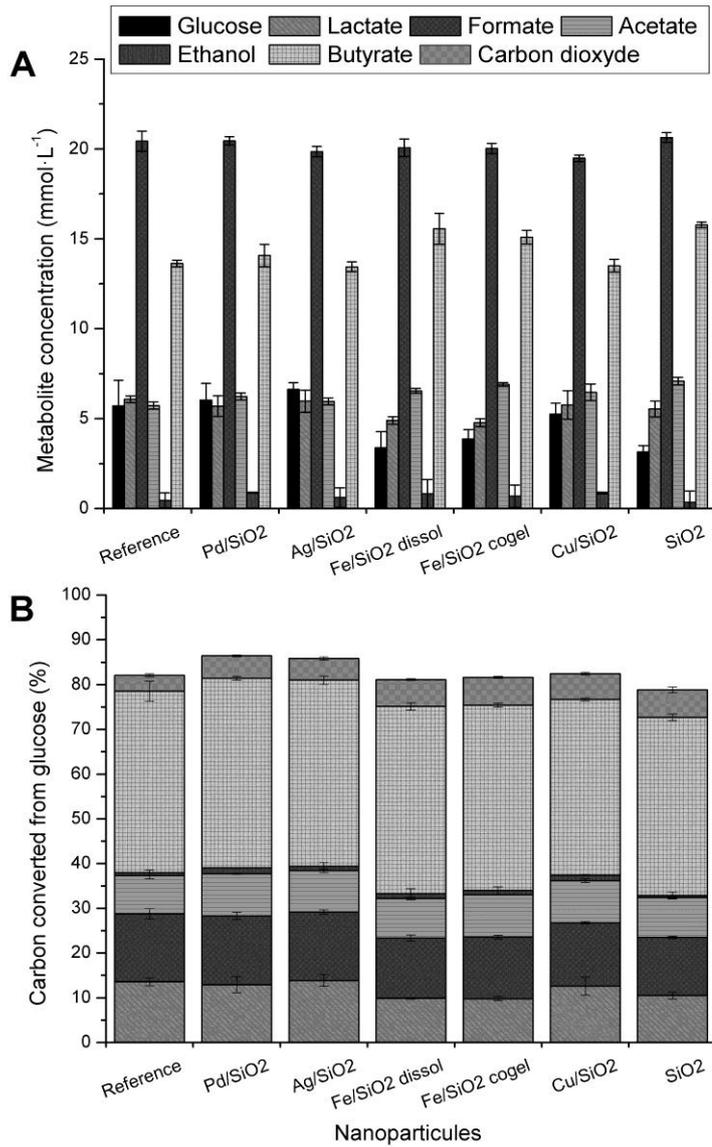


Figure 2: Investigation of hydrogen production by the pure *C. butyricum* in BHP tests with metallic NP. (A) Metabolites analysis (mmol·L⁻¹) at the end of the fermentation (96h). (B) Carbon mass balance (%). The standard deviation bars are calculated on the triplicate experiments made for each condition.

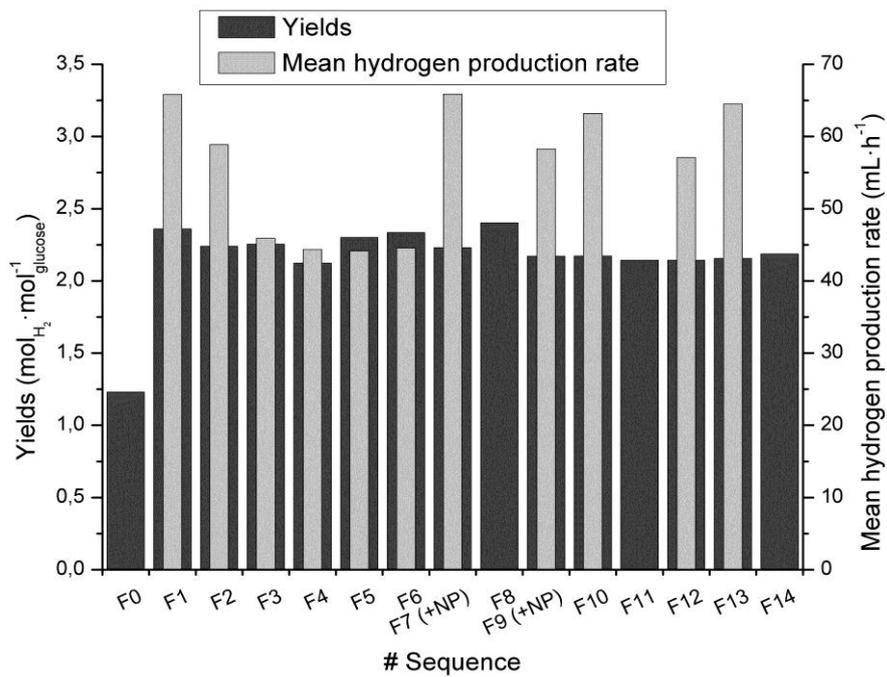


Figure 3: Yields and mean hydrogen production rates in 2.5 L AnSBR with *C. butyricum* and investigation on the effect of the addition of metallic NP. The Fe/SiO₂ NP were added at the sequence 7 and 9. HPR produced calculated at atmospheric pressure and 30°C.

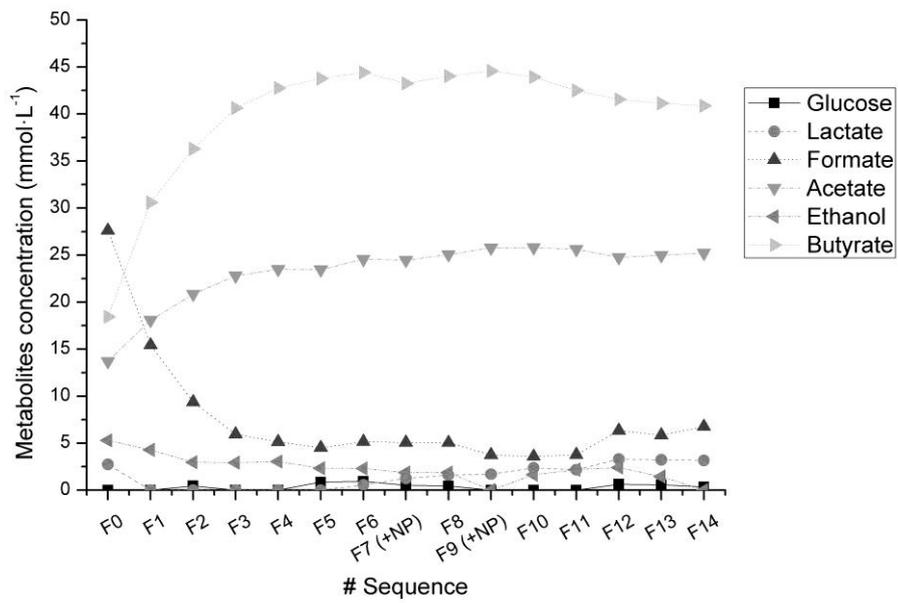


Figure 4: Evolution of the metabolites concentration during the investigation on the effect of Fe/SiO₂ NP added at the sequence 7 and 9 in 2.5 L AnSBR on the production of hydrogen by *C. butyricum*.

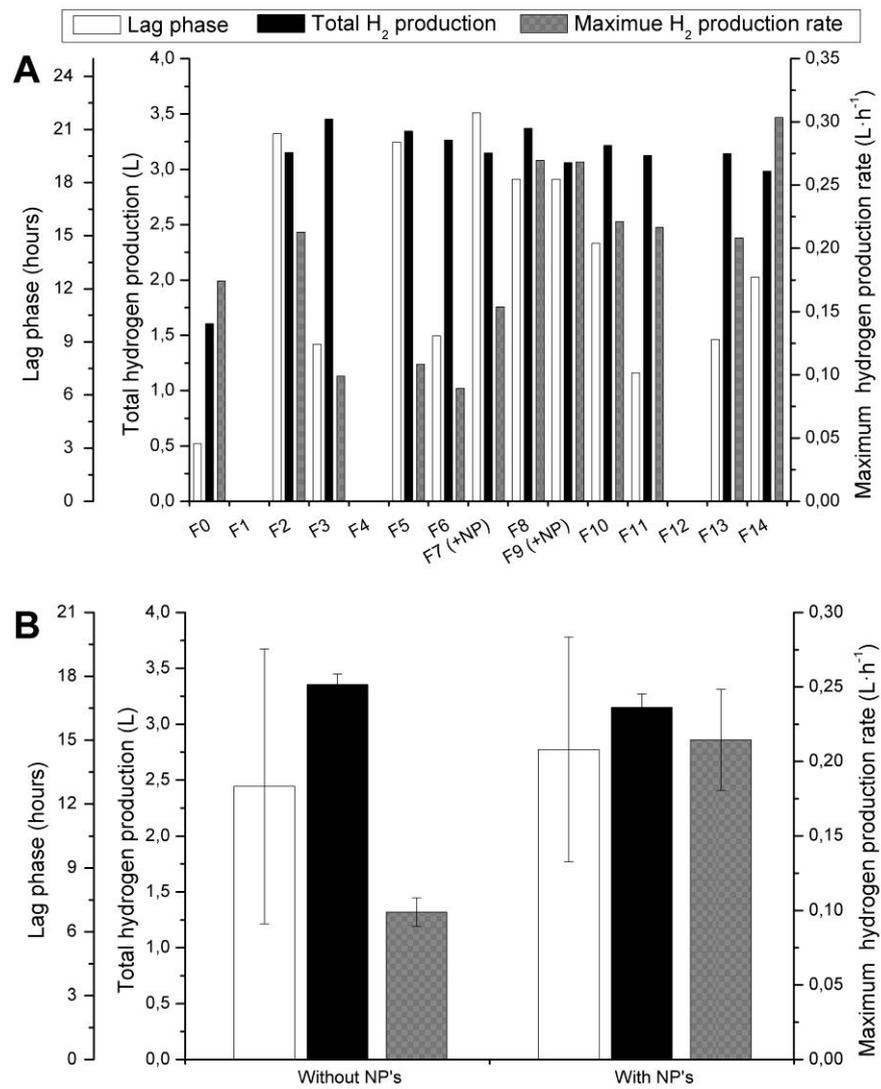


Figure 5: Application of the Gompertz model on the hydrogen production in the 2.5 L AnSBR with Fe/SiO₂ NP. (A) Gompertz coefficients adjusted for each sequence with at least three values measured and (B) mean Gompertz coefficients for the sequences without NP (F3 to F6) and with NP (F7 to F14).